

## COMPLEX DYNAMICAL SYSTEMS ON BOUNDED SYMMETRIC DOMAINS

VICTOR KHATSKEVICH  
SIMEON REICH  
DAVID SHOIKHET

ABSTRACT. We characterize those holomorphic mappings which are the infinitesimal generators of semi-flows on bounded symmetric domains in complex Banach spaces.

### 1. INTRODUCTION

Let  $D$  be a bounded domain in a complex Banach space  $X$ . By  $\text{Hol}(D, X)$  we denote the set of holomorphic mappings from  $D$  into  $X$ . Let  $\text{Hol}(D)$  be the semigroup (with respect to composition) of all holomorphic self-mappings of  $D$ , and let  $\text{Aut}(D) \subset \text{Hol}(D)$  be the subgroup consisting of all holomorphic automorphisms of  $D$ .

A family  $S = \{F_t\} \subset \text{Hol}(D)$ ,  $t \geq 0$  ( $-\infty < t < \infty$ ), is called a continuous one-parameter semigroup (group) if

$$F_{s+t} = F_s \circ F_t, \quad t \geq 0 \quad (-\infty < t < \infty), \quad (1)$$

and

$$\lim_{\substack{t \rightarrow 0^+ \\ (t \rightarrow 0)}} F_t(x) = x, \quad x \in D. \quad (2)$$

A mapping  $f \in \text{Hol}(D, X)$  is said to be an infinitesimal generator of a semi-flow (complete flow) if there exists a one-parameter semigroup (group)  $S_f = \{F_t\}$  such that for each  $x \in D$ ,

$$f(x) = \lim_{\substack{t \rightarrow 0^+ \\ (t \rightarrow 0)}} \frac{x - F_t(x)}{t}, \quad (3)$$

where once again the limit is taken with respect to the norm of  $X$ . We denote by  $\text{hol}(D)$  the family of all (infinitesimal) holomorphic generators on  $D$ .

Note that if  $f \in \text{hol}(D)$  generates a complete flow  $S_f = \{F_t\}_{t \in \mathbb{R}}$ , then  $F_t \in \text{Aut}(D)$  and  $F_t^{-1} = F_{-t}$  for all  $t \in \mathbb{R}$ . In this case one writes that  $f \in \text{aut}(D)$ .

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It can be shown (see, for example, [10] and [11]) that since  $f \in \text{hol}(D)$  is locally bounded on  $D$ , the Cauchy problem

$$\begin{cases} \frac{\partial u(t,x)}{\partial t} + f(u(t,x)) = 0 \\ u(0,x) = x, \quad x \in D, \end{cases} \quad (4)$$

can be solved on  $\mathbb{R}^+ = [0, \infty)$  for each  $x \in D$  and  $u(t,x) = F_t(x)$ . Thus (4) defines an analytic dynamical system and  $S_f = \{F_t\}_{t \geq 0}$  is a uniquely defined semi-flow on  $D$ .

Moreover, the convergence in (2) is uniform on each ball strictly inside  $D$ . If, in addition,  $f \in \text{aut}(D)$ , then the Cauchy problem (4) can be solved for all  $t \in \mathbb{R} = (-\infty, \infty)$ .

Note also that if  $g \in \text{Hol}(D, X)$ , then by allowing  $f$  to operate on  $g$  by means of the formula  $(fg)(x) = g'(x) \circ f(x)$  we can interpret  $f$  as a derivation of  $\text{Hol}(D, X)$ , i.e., as a holomorphic vector field. Using this terminology,  $f \in \text{hol}(D)$  will be called a semi-complete vector field, and  $f \in \text{aut}(D)$  a complete vector field (see, for example, [7], [6], [13] and [10]). It is known that  $\text{aut}(D)$  is a real Banach Lie algebra, while  $\text{hol}(D)$  is only a real cone (see [1], [10] and [11]).

Our purpose in this paper is to describe the class of semi-complete vector fields on a bounded symmetric domain. To motivate our approach we briefly review some previous results.

For the one-dimensional case, namely,  $D = \Delta$ , the open unit disk in the complex plane  $\mathbb{C}$ , an implicit condition which characterizes  $\text{hol}(\Delta)$  was obtained by E. Berkson and H. Porta [4].

It was shown by M. Abate [1] that their condition can be rewritten explicitly in the form

$$\text{Re } f(x)\bar{x} \geq -\frac{1}{2}\text{Re } f'(x)(1 - |x|^2). \quad (5)$$

As a matter of fact, this condition is the special case  $n = 1$  of a more general (and more complicated) condition, which is valid for the open Euclidean unit ball in  $\mathbb{C}^n$  (see [1]).

On the other hand, it follows directly from the definition, that if  $f \in \text{hol}(D)$  has a continuous extension to  $\bar{\Delta}$ , then

$$\text{Re } f(x)\bar{x} \geq 0 \quad \text{for all } x \in \partial\Delta. \quad (6)$$

Unfortunately, it is not clear how to derive (6) from (5) in such a situation. At the same time, by rewriting (6) in the form

$$\text{Re } [f(x) - f(0)]\bar{x} \geq -\text{Re } f(0)\bar{x},$$

and dividing the left-hand side by  $|x|^2 = 1$ , we get

$$\text{Re} \left( \frac{f(x) - f(0)}{x} \right) \geq -\text{Re } \overline{f(0)}x, \quad x \in \partial\Delta.$$

Now it follows by the maximum principle for harmonic functions that the last inequality holds also for  $x \in \Delta$ . Multiplying it by  $|x|^2$ ,  $x \in \Delta$ ,  $x \neq 0$ , we obtain

$$\text{Re } f(x)\bar{x} \geq \text{Re } f(0)\bar{x}(1 - |x|^2), \quad x \in \Delta. \quad (7)$$

We claim that even if  $f \in \text{Hol}(\Delta, \mathbb{C})$  does not extend continuously to  $\bar{\Delta}$ , condition (7) is necessary and sufficient for  $f$  to be an infinitesimal generator of a semi-flow.

Indeed, for the case of the open unit ball  $B$  in a Hilbert space  $H$ , it was shown in [11], by using its hyperbolic metric, that the condition

$$\operatorname{Re} \langle f(x), x \rangle \geq \operatorname{Re} \langle f(0), x \rangle (1 - \|x\|^2), \quad x \in B, \quad (8)$$

where  $\langle \cdot, \cdot \rangle$  is the inner product in  $H$ , characterizes the class  $\operatorname{hol}(B)$ .

Note that a crucial point of the approach in [11] was the smoothness of the boundary of  $B$ . It is clear that such a property is no longer valid for the finite product  $B^n$  equipped with the max norm, and all the more so for the open unit ball in  $\mathcal{L}(H, H)$ , the space of bounded linear operators from  $H$  into  $H$ .

Another technical way to extend (8) to  $B^n$ , by using a special curve defined by a family of Möbius transformations, was employed in [12].

Therefore a natural idea which arises is that this be done for each Banach space  $X$  the open unit ball  $D$  of which is a homogeneous domain (i.e., for each pair  $x, y \in D$  there is  $F \in \operatorname{Aut}(D)$  such that  $F(x) = y$ ).

Indeed, since every such ball is a bounded symmetric domain (see the definition below), one can propose using the more general and well-developed theory of such domains to derive an analog of condition (8) which will characterize  $\operatorname{hol}(D)$ .

It will become clear that such an approach does not require difficult calculations, and moreover, it establishes new facts concerning the description of semi-complete vector fields.

A domain  $D$  is called symmetric if for all  $a \in D$  there exists  $F_a \in \operatorname{Aut}(D)$  such that  $F_a^2 = I_D$  and  $a$  is an isolated fixed point of  $F_a$ .

For the case when  $D$  is a bounded symmetric domain, the class  $\operatorname{aut}(D)$  of all complete vector fields on  $D$  has been well-described with the help of an algebraic approach (see, for example, [7], [13], [3] and [6]). Namely, it is known that  $\operatorname{aut}(D)$  is a real Banach Lie algebra and each  $f \in \operatorname{aut}(D)$  is a polynomial of degree at most 2. Moreover, if

$$p = \{f \in \operatorname{aut}(D) : f'(0) = 0\} \quad (9)$$

and

$$k = \{f \in \operatorname{aut}(D) : f(0) = 0\}, \quad (10)$$

then  $\operatorname{aut}(D)$  is the direct sum decomposition

$$\operatorname{aut}(D) = p \oplus k,$$

and each element of  $X$  can be realized as the constant term of a unique element of  $p$ , i.e., for each  $y \in X$  there is a unique two-homogeneous polynomial  $P_y$  such that the mapping  $g_y \in \operatorname{Hol}(X, X)$  defined by the formula

$$g_y(x) = y + P_y(x) \quad (11)$$

belongs to  $p \subset \operatorname{aut}(D)$ .

Furthermore, by Kaup's theorem [8], every bounded symmetric domain  $D$  can be realized as the open unit ball of a  $JB^*$ -triple system, and moreover, it is a homogeneous domain, i.e., for each pair  $x, y \in D$  there is  $F \in \operatorname{Aut}(D)$  such that  $F(x) = y$ .

Note also that an automorphism which moves the origin to  $y \in D$  can be generated by  $g \in p \subset \operatorname{aut}(D)$ , i.e.,  $g$  has the form (11) (see, for example, [13] and [6]).

So, in the sequel we will always assume that a bounded symmetric domain is realized as a convex balanced domain. At the same time, in this case the gauge

of  $D$  (the Minkowski functional) can be defined as  $c_D(0, \cdot)$ , where  $c_D(\cdot, \cdot)$  is the infinitesimal Carathéodory metric on  $D$ , and  $D$  is the indicatrix of this gauge, i.e.,

$$D = \{x \in X : c_D(0, x) < 1\}.$$

Thus, since  $D$  is bounded,  $c_D(0, \cdot)$  is a norm which is equivalent to the norm of  $X$ , and  $D$  can be considered the open unit ball of  $X$  when it is equipped with this norm. So, our problem may be formulated as follows.

Let  $X$  be a complex Banach space such that the open unit ball  $D$  of  $X$  is a homogeneous domain. What are the geometric conditions which characterize semi-complete vector fields on  $D$ ?

Let  $X'$  be the dual space of  $X$ . As usual, we use the pairing  $\langle x, x' \rangle$  to denote the action of a linear functional  $x' \in X'$  on an element  $x \in X$ . In particular, for  $X = H$ , a Hilbert space,  $\langle \cdot, \cdot \rangle$  means the inner product in  $H$ . Recall also that the normalized duality mapping  $J : X \rightarrow 2^{X'}$  is defined by

$$J(x) = \{x' \in X' : \langle x, x' \rangle = \|x\|^2 = \|x'\|^2\}.$$

## 2. MAIN RESULT

**Theorem 1.** *Let  $X$  be a complex Banach space such that the open unit ball  $D$  of  $X$  is a homogeneous domain. Then the following assertions hold:*

1. *If  $f \in \text{hol}(D)$ , then for each  $x \in D$  and for each  $x' \in J(x)$ ,*

$$\text{Re} \langle f(x), x' \rangle \geq \text{Re} \langle f(0), x' \rangle (1 - \|x\|^2). \quad (12)$$

2. *If  $f \in \text{Hol}(D, X)$  is bounded on each subset strictly inside  $D$  and for each  $x \in D$  there exists  $x' \in J(x)$  such that (12) holds, then  $f \in \text{hol}(D)$ .*
3. *If  $f \in \text{hol}(D)$  and  $S_f = \{F_t\}_{t \geq 0}$  is the semi-flow generated by  $f$ , then  $F_t \in \text{Hol}(D)$  satisfies the following estimate:*

$$\|F_t(x)\| \leq \frac{\|x\| + 1 - e^{-2\|f(0)\|t}(1 - \|x\|)}{\|x\| + 1 + e^{-2\|f(0)\|t}(1 - \|x\|)}. \quad (13)$$

To prove our theorem we need several preliminary assertions.

**Proposition 1.** [10], [11]. *Let  $D$  be a bounded convex domain in  $X$ . Then  $f \in \text{Hol}(D, X)$  is semi-complete (i.e., belongs to  $\text{hol}(D)$ ) if and only if for each  $\lambda > 0$  the nonlinear resolvent  $R(\lambda, f) = (I + \lambda f)^{-1}$  is a well-defined holomorphic self-mapping of  $D$ .*

*In addition, if  $S_f = \{F_t\}_{t \geq 0}$  is the semi-flow generated by  $f$ , then it can be given by the exponential formula*

$$F_t = \lim_{n \rightarrow \infty} R^n\left(\frac{1}{n}t, f\right), \quad t \geq 0, \quad (14)$$

*where the limit in (14) is taken with respect to the norm of  $X$  uniformly on each subset strictly inside  $D$ .*

**Proposition 2.** [10], [11]. *Let  $D$  be as in Proposition 1. Then  $\text{hol}(D)$  is a real cone, i.e., for each pair  $f$  and  $g$  from  $\text{hol}(D)$  and all  $\alpha, \beta > 0$ , the mapping  $\alpha f + \beta g$  also belongs to  $\text{hol}(D)$ .*

Since  $\text{aut}(D) = \text{hol}(D) \cap (-\text{hol}(D))$  is a linear space, Proposition 2 immediately implies the following assertion.

**Proposition 3.** *Let  $D$  be a bounded balanced convex symmetric domain in  $X$ . Then each element  $f \in \text{hol}(D)$  can be represented as*

$$f = h + g, \tag{15}$$

where  $h \in \text{hol}(D)$  with  $h(0) = 0$  and  $g = g_y \in p \subset \text{aut}(D)$  is defined by (11) with  $y = f(0)$ . This representation is unique.

**Proposition 4.** *Let  $f \in \text{hol}(D)$  be as above, and let  $g_{f(0)} \in p \subset \text{aut}(D)$  be defined by (11). Then for each  $x \in D$  and for each  $x' \in J(x)$  the following inequality holds:*

$$\text{Re} \langle f(x), x' \rangle \geq \text{Re} \langle g_{f(0)}(x), x' \rangle. \tag{16}$$

*Proof.* Indeed, it follows by (15) that  $h = f - g_{f(0)}$  belongs to  $\text{hol}(D)$  and

$$h(0) = 0. \tag{17}$$

Let  $S_h = \{\mathcal{H}_t\}_{t \geq 0} \subset \text{Hol}(D)$  be the semi-flow generated by  $h$ , i.e., for each  $x \in D$ ,

$$\lim_{t \rightarrow 0^+} \frac{x - \mathcal{H}_t(x)}{t} = h(x).$$

It follows by the uniqueness of the solution to the Cauchy problem (4) and by (17) that the origin is a common fixed point of  $S_h = \{\mathcal{H}_t\}_{t \geq 0}$  for all  $t \geq 0$ . Since  $\|\mathcal{H}_t(x)\| \leq 1$ , it follows by the Schwarz Lemma that  $\|\mathcal{H}_t(x)\| \leq \|x\|$  for all  $x \in D$ . Now using (17), we get

$$\text{Re} \langle h(x), x' \rangle \geq 0 \tag{18}$$

for all  $x' \in J(x)$ . By the definition of  $h$ , (18) is exactly (16), and we are done.

Now it is very easy to prove the necessity of (12) for  $f$  to be a semi-complete vector field. In fact, for each  $u \in \partial D$  and each  $g \in \text{aut}(D)$  we have

$$\text{Re} \langle g(u), u' \rangle = 0 \tag{19}$$

whenever  $u' \in J(u)$  (note that  $g$  is holomorphically extensible to  $\partial D$ ). In particular, this holds for  $g_y = y + P_y(x) \in p$  where  $P_y$  is a homogeneous polynomial of degree 2. Therefore, if for  $x \in D, x \neq 0$ , we set  $u = \frac{1}{\|x\|}x$ , we obtain

$$\begin{aligned} \text{Re} \langle g_y(x), x' \rangle &= \text{Re} \langle y + P_y(x), x' \rangle = \text{Re} \langle y, x' \rangle + \text{Re} \langle P_y(x), x' \rangle \\ &= \text{Re} \langle y, x' \rangle + \|x\|^3 \text{Re} \langle P_y(u), u' \rangle \\ &= \text{Re} \langle y, x' \rangle + \|x\|^3 (\text{Re} \langle P_y(u), u' \rangle + \langle y, u' \rangle) \\ &\quad - \|x\|^3 \text{Re} \langle y, u' \rangle \\ &= \text{Re} \langle y, x' \rangle - \|x\|^2 \text{Re} \langle y, \|x\|u' \rangle \\ &= \text{Re} \langle y, x' \rangle (1 - \|x\|^2). \end{aligned}$$

Using this equality with  $y = f(0)$  and (16) we obtain (12). Assertion 1 of our theorem is proved. To prove assertions 2 and 3 we first establish a somewhat more general proposition.

**Proposition 5.** *Let  $X$  be an arbitrary complex Banach space, and let  $D$  be the open unit ball in  $X$ . Suppose that  $f \in \text{Hol}(D, X)$  is bounded on each subset strictly inside  $D$  and satisfies the following condition: For each  $x \in D$  and some  $x' \in J(x)$ ,*

$$\text{Re} \langle f(x), x' \rangle \geq \alpha(\|x\|) \cdot \|x\|, \tag{20}$$

where  $\alpha : [0, 1] \rightarrow \mathbb{R}$  is an increasing continuous function on  $[0, 1]$  such that

$$\alpha(0) \cdot \alpha(1) \leq 0. \tag{21}$$

Then

1.  $f$  is a semi-complete vector field on  $D$ .
2. If  $S_f = \{F_t\}$  is the semi-flow generated by  $f$ , then for all  $t \geq 0$  and  $x \in D$ ,

$$\|F_t(x)\| \leq \beta_t(\|x\|), \quad (22)$$

where  $\beta_t$  is the solution of the Cauchy problem

$$\begin{cases} \frac{d\beta_t(s)}{dt} + \alpha(\beta_t(s)) = 0, \\ \beta_0(s) = s, \quad s \in [0, 1]. \end{cases} \quad (23)$$

*Proof.* Fix  $r \in (0, 1)$  and consider the equations

$$x + \lambda f(x) = z \quad (24)$$

$$s + \lambda \alpha(s) = \|z\|, \quad (25)$$

where  $z \in \bar{D}_r = \{x \in X : \|x\| \leq r < 1\}$ ,  $s \in [0, 1]$ , and  $\lambda > 0$ . It follows from (21) that for a fixed  $z \in \bar{D}_r$ , the function  $\gamma(s) = s + \lambda \alpha(s) - \|z\|$  satisfies the conditions  $\gamma(0) \leq 0$ ,  $\gamma(1) > 0$ . Hence equation (25) has a unique solution  $s_0 = s_0(z) \in [0, 1]$ . So, for an arbitrary  $\delta > 0$  we can find  $\epsilon > 0$  such that  $\gamma(s_0 + \delta) \geq \epsilon$ . Now taking  $x \in D$  such that  $\|x\| = s = s_0 + \delta$ , we have by (20) for such  $x$  and any  $x' \in J(x)$ ,

$$\begin{aligned} \operatorname{Re} \langle x + \lambda f(x) - z, x' \rangle &= \operatorname{Re} (\langle x, x' \rangle + \lambda \langle f(x), x' \rangle - \langle z, x' \rangle) \\ &\geq s^2 + \lambda \alpha(s) \cdot s - \|z\| \cdot s \\ &= s\gamma(s) \geq s \cdot \epsilon. \end{aligned}$$

It follows by the same considerations as in Theorem 3 in [2] that equation (24) has a unique solution  $x = x(z)$  such that  $\|x(z)\| \leq s_0 + \delta$ . Since  $\delta > 0$  is arbitrary, we must have

$$\|x(z)\| \leq s_0.$$

In terms of nonlinear resolvents the last inequality can be rewritten as

$$\begin{aligned} \|R(\lambda, f)(z)\| &= \|(I_X + \lambda f)^{-1}(z)\| \leq R(\lambda, \alpha)(\|z\|) \\ &= (I_{\mathbb{R}} + \lambda \alpha)^{-1}(\|z\|). \end{aligned}$$

Now using Proposition 1 and the exponential formula (14) we deduce our assertion.

To prove our theorem we need only observe that the function

$$\alpha(s) = -\|f(0)\|(1 - s^2) \quad (26)$$

satisfies all the conditions of Proposition 5, and that the solution  $\beta_t(s)$  of the Cauchy problem (23) with  $\alpha$  defined by (26) has the same form as the right-hand side of (13). The theorem is proved.

**Remark 1.** If  $X$  is a  $J^*$ -algebra, then condition (16) can be rewritten in the form

$$\operatorname{Re} \langle f(x), x' \rangle \geq \operatorname{Re} \langle f(0) - x[f(0)]^* x, x' \rangle, \quad (27)$$

which also characterizes those mappings  $f \in \operatorname{Hol}(D, X)$  which are semi-complete vector fields on the open unit ball of  $X$ .

For example, consider the case of the algebra  $X = \mathcal{L}_c(H_1, H_2)$  of all linear compact operators  $\mathcal{A} : H_1 \rightarrow H_2$  ( $\mathcal{A}$  is defined on the whole of  $H_1$  and maps it compactly into  $H_2$ ), when  $H_1$  and  $H_2$  are Hilbert spaces.

Let  $\mathcal{D}$  be the open unit operator ball of  $\mathcal{L}_c(H_1, H_2)$ , that is,  $\mathcal{D} = \{\mathcal{A} \in \mathcal{L}_c(H_1, H_2) : \|\mathcal{A}\| < 1\}$ . Suppose that the mapping  $f$  belongs to  $\operatorname{Hol}(\mathcal{D}, X)$ . It is easy to see that for any  $\mathcal{A} \in \mathcal{L}_c(H_1, H_2)$  there exists  $x_{\mathcal{A}} \in H_1$  such that  $\|\mathcal{A}\| = \|\mathcal{A}x_{\mathcal{A}}\|$  and

$\|x_{\mathcal{A}}\| = 1$ . Indeed,  $\|\mathcal{A}\| = \sup_{\substack{\|x\|=1 \\ x \in H_1}} \|\mathcal{A}x\|$ , so there exists  $\{x_n\}_{n=1}^\infty$  such that  $\|x_n\| = 1$

and  $\|\mathcal{A}x_n\| \rightarrow \|\mathcal{A}\|$ , as  $n \rightarrow \infty$ . Since  $H_1$  is a Hilbert space, there exists a subsequence  $\{x_{n_k}\}_{k=1}^\infty$  of the sequence  $\{x_n\}_{n=1}^\infty$  which converges weakly to some  $x_{\mathcal{A}} \in H_1$ . Since  $\mathcal{A}$  is compact,  $\mathcal{A}x_{n_k} \rightarrow \mathcal{A}x_{\mathcal{A}}$  as  $k \rightarrow \infty$ . Hence  $\|\mathcal{A}x_{\mathcal{A}}\| = \|\mathcal{A}\|$  and  $\|x_{\mathcal{A}}\| = 1$ .

For any  $\mathcal{A} \in \mathcal{L}_c(H_1, H_2)$  we construct the support functional  $g_{\mathcal{A}} \in (\mathcal{L}_c(H_1, H_2))^*$  in the following way:

$$g_{\mathcal{A}}(T) := (Tx_{\mathcal{A}}, \|\mathcal{A}\|^{-1}\mathcal{A}x_{\mathcal{A}}), \quad T \in \mathcal{L}_c(H_1, H_2).$$

$\langle (x, y) \rangle$  is the scalar product in  $H_2$ .

We have  $|g_{\mathcal{A}}(T)| \leq \|Tx_{\mathcal{A}}\| \|x_{\mathcal{A}}\| \leq \|T\|$ ,  $g_{\mathcal{A}}(\mathcal{A}) = \|\mathcal{A}\|$ , hence  $\|g_{\mathcal{A}}\| = 1$ . Thus  $g_{\mathcal{A}}$  belongs to  $J(\mathcal{A})$ .

The following condition is a natural analog of (7) for this algebra:

$$\operatorname{Re} \mathcal{A}^* f(\mathcal{A}) \geq \operatorname{Re} \mathcal{A}^* f(0)(\mathcal{I} - |\mathcal{A}|^2) \tag{28}$$

(here  $|\mathcal{A}|^2 = \mathcal{A}^* \mathcal{A}$ ).

We claim that this simple condition implies (27). Indeed, (28) is equivalent to

$$\begin{aligned} \operatorname{Re} (\mathcal{A}^* f(\mathcal{A})x, x) &\geq \operatorname{Re} (\mathcal{A}^* f(0)(\mathcal{I} - |\mathcal{A}|^2)x, x) \\ &= \operatorname{Re} ((\mathcal{A}^* f(0)x, x) - \mathcal{A}^* f(0)\mathcal{A}^* \mathcal{A}x, x)) \\ &= \operatorname{Re} ((\mathcal{A}^* f(0)x, x) - (\mathcal{A}^* \mathcal{A}[f(0)]^* \mathcal{A}x, x)). \end{aligned}$$

Hence for  $x = x_{\mathcal{A}}$  we obtain:

$$\operatorname{Re} (f(\mathcal{A})x_{\mathcal{A}}, \mathcal{A}x_{\mathcal{A}}) \geq \operatorname{Re} ((f(0)x_{\mathcal{A}}, \mathcal{A}x_{\mathcal{A}}) - (\mathcal{A}[f(0)]^* \mathcal{A}x_{\mathcal{A}}, \mathcal{A}x_{\mathcal{A}})),$$

or, setting  $\mathcal{A}'$  to be  $g_{\mathcal{A}}$ ,

$$\operatorname{Re} \langle f(\mathcal{A}), \mathcal{A}' \rangle \geq \operatorname{Re} \langle f(0) - \mathcal{A}[f(0)]^* \mathcal{A}, \mathcal{A}' \rangle,$$

which is precisely (27).

Note that in the particular case when  $\min(\dim H_1, \dim H_2) < \infty$ ,  $\mathcal{L}_c(H_1, H_2) = \mathcal{L}(H_1, H_2)$ , the space of all bounded linear operators  $\mathcal{A} : H_1 \rightarrow H_2$ . So in this case all of the above is also true for the open unit ball  $\mathcal{D}$  of  $\mathcal{L}(H_1, H_2)$ .

**Remark 2.** *If  $f \in \operatorname{hol}(D)$ , then it follows from the representation (15) (see Proposition 3) that the linear operator  $A = f'(0)$  is accretive.*

Indeed, if  $h = f - g_{f(0)}$ , then  $h'(0) = f'(0) = A$ . But  $h(0) = 0$  and the origin is a common fixed point of the semi-flow  $S_h = \{\mathcal{H}_t\}_{t \geq 0}$ . Using the Cauchy inequalities, it is easy to check that the family  $\{B_t = (\mathcal{H}_t)'(0)\}_{t \geq 0}$  is a semigroup of linear contractions generated by  $A$ . Therefore  $A$  is accretive by the Lumer-Phillips Theorem.

Thus, if in the  $J^*$ -algebra  $X$  we consider the Riccati flow equation

$$\begin{cases} \dot{x}_t = a + bx_t - x_t a^* x_t, \\ x_0 = x \in D, \end{cases}$$

then this equation has a solution on  $D \times \mathbb{R}^+$  if and only if the element  $b \in X$  defines an accretive linear operator by  $x \mapsto bx$ .

**Remark 3.** *As a matter of fact, if under the conditions of our Theorem, the operator  $B = iA$ , where  $A = f'(0)$ , is Hermitian, i.e.,  $\operatorname{Re} \langle Ax, x' \rangle = 0$  for all  $x \in X$  and  $x' \in J(x)$ , then  $f \in \operatorname{hol}(D)$  actually belongs to  $\operatorname{aut}(D)$ .*

Indeed, it is enough to prove that  $h$  in the representation (15) has the form

$$h(x) = f'(0)x. \quad (29)$$

To see this, let us represent  $h(x)$  by the Taylor formula

$$h(x) = h'(0)x + k(x),$$

where  $k(x)$  contains the terms of order greater or equal to 2. Then, by (18), we have

$$\operatorname{Re} \langle h(x), x' \rangle = \operatorname{Re} \langle h'(0)x, x' \rangle + \operatorname{Re} \langle k(x), x' \rangle \geq 0.$$

Since  $h'(0) = f'(0)$  we see that

$$\operatorname{Re} \langle k(x), x' \rangle \geq 0.$$

Since  $k(0) = 0$ , we get by the theorem that  $k \in \operatorname{hol}(D)$ . But  $k'(0) = 0$  and it follows by the infinitesimal version of the Cartan Uniqueness Theorem (see [10]) that  $k = 0$  and we are done.

Following S. G. Krein [9] (see also E. Vesentini [14]), a linear operator  $A : X \rightarrow X$  such that  $\operatorname{Re} \langle Ax, x' \rangle = 0$  for all  $x \in X$  and  $x' \in J(x)$  is called a conservative operator. So we have the following result.

**Corollary 1.** *Let  $f \in \operatorname{hol}(D)$ . Then  $f$  is a complete vector field ( $f \in \operatorname{aut}(D)$ ) if and only if the operator  $f'(0)$  is conservative.*

The following proposition is a direct consequence of assertion 3 of the Theorem. It is motivated by Proposition 7 in [5].

**Corollary 2.** *Let  $S = \{F_t\}_{t \geq 0}$  be a one-parameter semigroup of holomorphic self-mappings of  $D$  such that  $F_t$  converges to  $I$ , as  $t \rightarrow 0^+$ , locally uniformly on  $D$ . Then for each  $\rho \in (0, 1)$ ,  $M \in \mathbb{R}^+$  and  $\alpha \in \mathbb{R}^+$ , there exists a positive number  $A = A(\rho, M, \alpha) < 1$  such that*

$$\sup\{\|F_t(x)\| : \|\xi\| \leq M, \|x\| \leq \rho, 0 \leq t \leq \alpha\} \leq A,$$

where  $\xi = \frac{d^+ F_t(0)}{dt}$ .

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VICTOR KHATSKEVICH

DEPARTMENT OF APPLIED MATHEMATICS, INTERNATIONAL COLLEGE OF TECHNOLOGY, P.O. BOX 78, 20101 KARMIEL, ISRAEL

SIMEON REICH

DEPARTMENT OF MATHEMATICS, TECHNION – ISRAEL INSTITUTE OF TECHNOLOGY, 32000 HAIFA, ISRAEL

*E-mail address:* `sreichtx.technion.ac.il`

DAVID SHOIKHET

DEPARTMENT OF APPLIED MATHEMATICS, INTERNATIONAL COLLEGE OF TECHNOLOGY, P.O. BOX 78, 20101 KARMIEL, ISRAEL

*E-mail address:* `davstx.technion.ac.il`