

GLOBAL CLASSICAL SOLUTIONS TO EQUATORIAL SHALLOW-WATER EQUATIONS

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ABSTRACT. In this article, we study the equatorial shallow-water equations with slip boundary condition in a bounded domain. By exploring the dissipative structures of the system, we obtaining a priori estimates of the solution for small initial data. Then the existence of classical global solutions and exponential stability results are given.

1. INTRODUCTION

In geophysical fluid dynamics, the rotating shallow water system is a widely used 2D approximation of the 3D geophysical hydrodynamic equations such as the Boussinesq equations and Euler equations [13]. In mid-latitudes, the rotational Coriolis terms are bounded away from zero and the rotation frequency is usually regarded as constant because the variations of the Coriolis force due to the curvature of the Earth can be neglected in many cases. However, the constant rotation frequency assumption is no longer reasonable in the equatorial region [11] since the tangential projection of the Coriolis force from rotation vanishes identically.

In this article, we consider the equatorial shallow-water equations [6]

$$\begin{aligned} H_t + U \cdot \nabla H + H \nabla \cdot U &= 0, \\ U_t + U \cdot \nabla U + \nabla H + y U^\perp &= -U, \end{aligned} \tag{1.1}$$

in which $H = H(t, x, y)$ is the height, $U = (u, v)(t, x, y)$ is the velocity, x the longitude, y the distance to the equator, and $U^\perp = (-v, u)^\top$. System (1.1) is supplemented with the initial and boundary conditions

$$\begin{aligned} H|_{t=0} &= H_0, \\ U|_{t=0} &= U_0, \\ U \cdot \mathbf{n}|_{\partial\Omega} &= 0, \\ \int_{\Omega} H_0 \, d\mathbf{x} &= \bar{H} > 0, \end{aligned} \tag{1.2}$$

where $\Omega \subset \mathbb{R}^2$ is a bounded domain with smooth boundary $\partial\Omega$, \mathbf{n} is the unit outward normal vector on the boundary of Ω .

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There have been a number of studies on the rotating shallow water system by physicists and mathematicians for its physical importance and mathematical challenges. The rotating shallow water equations with constant rotation frequency can be modeled by

$$\begin{aligned} H_t + U \cdot \nabla H + H \nabla \cdot U &= 0, \\ U_t + U \cdot \nabla U + \nabla H + fU^\perp &= S(U), \end{aligned} \quad (1.3)$$

where f is a constant and S represents the dissipation term like viscosity, damping, etc. Wang and Xu [17] proved the existence of a local large solution, as well as the existence of a global small solution, to the viscous shallow water system by using Littlewood-Paley theory. By the energy method of Matsumura and Nishida [12], and Sundbye obtained the global well-posedness of the system with small initial data in a bounded domain [15] and in the whole space [16], respectively. If the initial data close to a constant equilibrium state away from the vacuum, the existence of a global solution in Besov spaces was shown by Hao et al. [9]. For inviscid rotating shallow water system, Cheng and Xie [4] established a global classical solution under the zero relative vorticity condition by using the dispersive effect of the system. Subsequently, Qu [3] also proved the formation of singularities when the solution crosses certain thresholds. Moreover, the chemotaxis-shallow water system also attracted a lot of attention; see [1, 2, 18, 19] and the references therein.

Geophysical equatorial flows are a rich source of new problems in applied mathematics and partial differential equation theory. Mathematically, the equatorial shallow-water system was first studied by Majda and his collaborators. Dutrifoy and Majda [5] considered the singular limit problem

$$\begin{aligned} \tilde{H}_t + U \cdot \nabla \tilde{H} + \tilde{H} \nabla \cdot U + \frac{1}{\delta} \nabla \cdot U &= S^U, \\ U_t + U \cdot \nabla U + \frac{1}{\delta} (\nabla \tilde{H} + yU^\perp) &= S^{\tilde{H}}, \end{aligned} \quad (1.4)$$

where the small parameter δ represents the Froude number (typical fluid velocity ratio to the gravity wave speed) and the height fluctuations of the fluid. S^U and $S^{\tilde{H}}$ are forcing terms. By exploiting the special structure of the system in suitable new variables, they obtained the uniform existence and the convergence of the solutions with unbalanced initial data. In [6], authors proved that, in a suitable limit, solutions of the equatorial shallow water equations would converge to zonal jets. Moreover, with Schochet, they [7] also gave a simpler proof to the above singular limit problem.

In this article, we study the global well-posedness to the initial boundary value problem of the equatorial shallow-water equations (1.1)-(1.2). On the one hand, the damping term $-U$ in the momentum equation (1.1) will make the solution of the system dissipative. On the other hand, by the non-penetrating boundary condition (1.2), it is clear that $\int_\Omega H(\mathbf{x}, t) d\mathbf{x} = \int_\Omega H_0(\mathbf{x}) d\mathbf{x} = \bar{H}$. Thus, it is natural to expect that as time goes to infinity the solution of the system will converge to its equilibrium state $(\bar{H}/|\Omega|, \mathbf{0})$ provided that the initial perturbation around this equilibrium state is small, which is the most important part in this article.

Before stating our main results, we give some notation. Throughout this paper, C will denote a generic constant which is independent of time. The norms in $L^2(\Omega)$

and in $H^s(\Omega)$ are denoted by

$$\|u\| \equiv \|u\|_{L^2(\Omega)} = \left(\int_{\Omega} |u|^2 d\mathbf{x} \right)^{1/2},$$

$$\|u\|_s \equiv \|u\|_{H^s(\Omega)} = \left(\sum_{|\alpha| \leq s} \int_{\Omega} |D^\alpha u|^2 d\mathbf{x} \right)^{1/2},$$

where $\alpha = (\alpha_1, \alpha_2)$ is any multi-index with order $|\alpha| = \alpha_1 + \alpha_2$ and $D^\alpha = \partial_x^{\alpha_1} \partial_y^{\alpha_2}$. For any vector valued function $F = (f_1, f_2) : \Omega \rightarrow \mathbb{R}^2$, $\|F\|_s^2 \equiv \|f_1\|_s^2 + \|f_2\|_s^2$. The energy space under consideration is:

$X_3([0, T], \Omega) \equiv \{F : \Omega \times [0, T] \rightarrow \mathbb{R} : \partial_t^l F \in L^\infty([0, T]; H^{3-l}(\Omega)), l = 0, 1, 2, 3\}$,
equipped with norm

$$\| \|F\| \|_{3,T} \equiv \sup_{0 \leq t \leq T} \| \|F(\cdot, t)\| \| \equiv \sup_{0 \leq t \leq T} \left[\sum_{l=0}^3 \|F(\cdot, t)\|_{3-l}^2 \right]^{1/2}.$$

Our main result reads as follows.

Theorem 1.1. *Suppose that the initial data satisfies the compatibility condition of order 2, i.e.,*

$$\partial_t^l U(0) \cdot \mathbf{n}|_{\partial\Omega} = 0, \quad 0 \leq l \leq 2,$$

where $\partial_t^l U(0)$ is the l -th time derivative at $t = 0$ for any solution of (1.1)-(1.2), as calculated from (1.1) to yield an expression in terms of H_0 and U_0 . Then there exists a constant $\varepsilon > 0$ such that if

$$\|(H_0 - \bar{H}/|\Omega|, U_0)\|_3 \leq \varepsilon,$$

the initial-boundary value problem (1.1)-(1.2) admits a unique global solution

$$(H, U) \in X_3([0, \infty), \Omega).$$

Moreover, there exist positive constants C and η , which are independent of t , such that

$$\|(H - \bar{H}/|\Omega|)(\cdot, t)\|_3 + \|U(\cdot, t)\|_3 \leq C \|(H_0 - \bar{H}/|\Omega|, U_0)\|_3 \exp\{-\eta t\}. \quad (1.5)$$

We note that the main result in this paper still holds when the physical domain Ω is replaced by $\mathbb{T} \times [0, L]$ for any $L > 0$.

The proof of Theorem 1.1 is mainly based on the existence theorem and the a priori estimates of a local solution. Since the existence of the local solution can be obtained by the classical local well-posedness theory, we will focus on the a priori estimates of the solution in the majority of this article. The energy method is used to derive these key estimates. Several difficulties need to be overcome during obtaining the energy estimate to the system. The first one is that we can not obtain the normal derivative estimate of the solution because of the presence of the boundary. Here it seems that the missed estimates can only be compensated by estimating the time derivative of the solution and the vorticity $\omega = \nabla \times U$. However, as we can see later, this will lead to a new difficulty when we try to estimate the vorticity for the non-constant rotation frequency. When taking y -derivatives to the vorticity equation, we will encounter with some trouble terms which need to be controlled properly. We overcome this difficulty by designing some delicate semi-norms to capture the full dissipation mechanism of the system. Finally, since the system is partial dissipative, we need to use the nonlinear interaction of the

system to derive the dissipative estimate of h , which can be achieved through a Kawashima-type energy estimate [10].

Using the special structure of (1.1) together with induction on the number of spatial derivatives, the estimate of total energy is reduced to those for the vorticity and temporal derivatives. Actually, the method used here is simpler than the classical energy estimate.

The plan of the rest of this article is as follows. In Section 2, we reformulate the original system to get a quasi-linear symmetric hyperbolic system, the local existence result and some basic facts which will be used in this paper are given. In Section 3, we prove Theorem 1.1 by energy estimates.

2. REFORMULATION OF THE PROBLEM

To symmetrize the equations, we give a reformulation to the initial-boundary value problem (1.1)-(1.2) in this section. Without loss of generality, we assume $\bar{H}/|\Omega| = 1$.

First, multiplying (1.1)₁ by $1/H$, we have

$$\begin{aligned} \frac{1}{H}H_t + \frac{1}{H}U \cdot \nabla H + \nabla \cdot U &= 0, \\ U_t + U \cdot \nabla U + \nabla H + yU^\perp &= -U. \end{aligned}$$

Since equilibrium height is conjectured to be $\bar{H}/|\Omega| = 1$, we set $H = 1 + h$ and get the desired symmetric system

$$\begin{aligned} \frac{1}{1+h}(h_t + U \cdot \nabla h) + \nabla \cdot U &= 0, \\ U_t + U \cdot \nabla U + \nabla h + yU^\perp &= -U, \end{aligned} \tag{2.1}$$

with the initial and boundary conditions

$$\begin{aligned} h|_{t=0} &= h_0, \\ U|_{t=0} &= U_0, \\ U \cdot \mathbf{n}|_{\partial\Omega} &= 0, \end{aligned} \tag{2.2}$$

where $h_0 = H_0 - 1$.

Now, for the initial boundary value problem (2.1)-(2.2), we can use the same idea as in [14] to establish the existence of classical local solutions.

Lemma 2.1. *If $(h_0, U_0) \in H^3(\Omega)$ and satisfies the compatibility condition $\partial_t^l U(0) \cdot \mathbf{n}|_{\partial\Omega} = 0, 0 \leq l \leq 2$, then there exists a unique local solution (h, U) of problem (2.1)-(2.2) in $C^1(\bar{\Omega} \times [0, T]) \cap X_3([0, T], \Omega)$ for some finite $T > 0$. Moreover, there exist positive constants ε_0 and $C_0(T)$ such that if*

$$\|h(\cdot, 0)\|_3 + \|U(\cdot, 0)\|_3 \leq \varepsilon_0,$$

then

$$\|h\|_{3,T} + \|U\|_{3,T} \leq C_0(\|h(\cdot, 0)\|_3 + \|U(\cdot, 0)\|_3).$$

Now, we give some lemmas to be used later. The first one is an inequality of Sobolev type whose proof can be found in many textbooks [8].

Lemma 2.2. *Let Ω be any bounded domain in \mathbb{R}^2 with smooth boundary. Then*

- (i) $\|f\|_{L^\infty(\Omega)} \leq C\|f\|_{H^2(\Omega)},$
- (ii) $\|f\|_{L^p(\Omega)} \leq C\|f\|_{H^1(\Omega)}$ for $2 \leq p < \infty,$

for some constant $C > 0$ depending only on Ω .

To give the control of the velocity in terms of $\nabla \cdot U$ and the vorticity ω , we need the following lemma, see [20].

Lemma 2.3. *Let $U \in H^s(\Omega)$ be a vector-valued function satisfying $U \cdot \mathbf{n}|_{\partial\Omega} = 0$, where \mathbf{n} is the unit outer normal of $\partial\Omega$. Then*

$$\|U\|_s \leq C(\|\nabla \times U\|_{s-1} + \|\nabla \cdot U\|_{s-1} + \|U\|_{s-1}) \quad (2.3)$$

for $s \geq 1$, and the positive constant C depends only on s and Ω .

3. EXISTENCE OF GLOBAL SOLUTIONS AND LONG TIME BEHAVIOR

In this section, we shall prove the existence and the large time behavior of global solutions to (2.1)-(2.2). To this end, we need to derive a key a priori estimates, which are the main part of this section. For convenience, we set the total energy

$$W(t) \equiv \|h(t)\|_3^2 + \|U(t)\|_3^2 = \sum_{l=0}^3 \left(\|\partial_t^l h(t)\|_{3-l}^2 + \|\partial_t^l U(t)\|_{3-l}^2 \right). \quad (3.1)$$

The main result in this section is as follows.

Theorem 3.1. *Suppose that the initial data $(h_0, U_0) \in H^3(\Omega)$ and satisfies the compatibility condition of order 2. If there exists a small enough positive constant ε such that $\|(h_0, U_0)\|_3 \leq \varepsilon$, then there is a unique global classical solution of (2.1)-(2.2) such that*

$$W(t) \leq CW(0)e^{-\eta t}, \quad (3.2)$$

where C and η are positive constants independent of t .

The proof of Theorem 3.1 is based on a detailed energy estimates. To simplify the presentation, we define

$$E(t) \equiv \sum_{l=0}^3 \left(\|\partial_t^l h\|^2 + \|\partial_t^l U\|^2 \right), \quad V(t) \equiv \sum_{l=0}^2 \|\partial_t^l \omega\|_{2-l}^2. \quad (3.3)$$

By the definition of $E(t)$, $V(t)$ and $W(t)$, we find that the total energy $W(t)$ can be controlled by $E(t)$ and $V(t)$ as long as (h, U) is a sufficiently small solution of (2.1)-(2.2).

Lemma 3.2. *Let (h, U) be solution of (2.1)-(2.2). Suppose that there is a small constant $\bar{\delta} > 0$ such that $W(t) \leq \bar{\delta}$, then*

$$W(t) \leq C(E(t) + V(t)). \quad (3.4)$$

Proof. Rewriting (2.1)₂ as

$$\nabla h = -(U + U_t + U \cdot \nabla U + yU^\perp), \quad (3.5)$$

and taking the L^2 inner product with ∇h yields

$$\|\nabla h\|^2 = \int_{\Omega} -(U + U_t + U \cdot \nabla U + yU^\perp) \cdot \nabla h \, dx.$$

Combining Lemma 2.2 with the Cauchy-Schwarz inequality, we obtain

$$\begin{aligned} \|\nabla h\|^2 &\leq C(\|U\|^2 + \|U_t\|^2) + C\|U\|_{L^\infty}^2 \|\nabla U\|^2 + \|yU\|^2 \\ &\leq C(\|U\|^2 + \|U_t\|^2) + CW(t)^{3/2} \leq C(E(t) + V(t)) + CW(t)^{3/2}. \end{aligned} \quad (3.6)$$

Similarly, by rewriting (2.1)₁ as

$$\nabla \cdot U = -\frac{1}{1+h}(h_t + U \cdot \nabla h), \quad (3.7)$$

we have

$$\|\nabla \cdot U\|^2 \leq C(\|h_t\|^2 + W(t)^{3/2}). \quad (3.8)$$

Using Lemma 2.3 with $s = 1$ and (3.8), one has

$$\begin{aligned} \|U\|_1^2 &\leq C(\|\omega\|^2 + \|\nabla \cdot U\|^2 + \|U\|^2) \\ &\leq C(\|\omega\|^2 + \|h_t\|^2 + \|U\|^2 + W(t)^{3/2}) \\ &\leq C(E(t) + V(t)) + CW(t)^{3/2}. \end{aligned} \quad (3.9)$$

Applying ∂_t^l with $1 \leq l \leq 2$ to (3.5) and (3.7), taking inner product with $\partial_t^l \nabla h$ and $\partial_t^l \nabla \cdot U$ respectively, then using Lemma 2.3 to control $\|\partial_t^l U\|_1$, we find that

$$\sum_{l=0}^2 \left(\|\partial_t^l h(t)\|_1^2 + \|\partial_t^l U(t)\|_1^2 \right) \leq C(E(t) + V(t)) + CW(t)^{3/2}, \quad (3.10)$$

where we used the smallness of $\bar{\delta}$ and Sobolev inequality. Now, following similar procedure as above and by an induction on the number of spatial derivatives, one has

$$\sum_{l=0}^1 \left(\|\partial_t^l h(t)\|_2^2 + \|\partial_t^l U(t)\|_2^2 \right) \leq C(E(t) + V(t)) + CW(t)^{3/2}, \quad (3.11)$$

$$\|h(t)\|_3^2 + \|U(t)\|_3^2 \leq C(E(t) + V(t)) + CW(t)^{3/2}. \quad (3.12)$$

Combining estimates (3.10)–(3.12), we have

$$W(t) \leq C(E(t) + V(t)) + CW(t)^{3/2}. \quad (3.13)$$

Noting that $W(t) \leq \bar{\delta}$, the proof is complete. \square

Lemma 3.3. *Let (h, U) be a solution of (2.1)–(2.2). Suppose that there is a small constant $\bar{\delta} > 0$ such that $W(t) \leq \bar{\delta}$. Then*

$$\begin{aligned} &\frac{d}{dt} \left(\|h\|^2 + \|h_t\|^2 + \left\| \sqrt{\frac{1}{1+h}} h_{tt} \right\|^2 + \left\| \sqrt{\frac{1}{1+h}} h_{ttt} \right\|^2 + \sum_{l=0}^3 \|\partial_t^l U\|^2 \right) \\ &+ 2 \sum_{l=0}^3 \|\partial_t^l U\|^2 \leq CW(t)^{3/2}. \end{aligned} \quad (3.14)$$

Proof. By calculating $h(1+h) \cdot (2.1)_1 + U \cdot (2.1)_2$, we have

$$\begin{aligned} &\frac{1}{2} \frac{d}{dt} (h^2 + |U|^2) + |U|^2 \\ &= - \left[-hU \cdot \nabla h + U \cdot (U \cdot \nabla U) + \nabla \cdot (hU) + \nabla \cdot (h^2 U) \right]. \end{aligned} \quad (3.15)$$

Integrating (3.15) over Ω and using integration by parts and the non-penetration boundary condition (2.2), we obtain

$$\frac{1}{2} \frac{d}{dt} (\|h\|^2 + \|U\|^2) + \|U\|^2 \leq \|h\|_{L^2} \|U\|_{L^\infty} \|\nabla h\|_{L^2} + \|U\|_{L^2} \|U\|_{L^\infty} \|\nabla U\|_{L^2}. \quad (3.16)$$

Then we deduce that

$$\frac{d}{dt} (\|h\|^2 + \|U\|^2) + 2\|U\|^2 \leq CW(t)^{3/2}. \quad (3.17)$$

Differentiating $(1 + h) \cdot (2.1)_1$ and $(2.1)_2$ with respect to t , multiplying by h_t, U_t respectively, we obtain

$$\begin{aligned} \frac{1}{2} \frac{d}{dt} (h_t^2 + |U_t|^2) + |U_t|^2 &= -h_t U_t \cdot \nabla h - h_t U \cdot \nabla h_t - h_t^2 \nabla \cdot U - h h_t \nabla \cdot U_t \\ &\quad - U_t \cdot (U_t \cdot \nabla U) - U_t \cdot (U \cdot \nabla U_t) - \nabla \cdot (h_t U_t). \end{aligned}$$

Similarly,

$$\begin{aligned} &\frac{1}{2} \frac{d}{dt} (\|h_t\|^2 + \|U_t\|^2) + \|U_t\|^2 \\ &\leq \|h_t\|_{L^4} \|U_t\|_{L^4} \|\nabla h\|_{L^2} + \|h_t\|_{L^4} \|U\|_{L^4} \|\nabla h_t\|_{L^2} \\ &\quad + \|h_t\|_{L^4} \|h_t\|_{L^4} \|\nabla U\|_{L^2} + \|h\|_{L^4} \|h_t\|_{L^4} \|\nabla U_t\|_{L^2} \\ &\quad + \|U_t\|_{L^4} \|U_t\|_{L^4} \|\nabla U\|_{L^2} + \|U_t\|_{L^4} \|U\|_{L^4} \|\nabla U_t\|_{L^2}. \end{aligned} \tag{3.18}$$

Using the Sobolev embedding theorem, one has

$$\frac{d}{dt} (\|h_t\|^2 + \|U_t\|^2) + 2\|U_t\|^2 \leq CW(t)^{3/2}. \tag{3.19}$$

In a similar way, we can obtain the following second order and third order estimates

$$\frac{d}{dt} (\|\sqrt{\frac{1}{1+h}} h_{tt}\|^2 + \|U_{tt}\|^2) + 2\|U_{tt}\|^2 \leq CW(t)^{3/2}, \tag{3.20}$$

$$\frac{d}{dt} (\|\sqrt{\frac{1}{1+h}} h_{ttt}\|^2 + \|U_{ttt}\|^2) + 2\|U_{ttt}\|^2 \leq CW(t)^{3/2}. \tag{3.21}$$

Thus, combining (3.17), (3.19), (3.20), and (3.21), we obtain (3.14). This completes the proof. \square

The estimate (3.14) contains the dissipation in velocity due to the friction term $-U$ in the equation. To close our a priori assumption $W(t) \leq \bar{\delta}$, it is important to derive the dissipation of h , which will be done in the following lemma.

Lemma 3.4. *Let (h, U) be the solution of (2.1)-(2.2). If there is a small constant $\bar{\delta} > 0$ such that $W(t) \leq \bar{\delta}$, then*

$$\frac{d}{dt} \left(\sum_{l=1}^3 \int_{\Omega} (-\partial_t^{l-1} h \partial_t^l h) \, dx \right) + \sum_{l=0}^3 \|\partial_t^l h\|^2 \leq CW(t)^{3/2} + c_0 \sum_{l=0}^3 \|\partial_t^l U\|^2. \tag{3.22}$$

Proof. Since $\int_{\Omega} h \, dx = \int_{\Omega} (H - 1) \, dx = 0$, using Poincaré’s inequality, we have $\|h\|^2 \leq C \|\nabla h\|^2$. This together with (3.6) yields

$$\|h\|^2 \leq C(\|U\|^2 + \|U_t\|^2) + CW(t)^{3/2}. \tag{3.23}$$

A direct calculation of $\partial_t[(1 + h)(2.1)_1] - (1 + h)\nabla \cdot (2.1)_2$ gives

$$h_{tt} + (U \cdot \nabla h)_t + h_t(\nabla \cdot U) - (1 + h)\nabla \cdot (U \cdot \nabla U + \nabla h + U + yU^\perp) = 0. \tag{3.24}$$

Multiplying by h , we obtain

$$\begin{aligned}
& h_{tt}h + (U \cdot \nabla h)_t h + h_t(\nabla \cdot U)h - (1+h)\nabla \cdot (U \cdot \nabla U + \nabla h + U + yU^\perp)h \\
&= (hh_t)_t - h_t^2 + (U \cdot \nabla h)_t h + hh_t(\nabla \cdot U) + (1+h)h\nabla \cdot U_t \\
&= (hh_t)_t - h_t^2 + (U_t \cdot \nabla h)h + (U \cdot \nabla h_t)h + hh_t(\nabla \cdot U) + \nabla \cdot [(h^2 + h)U_t] \\
&\quad - U_t \cdot \nabla(h^2 + h) \\
&= (hh_t)_t - h_t^2 + (U_t \cdot \nabla h)h + \nabla \cdot (hh_t U) - hh_t(\nabla \cdot U) - h_t(U \cdot \nabla h) \\
&\quad + hh_t(\nabla \cdot U) + \nabla \cdot [(h^2 + h)U_t] - U_t \cdot \nabla(h^2 + h) \\
&= (hh_t)_t - h_t^2 + (U_t \cdot \nabla h)h - h_t(U \cdot \nabla h) - 2hU_t \cdot \nabla h - U_t \cdot \nabla h \\
&\quad + \nabla \cdot [(h^2 + h)U_t + hh_t U] = 0.
\end{aligned} \tag{3.25}$$

Integrating over Ω and using Cauchy-Schwarz inequality, we have

$$-\frac{d}{dt} \int_{\Omega} hh_t \, d\mathbf{x} + \|h_t\|^2 \leq C(W(t)^{3/2} + \|\nabla h\|^2 + \|U_t\|^2). \tag{3.26}$$

Combining this with (3.6), we have

$$-\frac{d}{dt} \int_{\Omega} hh_t \, d\mathbf{x} + \|h_t\|^2 \leq C(W(t)^{3/2} + \|U\|^2 + \|U_t\|^2), \tag{3.27}$$

Similarly, we have

$$-\frac{d}{dt} \int_{\Omega} h_t h_{tt} \, d\mathbf{x} + \|h_{tt}\|^2 \leq C(W(t)^{3/2} + \|U_t\|^2 + \|U_{tt}\|^2), \tag{3.28}$$

$$-\frac{d}{dt} \int_{\Omega} h_{tt} h_{ttt} \, d\mathbf{x} + \|h_{ttt}\|^2 \leq C(W(t)^{3/2} + \|U_{tt}\|^2 + \|U_{ttt}\|^2). \tag{3.29}$$

Collecting (3.23), (3.27)-(3.29), the proof is complete. \square

Let $c_1 \equiv \max\{2, c_0\}$, and

$$\begin{aligned}
E_1(t) &\equiv c_1 (\|h\|^2 + \|h_t\|^2 + \|\sqrt{\frac{1}{1+h}} h_{tt}\|^2 + \|\sqrt{\frac{1}{1+h}} h_{ttt}\|^2 + \sum_{l=0}^3 \|\partial_t^l U\|^2) \\
&\quad - \sum_{l=1}^3 \int_{\Omega} (-\partial_t^{l-1} h \partial_t^l h) \, d\mathbf{x}.
\end{aligned} \tag{3.30}$$

Then, from the estimates in Lemma 3.3 and Lemma 3.4, we have the following result.

Lemma 3.5. *There exist constants $C_2, C > 0$ such that*

$$\frac{d}{dt} E_1(t) + C_2 E(t) \leq C W(t)^{3/2}. \tag{3.31}$$

Proof. Taking $c_1 \times (3.14) + (3.22)$ yields

$$\frac{d}{dt} E_1(t) + c_0 \sum_{l=0}^3 \|\partial_t^l U\|^2 + \sum_{l=0}^3 \|\partial_t^l h\|^2 \leq C W(t)^{3/2}. \tag{3.32}$$

Letting $C_2 = \min\{c_0, 1\}$, inequality (3.31) follows directly from (3.32). \square

Lemma 3.6. *Let (h, U) be the solution of (2.1)-(2.2). Suppose that there is a small constant $\bar{\delta} > 0$ such that $W(t) \leq \bar{\delta}$, then*

$$\frac{d}{dt}V_1(t) + C_5V_1(t) \leq CW(t)^{3/2} + C_6E(t), \quad (3.33)$$

where $C_5, C_6, C > 0$ are constants and V_1 is defined as (3.66).

Proof. Applying the curl to (2.1)₂ yields

$$\omega_t + \omega = -U \cdot \nabla \omega - \omega(\nabla \cdot U) - y \nabla \cdot U - v. \quad (3.34)$$

Multiplying the above equation by ω , we obtain

$$\omega \omega_t + \omega^2 = -\omega U \cdot \nabla \omega - \omega^2(\nabla \cdot U) - y \omega \nabla \cdot U - \omega v.$$

Integrating the resulting equation and using the boundary condition, we obtain

$$\frac{1}{2} \frac{d}{dt} \|\omega\|^2 + \|\omega\|^2 \leq C_3 W(t)^{3/2} - \int_{\Omega} y \omega \nabla \cdot U \, d\mathbf{x} - \int_{\Omega} \omega v \, d\mathbf{x}. \quad (3.35)$$

Dealing with the last two terms on the right-hand side of the above inequality,

$$\int_{\Omega} y \omega \nabla \cdot U \, d\mathbf{x} = \int_{\Omega} y \omega \left[-\frac{1}{1+h} (h_t + U \cdot \nabla h) \right] d\mathbf{x},$$

where we used the equality

$$\nabla \cdot U = -\frac{1}{1+h} (h_t + U \cdot \nabla h).$$

Combining Hölder's inequality, Lemma 2.2 and Cauchy-Schwarz inequality, we obtain

$$\int_{\Omega} y \omega \nabla \cdot U \, d\mathbf{x} \leq C_3 (W(t)^{3/2} + E(t)) + \frac{1}{8} \|\omega\|^2, \quad (3.36)$$

$$\int_{\Omega} \omega v \, d\mathbf{x} \leq C_3 E(t) + \frac{1}{8} \|\omega\|^2. \quad (3.37)$$

Putting the above two estimates into (3.35), we obtain

$$\frac{d}{dt} \|\omega\|^2 + \frac{1}{2} \|\omega\|^2 \leq C_4 (W(t)^{3/2} + E(t)), \quad (3.38)$$

where $C_4 > 1$.

First order estimate. Differentiating (3.34) with respect to x and multiplying the resulting equation by ω_x , we obtain

$$\begin{aligned} \omega_x \omega_{tx} + \omega_x^2 &= -\omega_x U_x \cdot \nabla \omega - \omega_x U \cdot \nabla \omega_x - \omega_x^2 (\nabla \cdot U) \\ &\quad - \omega_x \omega (\nabla \cdot U_x) - y \omega_x \nabla \cdot U_x - \omega_x v_x. \end{aligned}$$

Integrating the above equation over Ω and using Hölder's inequality and Lemma 2.2, we obtain

$$\frac{1}{2} \frac{d}{dt} \|\omega_x\|^2 + \|\omega_x\|^2 \leq C_3 W(t)^{3/2} - \int_{\Omega} y \omega_x \nabla \cdot U_x \, d\mathbf{x} - \int_{\Omega} \omega_x v_x \, d\mathbf{x}. \quad (3.39)$$

Similarly, we can estimate the last two terms on the right-hand side of the above inequality as follows:

$$\int_{\Omega} y \omega_x \nabla \cdot U_x \, d\mathbf{x} = \int_{\Omega} y \omega_x \left[\frac{1}{(1+h)^2} h_x (h_t + U \cdot \nabla h) \right] d\mathbf{x}$$

$$- \int_{\Omega} y \omega_x \frac{1}{1+h} (h_{tx} + U_x \cdot \nabla h + U \cdot \nabla h_x) \, d\mathbf{x}.$$

Using Hölder's inequality and Lemma 2.2, we have

$$\int_{\Omega} y \omega_x \nabla \cdot U_x \, d\mathbf{x} \leq C_3 W(t)^{3/2} + c_3 \int_{\Omega} \omega_x h_{tx} \, d\mathbf{x}. \quad (3.40)$$

Based on (2.1)₂, the Hölder's inequality, Lemma 2.2 and Cauchy-Schwarz inequality, we obtain

$$\begin{aligned} c_3 \int_{\Omega} \omega_x h_{tx} \, d\mathbf{x} &= c_3 \int_{\Omega} \omega_x (-u + yv - u_t - U \cdot \nabla u)_t \, d\mathbf{x} \\ &= c_3 \int_{\Omega} \omega_x (-u_t + yv_t - u_{tt} - U_t \cdot \nabla u - U \cdot \nabla u_t) \, d\mathbf{x} \\ &\leq c_3 (\|\omega_x\| \|u_t\| + \|\omega_x\| \|v_t\| + \|\omega_x\| \|u_{tt}\|) + C_3 W(t)^{3/2} \\ &\leq \frac{1}{8} \|\omega_x\|^2 + C_3 \|u_t\|^2 + \frac{1}{8} \|\omega_x\|^2 + C_3 \|v_t\|^2 + \frac{1}{8} \|\omega_x\|^2 \\ &\quad + C_3 \|u_{tt}\|^2 + C_3 W(t)^{3/2} \\ &\leq C_3 (W(t)^{3/2} + E(t)) + \frac{3}{8} \|\omega_x\|^2. \end{aligned} \quad (3.41)$$

From (3.9), it is clear that

$$\begin{aligned} \int_{\Omega} \omega_x v_x \, d\mathbf{x} &\leq \|\omega_x\| \|v_x\| \leq \|\omega_x\| \|U\|_1 \\ &\leq C_3 (W(t)^{3/2} + E(t) + \|\omega\|^2) + \frac{1}{8} \|\omega_x\|^2, \end{aligned} \quad (3.42)$$

which together with (3.39)-(3.41) gives

$$\frac{d}{dt} \|\omega_x\|^2 + \frac{1}{2} \|\omega_x\|^2 \leq C_4 (W(t)^{3/2} + E(t) + \|\omega\|^2). \quad (3.43)$$

Differentiating (3.34) with respect to y and multiplying the resulting equation by ω_y , we obtain

$$\begin{aligned} \omega_y \omega_{ty} + \omega_y^2 &= -\omega_y U_y \cdot \nabla \omega - \omega_y U \cdot \nabla \omega_y - \omega_y^2 (\nabla \cdot U) \\ &\quad - \omega_y \omega (\nabla \cdot U_y) - \omega_y \nabla \cdot U - y \omega_y \nabla \cdot U_y - \omega_y v_y. \end{aligned}$$

Integrating the above equation over Ω , we have

$$\begin{aligned} \frac{1}{2} \frac{d}{dt} \|\omega_y\|^2 + \|\omega_y\|^2 \\ \leq C_3 W(t)^{3/2} - \int_{\Omega} \omega_y \nabla \cdot U \, d\mathbf{x} - \int_{\Omega} y \omega_y \nabla \cdot U_y \, d\mathbf{x} - \int_{\Omega} \omega_y v_y \, d\mathbf{x}. \end{aligned} \quad (3.44)$$

Now we estimate the last three terms on the right-hand side of the above inequality as follows:

$$\begin{aligned} \int_{\Omega} y \omega_y \nabla \cdot U_y \, d\mathbf{x} &= \int_{\Omega} y \omega_y \left[\frac{1}{(1+h)^2} h_y (h_t + U \cdot \nabla h) \right] \, d\mathbf{x} \\ &\quad - \int_{\Omega} y \omega_y \frac{1}{1+h} (h_{ty} + U_y \cdot \nabla h + U \cdot \nabla h_y) \, d\mathbf{x}. \end{aligned}$$

Using Lemma 2.2, we obtain

$$\int_{\Omega} y \omega_y \nabla \cdot U_y \, d\mathbf{x} \leq C_3 W(t)^{3/2} + c_3 \int_{\Omega} \omega_y h_{ty} \, d\mathbf{x}. \quad (3.45)$$

By (2.1)₂, one has

$$\begin{aligned} c_3 \int_{\Omega} \omega_y h_{ty} \, d\mathbf{x} &= c_3 \int_{\Omega} \omega_y (-v - yu - v_t - U \cdot \nabla v)_t \, d\mathbf{x} \\ &\leq C_3 (W(t)^{3/2} + E(t)) + \frac{3}{8} \|\omega_y\|^2 \end{aligned} \quad (3.46)$$

and

$$\begin{aligned} \int_{\Omega} \omega_y v_y \, d\mathbf{x} &\leq \|\omega_y\| \|v_y\| \leq \|\omega_y\| \|U\|_1 \\ &\leq C_3 (W(t)^{3/2} + E(t) + \|\omega\|^2) + \frac{1}{8} \|\omega_y\|^2. \end{aligned} \quad (3.47)$$

Similar derivations show that

$$\begin{aligned} \int_{\Omega} \omega_y \nabla \cdot U \, d\mathbf{x} &\leq \|\omega_y\| \|\nabla \cdot U\| \leq \|\omega_y\| \|U\|_1 \\ &\leq C_3 (W(t)^{3/2} + E(t) + \|\omega\|^2) + \frac{1}{8} \|\omega_y\|^2. \end{aligned} \quad (3.48)$$

Combining (3.44)-(3.48), we obtain

$$\frac{d}{dt} \|\omega_y\|^2 + \frac{1}{2} \|\omega_y\|^2 \leq C_4 (W(t)^{3/2} + E(t) + \|\omega\|^2). \quad (3.49)$$

Therefore,

$$\frac{d}{dt} \|\partial\omega\|^2 + \frac{1}{2} \|\partial\omega\|^2 \leq C_4 (W(t)^{3/2} + E(t) + \|\omega\|^2), \quad (3.50)$$

where ∂ denotes spatial derivatives ∂_x and ∂_y .

Finally, differentiating (3.34) with respect to t and multiplying the resulting equation by ω_t , we obtain

$$\begin{aligned} \omega_t \omega_{tt} + \omega_t^2 &= -\omega_t U_t \cdot \nabla \omega - \omega_t U \cdot \nabla \omega_t - \omega_t^2 (\nabla \cdot U) \\ &\quad - \omega_t \omega (\nabla \cdot U_t) - y \omega_t \nabla \cdot U_t - \omega_t v_t. \end{aligned}$$

Integrating the above equation over Ω gives

$$\frac{1}{2} \frac{d}{dt} \|\omega_t\|^2 + \|\omega_t\|^2 \leq C_3 W(t)^{3/2} - \int_{\Omega} y \omega_t \nabla \cdot U_t \, d\mathbf{x} - \int_{\Omega} \omega_t v_t \, d\mathbf{x}. \quad (3.51)$$

Since

$$\begin{aligned} \int_{\Omega} y \omega_t \nabla \cdot U_t \, d\mathbf{x} &= \int_{\Omega} y \omega_t \left[\frac{1}{(1+h)^2} h_t (h_t + U \cdot \nabla h) \right] \, d\mathbf{x} \\ &\quad - \int_{\Omega} y \omega_t \frac{1}{1+h} (h_{tt} + U_t \cdot \nabla h + U \cdot \nabla h_t) \, d\mathbf{x}, \end{aligned}$$

we have

$$\begin{aligned} \int_{\Omega} y \omega_t \nabla \cdot U_t \, d\mathbf{x} &\leq C_3 W(t)^{3/2} + c_3 \int_{\Omega} \omega_t h_{tt} \, d\mathbf{x} \\ &\leq C_3 W(t)^{3/2} + c_3 \|\omega_t\| \|h_{tt}\| \\ &\leq C_3 (W(t)^{3/2} + E(t)) + \frac{1}{8} \|\omega_t\|^2. \end{aligned} \quad (3.52)$$

By Cauchy-Schwarz inequality, we have

$$\int_{\Omega} \omega_t v_t \, d\mathbf{x} \leq \|\omega_t\| \|v_t\| \leq \|\omega_t\| \|U_t\| \leq C_3 E(t) + \frac{1}{8} \|\omega_t\|^2, \quad (3.53)$$

which together with (3.51)-(3.52) implies

$$\frac{d}{dt}\|\omega_t\|^2 + \frac{1}{2}\|\omega_t\|^2 \leq C_4(W(t)^{3/2} + E(t)). \quad (3.54)$$

Second order estimate. Firstly, differentiating (3.34) with respect to t for second order and multiplying the resulting equation by ω_{tt} , we obtain

$$\begin{aligned} \omega_{tt}\omega_{ttt} + \omega_{tt}^2 &= -\omega_{tt}U_{tt} \cdot \nabla\omega - 2\omega_{tt}U_t \cdot \nabla\omega_t - \omega_{tt}U \cdot \nabla\omega_{tt} - \omega_{tt}^2(\nabla \cdot U) \\ &\quad - 2\omega_{tt}\omega_t \nabla \cdot U_t - \omega_{tt}\omega(\nabla \cdot U_{tt}) - y\omega_{tt}\nabla \cdot U_{tt} - \omega_{tt}v_{tt}. \end{aligned}$$

Integrating over Ω gives

$$\begin{aligned} &\frac{1}{2}\frac{d}{dt}\|\omega_{tt}\|^2 + \|\omega_{tt}\|^2 \\ &\leq C_3W(t)^{3/2} - \int_{\Omega}\omega_{tt}U \cdot \nabla\omega_{tt} \, d\mathbf{x} - \int_{\Omega}y\omega_{tt}\nabla \cdot U_{tt} \, d\mathbf{x} - \int_{\Omega}\omega_{tt}v_{tt} \, d\mathbf{x}. \end{aligned} \quad (3.55)$$

Since

$$\begin{aligned} \int_{\Omega}\omega_{tt}U \cdot \nabla\omega_{tt} \, d\mathbf{x} &= \int_{\Omega}\nabla \cdot \left(\frac{\omega_{tt}^2}{2}U\right) - \frac{\omega_{tt}^2}{2}\nabla \cdot U \, d\mathbf{x} \\ &= - \int_{\Omega}\frac{\omega_{tt}^2}{2}\nabla \cdot U \, d\mathbf{x} \leq C_3W(t)^{3/2}, \end{aligned} \quad (3.56)$$

and

$$\begin{aligned} \int_{\Omega}y\omega_{tt}\nabla \cdot U_{tt} \, d\mathbf{x} &= \int_{\Omega}y\omega_{tt}\left[\frac{h_{tt}(1+h)^2 - 2h_t^2(1+h)}{(1+h)^4}(h_t + U \cdot \nabla h)\right] \, d\mathbf{x} \\ &\quad + 2 \int_{\Omega}y\omega_{tt}\frac{h_t}{(1+h)^2}(h_{tt} + U_t \cdot \nabla h + U \cdot \nabla h_t) \, d\mathbf{x} \\ &\quad - \int_{\Omega}y\omega_{tt}\frac{1}{1+h}(h_{ttt} + U_{tt} \cdot \nabla h + 2U_t \cdot \nabla h_t + U \cdot \nabla h_{tt}) \, d\mathbf{x}, \end{aligned}$$

we obtain

$$\begin{aligned} \int_{\Omega}y\omega_{tt}\nabla \cdot U_{tt} \, d\mathbf{x} &\leq C_3W(t)^{3/2} - \int_{\Omega}\frac{y}{1+h}\omega_{tt}h_{ttt} \, d\mathbf{x} \\ &\leq C_3W(t)^{3/2} + \frac{1}{8}\|\omega_{tt}\|^2 + C_3\|h_{ttt}\|^2 \\ &\leq C_3(W(t)^{3/2} + E(t)) + \frac{1}{8}\|\omega_{tt}\|^2. \end{aligned} \quad (3.57)$$

Since

$$\int_{\Omega}\omega_{tt}v_{tt} \, d\mathbf{x} \leq \|\omega_{tt}\|\|U_{tt}\| \leq C_3E(t) + \frac{1}{8}\|\omega_{tt}\|^2, \quad (3.58)$$

from (3.55)-(3.57) we obtain

$$\frac{d}{dt}\|\omega_{tt}\|^2 + \frac{1}{2}\|\omega_{tt}\|^2 \leq C_4(W(t)^{3/2} + E(t)). \quad (3.59)$$

Secondly, applying ∂_x^2 to (3.34) and multiplying by ω_{xx} , we obtain

$$\begin{aligned} \omega_{xx}\omega_{txx} + \omega_{xx}^2 &= -\omega_{xx}U_{xx} \cdot \nabla\omega - 2\omega_{xx}U_x \cdot \nabla\omega_x - \omega_{xx}U \cdot \nabla\omega_{xx} - \omega_{xx}^2(\nabla \cdot U) \\ &\quad - 2\omega_{xx}\omega_x \nabla \cdot U_x - \omega_{xx}\omega(\nabla \cdot U_{xx}) - y\omega_{xx}\nabla \cdot U_{xx} - \omega_{xx}v_{xx}. \end{aligned}$$

Thus

$$\begin{aligned} \frac{1}{2} \frac{d}{dt} \|\omega_{xx}\|^2 + \|\omega_{xx}\|^2 &\leq C_3 W(t)^{3/2} - \int_{\Omega} \omega_{xx} U \cdot \nabla \omega_{xx} \, d\mathbf{x} \\ &\quad - \int_{\Omega} y \omega_{xx} \nabla \cdot U_{xx} \, d\mathbf{x} - \int_{\Omega} \omega_{xx} v_{xx} \, d\mathbf{x}. \end{aligned} \quad (3.60)$$

In a similar fashion, we find that

$$\int_{\Omega} \omega_{xx} U \cdot \nabla \omega_{xx} \, d\mathbf{x} = \int_{\Omega} \nabla \cdot \left(\frac{\omega_{xx}^2}{2} U \right) - \frac{\omega_{xx}^2}{2} \nabla \cdot U \, d\mathbf{x} \leq C_3 W(t)^{3/2} \quad (3.61)$$

and

$$\begin{aligned} &\int_{\Omega} y \omega_{xx} \nabla \cdot U_{xx} \, d\mathbf{x} \\ &= \int_{\Omega} y \omega_{xx} \left[\frac{h_{xx}(1+h)^2 - 2h_x^2(1+h)}{(1+h)^4} (h_t + U \cdot \nabla h) \right] \, d\mathbf{x} \\ &\quad + 2 \int_{\Omega} y \omega_{xx} \frac{h_x}{(1+h)^2} (h_{tx} + U_x \cdot \nabla h + U \cdot \nabla h_x) \, d\mathbf{x} \\ &\quad - \int_{\Omega} y \omega_{xx} \frac{1}{1+h} (h_{txx} + U_{xx} \cdot \nabla h + 2U_x \cdot \nabla h_x + U \cdot \nabla h_{xx}) \, d\mathbf{x}. \end{aligned}$$

Straightforward calculation gives

$$\begin{aligned} &\int_{\Omega} y \omega_{xx} \nabla \cdot U_{xx} \, d\mathbf{x} \\ &\leq C_3 W(t)^{3/2} - \int_{\Omega} \frac{y}{1+h} \omega_{xx} h_{txx} \, d\mathbf{x} \\ &\leq C_3 W(t)^{3/2} - \int_{\Omega} \frac{y}{1+h} \omega_{xx} (-u_{tx} + y v_{tx} - u_{ttx} \\ &\quad - U_{tx} \cdot \nabla u - U_t \cdot \nabla u_x - U_x \cdot \nabla u_t - U \cdot \nabla u_{tx}) \, d\mathbf{x} \\ &\leq C_3 W(t)^{3/2} + \frac{3}{8} \|\omega_{xx}\|^2 + C_3 (\|u_{tx}\|^2 + \|v_{tx}\|^2 + \|u_{ttx}\|^2) \\ &\leq C_3 W(t)^{3/2} + \frac{3}{8} \|\omega_{xx}\|^2 + C_3 (\|U_t\|_1^2 + \|U_t\|_1^2 + \|U_{tt}\|_1^2) \\ &\leq C_3 (W(t)^{3/2} + E(t) + \|\omega_t\|^2 + \|\omega_{tt}\|^2) + \frac{3}{8} \|\omega_{xx}\|^2. \end{aligned} \quad (3.62)$$

Similarly,

$$\begin{aligned} \int_{\Omega} \omega_{xx} v_{xx} \, d\mathbf{x} &\leq \|\omega_{xx}\| \|U\|_2 \\ &\leq C_3 (W(t)^{3/2} + E(t) + \|\omega\|^2 + \|\omega\|_1^2) + \frac{1}{8} \|\omega_{xx}\|^2. \end{aligned} \quad (3.63)$$

Combining this with (3.60)-(3.63), we obtain

$$\begin{aligned} \frac{d}{dt} \|\omega_{xx}\|^2 + \frac{1}{2} \|\omega_{xx}\|^2 &\leq C_4 (W(t)^{3/2} + E(t) + \|\omega_t\|^2 + \|\omega_{tt}\|^2 + \|\omega\|^2 + \|\omega\|_1^2), \\ \frac{d}{dt} \|\omega_{yy}\|^2 + \frac{1}{2} \|\omega_{yy}\|^2 &\leq C_4 (W(t)^{3/2} + E(t) + \|\omega_t\|^2 + \|\omega_{tt}\|^2 + \|\omega\|^2 + \|\omega\|_1^2), \\ \frac{d}{dt} \|\omega_{xy}\|^2 + \frac{1}{2} \|\omega_{xy}\|^2 &\leq C_4 (W(t)^{3/2} + E(t) + \|\omega_t\|^2 + \|\omega_{tt}\|^2 + \|\omega\|^2 + \|\omega\|_1^2), \end{aligned}$$

$$\begin{aligned}\frac{d}{dt}\|\omega_{tx}\|^2 + \frac{1}{2}\|\omega_{tx}\|^2 &\leq C_4(W(t)^{3/2} + E(t) + \|\omega_t\|^2), \\ \frac{d}{dt}\|\omega_{ty}\|^2 + \frac{1}{2}\|\omega_{ty}\|^2 &\leq C_4(W(t)^{3/2} + E(t) + \|\omega_t\|^2).\end{aligned}$$

Therefore,

$$\begin{aligned}\frac{d}{dt}\|\partial^2\omega\|^2 + \frac{1}{2}\|\partial^2\omega\|^2 &\leq C_4(W(t)^{3/2} + E(t) + \|\omega_t\|^2 + \|\omega_{tt}\|^2 \\ &\quad + \|\omega\|^2 + \|\omega\|_1^2),\end{aligned}\tag{3.64}$$

$$\frac{d}{dt}\|\partial\omega_t\|^2 + \frac{1}{2}\|\partial\omega_t\|^2 \leq C_4(W(t)^{3/2} + E(t) + \|\omega_t\|^2).\tag{3.65}$$

Calculating $20C_4^2(3.38) + 8C_4[(3.50) + (3.54) + (3.59)] + (3.64) + (3.65)$, letting $C_5 \equiv \min\{\frac{C_4-1}{10C_4}, \frac{1}{4}\}$, and defining

$$V_1(t) \equiv 20C_4^2\|\omega\|^2 + 8C_4(\|\partial\omega\|^2 + \|\omega_t\|^2) + 8C_4\|\omega_{tt}\|^2 + \|\partial^2\omega\|^2 + \|\partial\omega_t\|^2,\tag{3.66}$$

we obtain

$$\frac{d}{dt}V_1(t) + C_5V_1(t) \leq CW(t)^{3/2} + C_6E(t).$$

This completes the proof. \square

With the above lemmas, we can give the following proof.

Proof of Theorem 3.1. Calculating $\frac{2C_6}{C_2}(3.31) + (3.33)$ we have

$$\frac{d}{dt}\left(\frac{2C_6}{C_2}E_1(t) + V_1(t)\right) + (C_6E(t) + C_5V_1(t)) \leq CW(t)^{3/2}.\tag{3.67}$$

Set

$$E_2(t) \equiv \frac{2C_6}{C_2}E_1(t).\tag{3.68}$$

Then according to (3.3), (3.30), (3.68) and the definition of c_1 , C_2 and C_6 , we can easily see that $E(t)$ and $E_2(t)$ are equivalent, i.e., there exist constants $c_4, c_5 > 0$ such that

$$c_4E_2(t) \leq E(t) \leq c_5E_2(t).\tag{3.69}$$

Using (3.67) and (3.69), we have

$$\frac{d}{dt}(E_2(t) + V_1(t)) + (c_4C_6E_2(t) + C_5V_1(t)) \leq CW(t)^{3/2}.\tag{3.70}$$

Let $C_7 \equiv \min\{c_4C_6, C_5\}$. Then

$$\frac{d}{dt}(E_2(t) + V_1(t)) + C_7(E_2(t) + V_1(t)) \leq CW(t)^{3/2}.\tag{3.71}$$

On the other hand, from (3.66) and the definition of C_4 we can see that $V(t)$ and $V_1(t)$ are also equivalent, i.e., there exist constants $c_6, c_7 > 0$ such that

$$c_6V_1(t) \leq V(t) \leq c_7V_1(t).\tag{3.72}$$

From (3.4), (3.69) and (3.72), we see that

$$W(t) \leq C_1(c_5E_2(t) + c_7V_1(t)).\tag{3.73}$$

Letting $C_8 \equiv \max\{c_5C_1, c_7C_1\}$, then we obtain

$$W(t) \leq C_8(E_2(t) + V_1(t)).\tag{3.74}$$

Using the a priori assumption $W(t) \leq \bar{\delta}$, from (3.71), (3.74) and the smallness of $\bar{\delta}$ we obtain

$$\frac{d}{dt}(E_2(t) + V_1(t)) + \frac{C_7}{2}(E_2(t) + V_1(t)) \leq 0. \quad (3.75)$$

Integrating over $[0, t]$ for any $t > 0$ gives

$$(E_2(t) + V_1(t)) + \frac{C_7}{2} \int_0^t (E_2(t') + V_1(t')) dt' \leq (E_2(0) + V_1(0)). \quad (3.76)$$

Using $\|(h_0, U_0)\|_3 \leq \varepsilon$, we can choose sufficiently small ε such that

$$W(t) \leq C\varepsilon < \bar{\delta}.$$

This justifies the a priori assumption.

Finally, the exponential decay of $E_2(t) + V_1(t)$ follows directly from (3.75). Thus $W(t)$ also decays exponentially because of (3.74). This completes the proof. \square

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