

## SOLUTIONS TO QUASILINEAR EQUATIONS OF N-BIHARMONIC TYPE WITH DEGENERATE COERCIVITY

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ABSTRACT. In this article we show the existence of multiple solutions for quasilinear equations in divergence form with degenerate coercivity. Our strategy is to combine a variational method and an iterative technique to obtain the solutions.

### 1. INTRODUCTION AND STATEMENT OF MAIN RESULTS

In this article, we study the quasilinear equation

$$-\operatorname{div}(a(x, u)|\nabla u|^{N-2}\nabla u) + V(x)|u|^{N-2}u + \Delta_N^2 u = f(x, u) + h(x), \quad x \in \mathbb{R}^N, \quad (1.1)$$

where  $N \geq 2$ ,  $\Delta_N^2 u = \Delta(|\Delta u|^{N-2}\Delta u)$ , and  $h \in L^{N'}(\mathbb{R}^N)$ ,  $N' = \frac{N}{N-1}$ ,  $h \neq 0$  and  $h \geq 0$ . Concerning the functions  $V$ ,  $f$  and  $a$ , we have the following assumptions:

(V1)  $V : \mathbb{R}^N \rightarrow \mathbb{R}$  is a continuous function such that

$$V(x) \geq V_0 > 0, \quad \forall x \in \mathbb{R}^N,$$

where  $V_0$  is a positive constant.

(V2) For every  $M > 0$ ,  $\operatorname{meas}(\{x \in \mathbb{R}^N, V(x) \leq M\}) < +\infty$ , where “meas” denotes the Lebesgue measure in  $\mathbb{R}^N$ .

(H1)  $f : \mathbb{R}^N \times \mathbb{R} \rightarrow \mathbb{R}$  is a Carathéodory function. We assume that for every positive real number  $k > 0$ , there exist two positive constants  $\alpha_k > N - 1$  and  $C_k > 0$  such that

$$|f(x, s)| \leq C_k |s|^{\alpha_k}, \quad \text{a.e. } x \in \mathbb{R}^N \text{ and for all } s \in \mathbb{R} \text{ with } |s| \leq k.$$

(H2) There exists  $\nu > N$  such that

$$\nu F(x, s) \leq f(x, s)s, \quad \forall (x, s) \in \mathbb{R}^N \times \mathbb{R}, \text{ where } F(x, s) = \int_0^s f(x, t)dt.$$

(H3) There exist two real numbers  $A > 0$  and  $p > N$ , such that

$$F(x, s) \geq As^p, \quad \text{a.e. } x \in \mathbb{R}^N, \forall s \geq 0.$$

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(H4) There exist two positive constants  $\beta_0$  and  $\beta_1$  such that

$$|f(x, s_1) - f(x, s_2)| \leq \beta_0 z^{\beta_1} |s_1 - s_2|^{N-1},$$

a.e.  $x \in \mathbb{R}^N$ ,  $\forall z \in [0, 1]$  and  $\forall s_1, s_2 \in [-z, z]$ .

(H5)  $a : \mathbb{R}^N \times \mathbb{R} \rightarrow \mathbb{R}$  is a continuous function satisfying the following property: for every  $k > 0$ , there exist  $0 < a_k < a'_k < +\infty$  such that

$$a_k \leq a(x, s) \leq a'_k, \quad \forall x \in \mathbb{R}^N \quad \text{and} \quad |s| \leq k.$$

(H6) There exists a constant  $L > 0$  such that

$$|a(x, s_1) - a(x, s_2)| \leq L |s_1 - s_2|^{N-1}, \quad \text{a.e. } x \in \mathbb{R}^N, \quad \forall s_1, s_2 \in [-1, 1].$$

**Examples.** When  $N = 2$ , for  $f$ , we can choose:

$$(1) f(x, s) = \lambda |s|^{\alpha-1} s, \quad \lambda > 0, \quad \alpha > 1.$$

$$(2) f(x, s) = \lambda |s|^{\alpha-1} s + |s|^{\beta-1} s (e^{p_0 s^2} - 1), \quad \lambda > 0, \quad \alpha > 1, \quad \beta > 1 \quad \text{and} \quad p_0 > 0.$$

For  $a$ , we can choose:

$$(1) a(x, s) = 1 + |s|^{\sigma-1} s, \quad \sigma > 1.$$

$$(2) a(x, s) = \frac{1}{1+s^2}.$$

Many articles about problems similar to (1.1), having a divergence part of the form  $-\operatorname{div}(A(x, u)|\nabla u|^{p-2}\nabla u)$  with degenerate coercivity, have been published. Among them, the following model is of special interest:

$$-\operatorname{div}\left(\frac{|\nabla u|^{p-2}\nabla u}{(1+|u|)^q}\right) = f \quad \text{in } \Omega,$$

where  $\Omega$  is some open (bounded in the majority of cases) domain of  $\mathbb{R}^N$ ,  $N \geq 2$ ,  $q > 0$ ,  $p > 1$  and  $f$  is datum satisfying some summability condition. See for example [4, 7, 8, 9, 10, 11] and references therein. We want to mention also the model

$$-\operatorname{div}(A(x, u)|\nabla u|^{p(x)-2}\nabla u) + |u|^{p(x)-2}u = Z(x, u, \nabla u), \quad \text{in } \mathbb{R}^N, \quad N \geq 3,$$

where  $p$  is some bounded and Lipschitz continuous function. This model was studied in [6] in the very special framework of the generalized Sobolev space with variable exponents. In the previously cited works, the authors use approximations in order to overcome the lack of coercivity. Then, establish a priori estimates on the sequence of approximative solutions, and then use the passage to the limit to finally obtain a weak solution for the initial equation.

In this article, we develop a new method to deal with such kind of problems. The main idea in this new method is inspired by the work [14]. In [14], de Figueiredo, Girardi and Matzeu considered the semilinear elliptic equation

$$\begin{aligned} -\Delta u &= f(x, u, \nabla u) \quad \text{in } \Omega, \\ u &= 0 \quad \text{on } \partial\Omega, \end{aligned} \tag{1.2}$$

where  $\Omega$  is a bounded smooth domain of  $\mathbb{R}^N$ ,  $N \geq 3$ . Because the dependence of the nonlinearity on the gradient of the solution, (1.2) is non-variational and a direct attack to it using critical point theory is not possible. The new approach by de Figueiredo, Girardi and Matzeu consists of associating with (1.2) a family of semilinear elliptic problems with no dependence on the gradient. Namely, for each  $w \in H_0^1(\Omega)$ , they considered the problem

$$\begin{aligned} -\Delta u &= f(x, u, \nabla w) \quad \text{in } \Omega, \\ u &= 0 \quad \text{on } \partial\Omega. \end{aligned} \tag{1.3}$$

Thus, the authors have “frozen” the gradient term. Problem (1.3) is of variational nature and could be treated by variational method. We have to mention that this idea was used in some later works dealing also with nonlinear problems involving nonlinearities with dependence on the gradient. We can cite [15, 17, 18, 26, 27, 29].

In this article, we try to use this idea to discuss a completely different kind of problem. In fact, in the problem (1.1) and in contrast with (1.2) (and similar equations), the nonvariational nature is not due to the dependence of the right-hand term on the gradient of the solution but it is in reality due to the presence of the coefficient  $a(x, u)$  in the divergence part. Hence, we will try to “freeze” the term  $a(x, u)$ . The “associated” problem will be variational and consequently could be treated using the critical point theory. An iterative scheme will be performed in order to obtain weak solutions for the initial problem (1.1). This method allows us to obtain a multiplicity result which, knowing that in the majority of cases the classical nonvariational methods give the existence of one solution, could be seen as an interesting result.

The existence of the  $N$ -biharmonic operator,  $\Delta_N^2$ , is remarkable. The importance of studying fourth-order equations lies in the fact that they can describe some physical phenomena as the deformations of an elastic beam in equilibrium state (see [24, 36]). Laser and McKenna [23] pointed out that this type of nonlinearity provides a model to study travelling waves in suspension bridges. For this reason, there is a wide literature that deals with existence and multiplicity of solutions for nonlinear fourth-order elliptic problems in bounded and unbounded domains. See for example [19, 20, 25, 28] and references therein. On the other hand, the study of nonlinear equations involving the  $N$ -Laplacian operator,  $N \geq 2$ , which is a borderline case for the Sobolev embedding, could be considered as one of the most interesting topics of research during last decades. A special interest has been given to equation of  $N$ -Laplacian type containing nonlinear terms which have a subcritical or critical exponential growth. See [1, 2, 3, 12, 16, 21, 22, 30, 31, 32, 33, 34, 35] and references therein. Here, we highlight the fact that in the present work we deal with a more general type of nonlinearity which includes the case of exponential growth.

The appropriate space in which the problem (1.1) will be studied is the subspace of  $W^{2,N}(\mathbb{R}^N)$ ,

$$E = \left\{ u \in W^{2,N}(\mathbb{R}^N) : \int_{\mathbb{R}^N} V(x)|u|^N dx < +\infty \right\},$$

which is a Banach reflexive space equipped with the norm

$$\|u\| = \left( \int_{\mathbb{R}^N} (|\nabla u|^N + V(x)|u|^N + |\Delta u|^N) dx \right)^{1/N}.$$

In view of (V1), we clearly have

$$E \hookrightarrow W^{2,N}(\mathbb{R}^N) \hookrightarrow L^q(\mathbb{R}^N), \quad \forall N \leq q \leq +\infty.$$

Also there exists a positive constant  $\delta_0 > 0$  such that

$$\|u\|_{L^\infty(\mathbb{R}^N)} \leq \delta_0 \|u\|, \quad \forall u \in E. \quad (1.4)$$

Furthermore, since (V2) holds, we obtain (see [31]) the compactness of the embedding

$$E \hookrightarrow L^p(\mathbb{R}^N), \quad \text{for all } p \geq N.$$

This compact embedding will be crucial in the proof of our multiplicity result.

**Definition 1.1.** A function  $u \in E$  is said to be a weak solution of the problem (1.1) if it satisfies

$$\begin{aligned} & \int_{\mathbb{R}^N} a(x, u) |\nabla u|^{N-2} \nabla u \nabla v \, dx + \int_{\mathbb{R}^N} V(x) |u|^{N-2} uv \, dx + \int_{\mathbb{R}^N} |\Delta u|^{N-2} \Delta u \Delta v \, dx \\ &= \int_{\mathbb{R}^N} f(x, u) v \, dx + \int_{\mathbb{R}^N} hv \, dx, \quad \forall v \in E. \end{aligned}$$

The main result in the present paper is given by the following theorem.

**Theorem 1.2.** Assume that (V1), (V2), (H1)–(H6) hold. Then, there exist  $A_0 > 0$  and  $d_0 > 0$  with the following property: if  $A > A_0$ , and  $|h|_{L^{N'}(\mathbb{R}^N)} < d_0$ , then problem (1.1) admits at least two nontrivial weak solutions.

## 2. PROOF OF MAIN RESULTS

The proof of Theorem 1.2 will be divided into several steps. First, for  $w \in E$ , we introduce the functional  $I_w$  defined on  $E$  by

$$I_w(u) = \int_{\mathbb{R}^N} \frac{a(x, w) |\nabla u|^N + V(x) |u|^N + |\Delta u|^N}{N} \, dx - \int_{\mathbb{R}^N} F(x, u) \, dx - \int_{\mathbb{R}^N} hu \, dx.$$

**Lemma 2.1.** Assume that (V1), (V2), (H1), (H5) hold. Then, there exist  $0 < \rho < \frac{1}{\delta_0}$ ,  $\mu > 0$ , and  $d > 0$  independent of  $w$  such that

$$I_w(u) \geq \mu, \quad \text{for } \|u\| = \rho,$$

provided that  $\|w\| \leq \frac{1}{\delta_0}$  and  $|h|_{L^{N'}(\mathbb{R}^N)} < d$ .

*Proof.* For  $\|w\| \leq \frac{1}{\delta_0}$ , by (1.4) it yields  $|w|_{L^\infty(\mathbb{R}^N)} \leq 1$  and by (H5) we can assert that there exist  $0 < a_1 < a'_1 < +\infty$  such that

$$a_1 \leq a(x, w(x)) \leq a'_1, \quad \forall x \in \mathbb{R}^N. \quad (2.1)$$

For  $\|u\| \leq 1/\delta_0$ , then by (1.4) it yields

$$|u(x)| \leq 1, \quad \text{a.e. } x \in \mathbb{R}^N.$$

By (H1), we get the existence of two constants  $\alpha > N - 1$  and  $c_1 > 0$  such that

$$|f(x, u(x))| \leq c_1 |u(x)|^\alpha, \quad \text{a.e. } x \in \mathbb{R}^N. \quad (2.2)$$

This implies

$$\int_{\mathbb{R}^N} F(x, u) \, dx \leq c_2 \|u\|^{\alpha+1}.$$

This inequality and (2.1) give

$$I_w(u) \geq \min\{1, a_1\} \frac{\|u\|^N}{N} - c_2 \|u\|^{\alpha+1} - |h|_{L^{N'}(\mathbb{R}^N)} \|u\|.$$

Since  $\alpha + 1 > N$ , then one can easily find  $0 < \rho < \min\{1, \frac{1}{\delta_0}\}$  small enough such that

$$\min\{1, a_1\} \frac{\rho^N}{N} - c_2 \rho^{1+\alpha} \geq \min\{1, a_1\} \frac{\rho^N}{2N}.$$

It follows that

$$I_w(u) \geq \min\{1, a_1\} \frac{\rho^N}{2N} - |h|_{L^{N'}(\mathbb{R}^N)} \rho, \quad \text{for } \|u\| = \rho.$$

We complete the proof of Lemma 2.1 by taking  $d = \min\{1, a_1\} \frac{\rho^{N-1}}{4N}$  and  $\mu = \min\{1, a_1\} \frac{\rho^N}{4N}$ .  $\square$

**Lemma 2.2.** *Assume that (V1), (V2), (H1), (H3), (H5) hold. Then, there exists  $\vartheta \in E$  independent of  $w$  such that  $\|\vartheta\| > \rho$  and  $I_w(\vartheta) < 0$  for all  $w \in E$  with  $\|w\| \leq \frac{1}{\delta_0}$ .*

*Proof.* Let  $\varphi \in C_0^\infty(\mathbb{R}^N)$  be such that  $\varphi \neq 0$  and  $\varphi \geq 0$ . For  $t > 0$ , we have

$$\begin{aligned} I_w(t\varphi) &\leq \max\{1, a'_1\} \frac{t^N}{N} \|\varphi\|^N - \int_{\mathbb{R}^N} F(x, t\varphi) dx \\ &\leq \max\{1, a'_1\} \frac{t^N}{N} \|\varphi\|^N - At^p |\varphi|_{L^p(\mathbb{R}^N)}^p. \end{aligned}$$

Since  $p > N$ , we have

$$\max\{1, a'_1\} \frac{t^N}{N} \|\varphi\|^N - At^p |\varphi|_{L^p(\mathbb{R}^N)}^p \rightarrow -\infty, \quad \text{as } t \rightarrow +\infty.$$

Thus, we can choose  $\vartheta = t_0\varphi$  where  $t_0$  is large enough such that  $\|t_0\varphi\| > 1 > \rho$ . This completes the proof.  $\square$

Now, by the Mountain Pass Theorem without the Palais-Smale condition (see [5, 37]), there exists a sequence  $(u_{n,w}) \subset E$  such that  $I'_w(u_{n,w}) \rightarrow 0$  and  $I_w(u_{n,w}) \rightarrow c_w = \inf_{\gamma \in \Gamma} \sup_{0 \leq t \leq 1} I_w(\gamma(t))$ , where

$$\Gamma = \{\gamma \in C([0, 1], E), \gamma(0) = 0, \gamma(1) = t_0\varphi = \vartheta\}.$$

**Lemma 2.3.** *Assume that (V1), (V2), (H1)–(H3), (H5) hold. Let  $w \in E$  with  $\|w\| \leq \frac{1}{\delta_0}$ . Then, for every  $0 < \eta < \frac{1}{\delta_0}$ , there exist  $A_\eta > 0$  and  $d_\eta > 0$  such that: if  $A > A_\eta$ , and  $|h|_{L^{N'}(\mathbb{R}^N)} < d_\eta$  then the functional  $I_w$  admits a nontrivial critical point  $u_w \in E$  such that  $0 < \mu \leq I_w(u_w) = c_w$ , where  $\mu$  is given by Lemma 2.1. Moreover,  $\|u_w\| \leq \eta$ .*

*Proof.* We have

$$I_w(u_{n,w}) - \frac{1}{\nu} \langle I'_w(u_{n,w}), u_{n,w} \rangle \leq c_w + o_n(1)(1 + \|u_{n,w}\|).$$

Using (H2) and (2.1), we have

$$\min\{1, a_1\} \left(\frac{1}{N} - \frac{1}{\nu}\right) \|u_{n,w}\|^N \leq c_w + o_n(1)(1 + \|u_{n,w}\|) + |h|_{L^{N'}(\mathbb{R}^N)} \|u_{n,w}\|. \quad (2.3)$$

Then,  $(u_{n,w})$  is a bounded sequence in  $E$ . Now, by Young's inequality, there exists  $c_3 > 0$  such that

$$|h|_{L^{N'}(\mathbb{R}^N)} \|u_{n,w}\| \leq \frac{\min\{1, a_1\}}{2} \left(\frac{1}{N} - \frac{1}{\nu}\right) \|u_{n,w}\|^N + c_3 |h|_{L^{N'}(\mathbb{R}^N)}.$$

Putting this inequality in (2.3), we obtain

$$\frac{\min\{1, a_1\}}{2} \left(\frac{1}{N} - \frac{1}{\nu}\right) \|u_{n,w}\|^N \leq c_w + o_n(1)(1 + \|u_{n,w}\|) + c_3 |h|_{L^{N'}(\mathbb{R}^N)}.$$

By passing to the upper limit, we obtain

$$\limsup_{n \rightarrow +\infty} \|u_{n,w}\|^N \leq \frac{2c_w}{\min\{1, a_1\} \left(\frac{1}{N} - \frac{1}{\nu}\right)} + c_4 |h|_{L^{N'}(\mathbb{R}^N)}. \quad (2.4)$$

Now, observe that by the even definition of  $c_w$ , we have

$$c_w \leq \max_{t \geq 0} I_w(t\varphi) \leq \max_{t \geq 0} \left( \frac{\max\{1, a'_1\} t^N \|\varphi\|^N}{N} - At^p |\varphi|_{L^p(\mathbb{R}^N)}^p \right).$$

It is clear that the function

$$K(t) = \frac{\max\{1, a'_1\} t^N \|\varphi\|^N}{N} - At^p |\varphi|_{L^p(\mathbb{R}^N)}^p$$

defined on  $[0, +\infty[$  attains its maximum at

$$t_{\max} = \left( \frac{\max\{1, a'_1\} \|\varphi\|^N}{pA |\varphi|_{L^p(\mathbb{R}^N)}^p} \right)^{\frac{1}{p-N}}.$$

Thus,

$$\max_{t \geq 0} K(t) = \max\{1, a'_1\} \|\varphi\|^N \left( \frac{1}{N} - \frac{1}{p} \right) \left( \frac{\max\{1, a'_1\} \|\varphi\|^N}{pA |\varphi|_{L^p(\mathbb{R}^N)}^p} \right)^{\frac{N}{p-N}}.$$

Hence,

$$c_w \leq \max\{1, a'_1\} \|\varphi\|^N \left( \frac{1}{N} - \frac{1}{p} \right) \left( \frac{\max\{1, a'_1\} \|\varphi\|^N}{pA |\varphi|_{L^p(\mathbb{R}^N)}^p} \right)^{\frac{N}{p-N}}. \quad (2.5)$$

Denote

$$\Sigma(A) = \max\{1, a'_1\} \|\varphi\|^N \left( \frac{1}{N} - \frac{1}{p} \right) \left( \frac{\max\{1, a'_1\} \|\varphi\|^N}{pA |\varphi|_{L^p(\mathbb{R}^N)}^p} \right)^{\frac{N}{p-N}}.$$

Fix  $0 < \eta < \frac{1}{\delta_0}$ . It is clear that there exists  $A_\eta > 0$  large enough such that

$$\Sigma(A) \leq \frac{\min\{1, a_1\}}{4} \left( \frac{1}{N} - \frac{1}{\nu} \right) \eta^N,$$

provided that  $A > A_\eta$ . On the other hand, we can choose  $|h|_{L^{N'}(\mathbb{R}^N)}$  small enough such that

$$c_4 |h|_{L^{N'}(\mathbb{R}^N)}^{N'} \leq \frac{\eta^N}{2}.$$

Hence, by (2.4) and (2.5) we deduce that

$$\limsup_{n \rightarrow +\infty} \|u_{n,w}\|^N \leq \eta^N.$$

It follows, that there exists  $n_0 > 1$  large enough such that

$$\|u_{n,w}\| \leq \left( \frac{2\Sigma(A)}{\min\{1, a_1\} \left( \frac{1}{N} - \frac{1}{\nu} \right)} + c_4 |h|_{L^{N'}(\mathbb{R}^N)}^{N'} \right)^{1/N} \leq \eta < \frac{1}{\delta_0}, \quad \forall n \geq n_0.$$

Up to a subsequence,  $(u_{n,w})$  is weakly convergent to some point  $u_w$  in  $E$ . We claim that, up to a subsequence,  $(u_{n,w})$  is strongly convergent to  $u_w$  in  $E$ . First, observe that by (2.2) we have

$$\int_{\mathbb{R}^N} |f(x, u_{n,w})|^{N'} dx \leq c_5 \int_{\mathbb{R}^N} |u_{n,w}|^{\alpha N'} dx.$$

Thus, we get the boundedness of the sequence  $(f(\cdot, u_{n,w}))$  in  $L^{N'}(\mathbb{R}^N)$ . This fact together with the compact embedding  $E \hookrightarrow L^N(\mathbb{R}^N)$  imply

$$\int_{\mathbb{R}^N} |f(x, u_{n,w})(u_{n,w} - u_w)| dx \rightarrow 0, \quad n \rightarrow +\infty. \quad (2.6)$$

Using (2.6) and the weak convergence of  $(u_{n,w})$  to  $u_w$  in  $E$ , we obtain

$$\begin{aligned} & \int_{\mathbb{R}^N} a(x, w)(|\nabla u_{n,w}|^{N-2}\nabla u_{n,w} - |\nabla u_w|^{N-2}\nabla u_w)\nabla(u_{n,w} - u_w) dx \\ & + \int_{\mathbb{R}^N} V(x)(|u_{n,w}|^{N-2}u_{n,w} - |u_w|^{N-2}u_w)(u_{n,w} - u_w) dx \\ & + \int_{\mathbb{R}^N} (|\Delta u_{n,w}|^{N-2}\Delta u_{n,w} - |\Delta u_w|^{N-2}\Delta u_w)\Delta(u_{n,w} - u_w) dx \\ & \rightarrow 0, \quad \text{as } n \rightarrow +\infty. \end{aligned}$$

Recalling the standard inequality

$$(|x|^{N-2}x - |y|^{N-2}y)(x - y) \geq 2^{-N}|x - y|^N, \quad \forall x, y \in \mathbb{R}^r, \forall r \geq 1, \quad (2.7)$$

we can deduce that, up to a subsequence,  $(u_{n,w})$  is strongly convergent to  $u_w$  in  $E$ . Consequently,  $u_w$  is a critical point of  $I_w$  and  $I_w(u_w) = c_w \geq \mu > 0$ . Moreover, taking into account that

$$\|u_{n,w}\| \leq \eta, \quad \forall n \geq n_0,$$

and passing to the limit as  $n \rightarrow +\infty$ , we obtain  $\|u_w\| \leq \eta$ . □

**Lemma 2.4.** *Assume that (V1)–(V2), (H1), (H5) hold. Let  $w \in E$  be such that  $\|w\| \leq \frac{1}{\delta_0}$ . Then, the functional  $I_w$  admits a nontrivial weak solution  $U_w \in E$  such that  $I_w(U_w) \leq -\sigma < 0$  and  $\|U_w\| \leq \rho$ , where  $\rho$  is given by Lemma 2.1 and  $\sigma$  is some positive constant independent of  $w$ .*

*Proof.* Let  $\varphi$  be the function introduced and used in Lemma 2.2. Clearly, we can choose  $0 \leq \varphi(x) \leq 1$  for all  $x \in \mathbb{R}^N$ . For  $0 < t < 1$ , we have

$$I_w(t\varphi) \leq \max\{1, a'_1\} \frac{t^N}{N} \|\varphi\|^N - \int_{\mathbb{R}^N} F(x, t\varphi) dx - t \int_{\mathbb{R}^N} h\varphi dx. \quad (2.8)$$

By (H1), we can easily obtain

$$\lim_{t \rightarrow 0^+} \int_{\mathbb{R}^N} \frac{F(x, t\varphi)}{t} dx = 0.$$

Moreover, since

$$\int_{\mathbb{R}^N} h\varphi dx > 0,$$

by (2.8) one can easily find  $0 < t_1 < \inf(1, \frac{\rho}{\|\varphi\|})$  small enough and independent of  $w$ , and  $\sigma > 0$  also independent of  $w$  such that

$$I_w(t_1\varphi) \leq -\sigma < 0.$$

Now, denote

$$\theta_w = \inf\{I_w(u), \|u\| \leq \rho\}.$$

In view of Lemma 2.1 and by the Ekeland's variational principle (see [13]), there exists a sequence  $(U_{n,w}) \subset E$  such that

$$\|U_{n,w}\| \leq \rho, \quad I_w(U_{n,w}) \rightarrow \theta_w, \quad \text{and} \quad I'_w(U_{n,w}) \rightarrow 0.$$

Up to a subsequence,  $(U_{n,w})$  is weakly convergent to some point  $U_w$  in  $E$ . Observe that  $\rho < \frac{1}{\delta_0}$  and arguing as for (2.6), we can prove that

$$\int_{\mathbb{R}^N} f(x, U_{n,w})(U_{n,w} - U_w) dx \rightarrow 0, \quad \text{as } n \rightarrow +\infty.$$

Proceeding exactly as for the sequence  $(u_{n,w})$ , we can easily show that, up to a subsequence,  $(U_{n,w})$  is strongly convergent to  $U_w$  in  $E$ . Therefore, the point  $U_w$  is a critical point of  $I_w$  satisfying

$$I_w(U_w) = \theta_w \leq -\sigma < 0, \quad \text{and} \quad \|U_w\| \leq \rho.$$

This completes the proof.  $\square$

**Proof of Theorem 1.2 completed.** To conclude the proof, an iterative scheme will be performed. Let  $0 < \eta < 1/\delta_0$  and fix  $u_0 \in E$  such that  $\|u_0\| \leq \eta$ . By Lemma 2.3, under the condition  $A > A_\eta$ , and  $|h|_{L^{N'}(\mathbb{R}^N)} < d_\eta$ , the functional  $I_{u_0}$  admits a nontrivial critical point  $u_1 \in E$  such that

$$I_{u_0}(u_1) \geq \mu > 0, \quad \|u_1\| \leq \eta.$$

Similarly, the functional  $I_{u_1}$  admits a critical point  $u_2$  such that

$$I_{u_1}(u_2) \geq \mu > 0, \quad \|u_2\| \leq \eta.$$

This way, we construct a sequence  $(u_n) \subset E$  such that

$$\|u_n\| \leq \eta, \quad I_{u_{n-1}}(u_n) \geq \mu > 0,$$

and  $u_n$  is a critical point of the functional  $I_{u_{n-1}}$ . Thus, we have

$$\begin{aligned} & \int_{\mathbb{R}^N} a(x, u_{n-1}) |\nabla u_n|^{N-2} \nabla u_n \nabla v \, dx \\ & + \int_{\mathbb{R}^N} V(x) |u_n|^{N-2} u_n v \, dx + \int_{\mathbb{R}^N} |\Delta u_n|^{N-2} \Delta u_n \Delta v \, dx \\ & = \int_{\mathbb{R}^N} f(x, u_n) v \, dx + \int_{\mathbb{R}^N} h v \, dx, \quad \forall v \in E. \end{aligned} \quad (2.9)$$

Similarly, we have

$$\begin{aligned} & \int_{\mathbb{R}^N} a(x, u_n) |\nabla u_{n+1}|^{N-2} \nabla u_{n+1} \nabla v \, dx \\ & + \int_{\mathbb{R}^N} V(x) |u_{n+1}|^{N-2} u_{n+1} v \, dx + \int_{\mathbb{R}^N} |\Delta u_{n+1}|^{N-2} \Delta u_{n+1} \Delta v \, dx \\ & = \int_{\mathbb{R}^N} f(x, u_{n+1}) v \, dx + \int_{\mathbb{R}^N} h v \, dx, \quad \forall v \in E. \end{aligned} \quad (2.10)$$

Taking  $v = u_{n+1} - u_n$  as test function in (2.9) and (2.10), and subtracting one equation from the other, we obtain

$$\begin{aligned} & \int_{\mathbb{R}^N} a(x, u_n) \left( |\nabla u_{n+1}|^{N-2} \nabla u_{n+1} - |\nabla u_n|^{N-2} \nabla u_n \right) \nabla (u_{n+1} - u_n) \, dx \\ & + \int_{\mathbb{R}^N} (a(x, u_n) - a(x, u_{n-1})) |\nabla u_n|^{N-2} \nabla u_n \nabla (u_{n+1} - u_n) \, dx \\ & + \int_{\mathbb{R}^N} V(x) \left( |u_{n+1}|^{N-2} u_{n+1} - |u_n|^{N-2} u_n \right) (u_{n+1} - u_n) \, dx \\ & + \int_{\mathbb{R}^N} \left( |\Delta u_{n+1}|^{N-2} \Delta u_{n+1} - |\Delta u_n|^{N-2} \Delta u_n \right) \Delta (u_{n+1} - u_n) \, dx \\ & = \int_{\mathbb{R}^N} (f(x, u_{n+1}) - f(x, u_n)) (u_{n+1} - u_n) \, dx. \end{aligned} \quad (2.11)$$



Since  $\|u_n\| \leq \eta$ , for all  $n \geq 1$ , it follows by (1.4) that

$$|u_n|_{L^\infty(\mathbb{R}^N)}, |u_{n+1}|_{L^\infty(\mathbb{R}^N)} \leq \delta_0 \eta < 1, \quad \forall n \geq 0.$$

By  $(H_4)$ , it yields

$$|f(x, u_{n+1}(x)) - f(x, u_n(x))| \leq \beta_0(\delta_0 \eta)^{\beta_1} |u_{n+1}(x) - u_n(x)|^{N-1},$$

a.e.  $x \in \mathbb{R}^N$ , for all  $n \geq 0$ . Consequently

$$\int_{\mathbb{R}^N} (f(x, u_{n+1}) - f(x, u_n))(u_{n+1} - u_n) dx \leq \beta_0(\delta_0 \eta)^{\beta_1} \int_{\mathbb{R}^N} |u_{n+1} - u_n|^N dx. \quad (2.12)$$

If we take  $\eta$  small enough such that

$$\beta_0(\delta_0 \eta)^{\beta_1} \leq \frac{V_0 \min\{1, a_1\} 2^{-N}}{4},$$

then from (2.12) we infer

$$\begin{aligned} & \int_{\mathbb{R}^N} (f(x, u_{n+1}) - f(x, u_n))(u_{n+1} - u_n) dx \\ & \leq \frac{\min\{1, a_1\} 2^{-N}}{4} \int_{\mathbb{R}^N} V(x) |u_{n+1} - u_n|^N dx \\ & \leq \frac{\min\{1, a_1\} 2^{-N}}{4} \|u_{n+1} - u_n\|^N. \end{aligned} \quad (2.13)$$

On the other hand, by Young's inequality we have

$$\begin{aligned} & \int_{\mathbb{R}^N} |a(x, u_n) - a(x, u_{n-1})| |\nabla u_n|^{N-1} |\nabla(u_{n+1} - u_n)| dx \\ & \leq \frac{\min\{1, a_1\} 2^{-N}}{4} \int_{\mathbb{R}^N} |\nabla(u_{n+1} - u_n)|^N dx \\ & \quad + c_6 \int_{\mathbb{R}^N} |a(x, u_n) - a(x, u_{n-1})|^{N'} |\nabla u_n|^N dx, \end{aligned}$$

and by  $(H_6)$  it follows that

$$\begin{aligned} & \int_{\mathbb{R}^N} |a(x, u_n) - a(x, u_{n-1})| |\nabla u_n|^{N-1} |\nabla(u_{n+1} - u_n)| dx \\ & \leq \frac{\min\{1, a_1\} 2^{-N}}{4} \|u_{n+1} - u_n\|^N + c_6 L^{N'} |u_n - u_{n-1}|_{L^\infty(\mathbb{R}^N)}^N \|u_n\|^N \\ & \leq \frac{\min\{1, a_1\} 2^{-N}}{4} \|u_{n+1} - u_n\|^N + (c_6 L^{N'} \delta_0^N \eta^N) \|u_n - u_{n-1}\|^N. \end{aligned} \quad (2.14)$$

Using (2.7), (2.11), (2.13) and (2.14), we obtain

$$\frac{\min\{1, a_1\}}{2^{N+1}} \|u_{n+1} - u_n\|^N \leq (c_6 L^{N'} \delta_0^N \eta^N) \|u_n - u_{n-1}\|^N. \quad (2.15)$$

Set

$$\Gamma(\eta) = \left( \frac{c_6 L^{N'} \delta_0^N \eta^N 2^{N+1}}{\min\{1, a_1\}} \right)^{1/N}.$$

By (2.15), it yields

$$\|u_{n+1} - u_n\| \leq \Gamma(\eta) \|u_n - u_{n-1}\|, \quad \forall n \geq 1. \quad (2.16)$$

Clearly, we can choose  $\eta$  small enough such that  $\Gamma(\eta) < 1$ . Therefore, by (2.16)  $(u_n)$  is a Cauchy sequence and by consequence it is strongly convergent to some point  $u \in E$ . Passing to the limit as  $n \rightarrow +\infty$  in (2.9), we conclude that  $u$  satisfies

$$\begin{aligned} & \int_{\mathbb{R}^N} a(x, u) |\nabla u|^{N-2} \nabla u \nabla v \, dx + \int_{\mathbb{R}^N} V(x) |u|^{N-2} uv \, dx + \int_{\mathbb{R}^N} |\Delta u|^{N-2} \Delta u \Delta v \, dx \\ &= \int_{\mathbb{R}^N} f(x, u) v \, dx + \int_{\mathbb{R}^N} hv \, dx, \quad \forall v \in E. \end{aligned}$$

According to Definition 1.1, this means that  $u$  is a weak solution of problem (1.1). On the other hand, we have  $I_{u_{n-1}}(u_n) \geq \mu > 0$ , for all  $n \geq 2$ . Hence,

$$\begin{aligned} & \int_{\mathbb{R}^N} \frac{a(x, u_{n-1}) |\nabla u_n|^N + V(x) |u_n|^N + |\Delta u_n|^N}{N} \, dx \\ & - \int_{\mathbb{R}^N} F(x, u_n) \, dx - \int_{\mathbb{R}^N} hu_n \, dx \geq \mu > 0. \end{aligned}$$

Passing to the limit as  $n \rightarrow +\infty$ , it follows

$$\begin{aligned} \Psi(u) &= \int_{\mathbb{R}^N} \frac{a(x, u) |\nabla u|^N + V(x) |u|^N + |\Delta u|^N}{N} \, dx \\ & - \int_{\mathbb{R}^N} F(x, u) \, dx - \int_{\mathbb{R}^N} hu \, dx \geq \mu > 0. \end{aligned}$$

Now, using Lemma 2.4 it is immediate that an iterative scheme could be performed to construct a sequence  $(U_n) \subset E$  such that, for all  $n \geq 1$ ,

$$\|U_n\| \leq \rho < \frac{1}{\delta_0}, \quad I_{U_{n-1}}(U_n) \leq -\sigma < 0,$$

and  $U_n$  is a critical point of the functional  $I_{U_{n-1}}$ . Moreover, using the same arguments as for the sequence  $(u_n)$ , we can easily prove that the sequence  $(U_n)$  is strongly convergent to some point  $U \in E$  which is a weak solution of problem (1.1). Furthermore, we have

$$\begin{aligned} \Psi(U) &= \int_{\mathbb{R}^N} \frac{a(x, U) |\nabla U|^N + V(x) |U|^N + |\Delta U|^N}{N} \, dx \\ & - \int_{\mathbb{R}^N} F(x, U) \, dx - \int_{\mathbb{R}^N} hU \, dx \leq -\sigma < 0. \end{aligned}$$

Hence,  $u \neq U$ . This completes the proof of Theorem 1.2.

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