PULLBACK ATTRACTORS FOR A SINGULARLY NONAUTONOMOUS PLATE EQUATION

VERA LÚCIA CARBONE, MARCELO JOSÉ DIAS NASCIMENTO, KARINA SCHIABEL-SILVA, RICARDO PARREIRA DA SILVA

Abstract. We consider the family of singularly nonautonomous plate equation with structural damping

\[ u_{tt} + a(t, x)u_t - \Delta u_t + (-\Delta)^2 u + \lambda u = f(u), \]

in a bounded domain \( \Omega \subset \mathbb{R}^n \), with Navier boundary conditions. When the nonlinearity \( f \) is dissipative we show that this problem is globally well posed in \( H^2_0(\Omega) \times L^2(\Omega) \) and has a family of pullback attractors which is upper-semicontinuous under small perturbations of the damping \( a \).

1. Introduction

We are concerned with the nonautonomous plate equation

\[ u_{tt} + a_\epsilon(t, x)u_t - \Delta u_t + (-\Delta)^2 u + \lambda u = f(u) \quad \text{in} \ \Omega, \]
\[ u = \Delta u = 0 \quad \text{on} \ \partial \Omega, \]  

(1.1)

where \( \Omega \) is a bounded smooth domain in \( \mathbb{R}^n \), \( \lambda > 0 \) and \( f : \mathbb{R} \to \mathbb{R} \) is a dissipative nonlinearity with growth conditions which will be specified later. The map \( \mathbb{R} \ni t \mapsto a_\epsilon(t, \cdot) \in L^\infty(\Omega) \) supposed to be Hölder continuous with exponent \( 0 < \beta < 1 \) and constant \( C \), uniformly in \( \epsilon \in [0, 1] \). Moreover, we suppose that there are positive constants \( \alpha_0, \alpha_1 \in \mathbb{R} \) such that \( \alpha_0 \leq a_\epsilon(t, x) \leq \alpha_1 \), for \( (t, x) \in \mathbb{R} \times \Omega, \epsilon \in [0, 1] \), and we assume the convergence \( a_\epsilon(t, x) \to a_0(t, x) \) as \( \epsilon \to 0 \), uniformly in \( \mathbb{R} \times \Omega \).

The object of this paper is to analyze the asymptotic behavior of the equation (1.1), in the energy space \( H^2_0(\Omega) \times L^2(\Omega) \), from the pullback attractors theory point of view, and also to derive some stability properties for the “pullback structures” for small values of the parameter \( \epsilon \).

The investigation of the asymptotic behavior of nonlinear dissipative equations subjected to perturbations on parameters has been extensively studied in the last two decades, with the goal of understanding how the variation of some parameters in the models of the natural sciences can determine the evolution of their state.
In the literature the asymptotic behavior and regularity properties of solutions of second order differential equations

\[ u_{tt} + Au_t + Bu = f(t, u), \] (1.2)

where \( A \) and \( B \) are self-adjoint operators in a Hilbert space \( X \) and satisfy some monotonicity properties, has been subject of recent and intense research. Such problems arise on models of vibration of elastic systems and was extensively studied in \([4, 7, 10, 11, 12, 13, 14, 16, 17]\) and in the references given there. It is important to observe that in such works the linear operators it is not time dependent. However, to study the problem (1.1) we will deal with equations where the linear operators are time dependent in the form

\[ u_{tt} + A(t)u_t + B(t)u = f(t, u). \] (1.3)

We emphasize this particularity using the term singularly non-autonomous. To deal with such equations we will need a concise existence theory as well continuation results of solutions that will be done in the Section 2. In the Section 3 we obtain some energy estimates necessary to guarantee that the solution operator for (1.1) defines an evolution process which is strongly bounded dissipative. In the Section 4 we present basic definitions and the abstract framework of the theory of pullback attractors and we prove existence of pullback attractors for the problem (1.1) as well their upper-semicontinuity is \( \epsilon = 0 \).

2. Problem set up

If \( A := (-\Delta)^2 \) denote the biharmonic operator with domain \( D(A) = \{ u \in H^4(\Omega) \cap H_0^2(\Omega) : \Delta u_{|\partial\Omega} = 0 \} \), it is well known that \( A \) is a positive self-adjoint operator in \( L^2(\Omega) \) with compact resolvent and therefore \(-A\) generates a compact analytic semigroup in \( \mathcal{L}(L^2(\Omega)) \). Let us to consider, for \( \alpha \geq 0 \), the scale of Hilbert spaces \( E^\alpha := (D(A^\alpha), \| A^\alpha \|_{L^2(\Omega)} + \| \cdot \|_{L^2(\Omega)}) \). It is of special interest the case \( \alpha = \frac{1}{2} \), where \(-A^{1/2}\) is the Laplace operator with homogeneous Dirichlet boundary conditions, ie, \( A^{1/2} = -\Delta \) with domain \( E^{1/2} = H^2(\Omega) \cap H_0^2(\Omega) \) endowed with the norm \( \| u \|_{E^{1/2}} = \| \Delta u \|_{L^2(\Omega)} + \| u \|_{L^2(\Omega)} \).

Setting the Hilbert space \( X^0 := E^{1/2} \times E^{0} \), let \( A : D(A) \subset X^0 \to X^0 \) be the elastic operator

\[
A := \begin{bmatrix} 0 & -I \\ A + \lambda I & A^{1/2} \end{bmatrix},
\]

with domain \( D(A) := E^1 \times E^{1/2} \). It is well known that this operator generates a compact analytic semigroup in \( X^0 \), see for instance \([11, 12, 13]\). Writing \( A_\epsilon(t) := A + B_\epsilon(t) \), where \( B_\epsilon(t) \) is the uniformly bounded operator given by

\[
B_\epsilon(t) := \begin{bmatrix} 0 & 0 \\ 0 & \alpha_\epsilon(t, \cdot)I \end{bmatrix};
\]

it follows that \( A_\epsilon(t) \) is also a sectorial operator in \( X^0 \), with domain \( D(A_\epsilon(t)) = D(A) \) (as a vector space) independent of \( t \) and \( \epsilon \). We observe that from the definition of \( A_\epsilon(t) \), it follows easily from Open Mapping Theorem that \( X^1 := (D(A), \| A \|_{X^0} + \| \cdot \|_{X^0}) \) is isomorphic to the space \( X^1(t) := (D(A), \| A_\epsilon(t) \|_{X^0} + \| \cdot \|_{X^0}) \), uniformly in \( t \in \mathbb{R} \) and \( \epsilon \in [0, 1] \), since we have

\[
\| A_\epsilon(t) \|_{X^1} \leq \| A \|_{X^0} + (\alpha_1 + 1) \| A \|_{X^0} \| X^0 \| \simeq \| u \|_{X^1}.
\]
Next we introduce another scale of Hilbert spaces in order to rewrite the equation \([1.1]\) as an ordinary differential equation in a suitable space. We consider \(X^\alpha := \{D(A^\alpha), \|A^\alpha\|_{X^0}, \|\|A^\alpha\|_{X^0}\}\), so by complex interpolation we have \(X^\alpha = [X^0, X^1]_\alpha = E^{(\alpha+1)/2} \times E^{\alpha/2}\), and the \(\alpha\)-realization \(A_{\alpha}(t)\) of \(A(t)\) in \(X^\alpha\) is an isometry of \(X^{\alpha+1}\) onto \(X^\alpha\). Also, the sectorial operator \(A_{\alpha}(t) : X^{\alpha+1} \subset X^\alpha \to X^\alpha\) generates a compact analytic semigroup \(\{e^{-A_{\alpha}(t)s} : s \geq 0\}\) in \(\mathcal{L}(X^\alpha)\) which is the restriction (or extension if \(\alpha < 0\)) of \(\{e^{-A(t)s} : s \geq 0\}\) to \(X^\alpha\). For more details we refer the reader to \([1, 15]\). To shorten notation, we drop the index \(\alpha\) and write \(A(t)\) for all different realizations of this operator.

In this framework the problem \([1.1]\) can be rewritten as an ordinary differential equation

\[
\frac{d}{dt}\begin{bmatrix} u \\ v \end{bmatrix} + A_{\epsilon}(t) \begin{bmatrix} u \\ v \end{bmatrix} = F\left( \begin{bmatrix} u \\ v \end{bmatrix} \right),
\]

with \(F\left( \begin{bmatrix} u \\ v \end{bmatrix} \right) = \begin{bmatrix} 0 \\ f^\epsilon (u) \end{bmatrix}\), where \(f^\epsilon\) is the Nemitski˘ı operator associated with \(f\).

To obtain solutions of \([2.1]\) we will need some information about the solution operator associated with the linear homogeneous problem

\[
\frac{d}{dt}\begin{bmatrix} u \\ v \end{bmatrix} + A_{\epsilon}(t) \begin{bmatrix} u \\ v \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \end{bmatrix}, \quad \begin{bmatrix} u(t) \\ v(t) \end{bmatrix}_{t=t_0} = \begin{bmatrix} u_0 \\ v_0 \end{bmatrix} \in X^\alpha,
\]

and to do this we introduce the following definitions:

**Definition 2.1.** Let \(\mathcal{X}\) be a Banach space and assume that for all \(t \in \mathbb{R}\) the linear operators \(A(t) : D \subset \mathcal{X} \to \mathcal{X}\) are closed and densely defined (with \(D\) independent of \(t\)).

(a) We say that \(A(t)\) is uniformly sectorial (in \(\mathcal{X}\)) if there is a constant \(M > 0\) (independent of \(t\)) such that

\[
\|(A(t) + \mu I)^{-1}\|_{\mathcal{L}(\mathcal{X})} \leq \frac{M}{|\mu| + 1}, \quad \forall \mu \in \mathbb{C}, \quad \text{Re}(\mu) \geq 0.
\]

(b) We say that the map \(t \mapsto A(t)\) is uniformly H"older continuous (in \(\mathcal{X}\)), if there are constants \(C > 0\) and \(0 < \beta < 1\), such that for any \(t, \tau, s \in \mathbb{R}\),

\[
\|A(t) - A(\tau)\|_{\mathcal{L}(\mathcal{X})} \leq C|t - \tau|^\beta.
\]

(c) We say that a family of linear operators \(\{S(t, \tau) : t \geq \tau \in \mathbb{R}\} \subset \mathcal{L}(\mathcal{X})\) is a linear evolution process if

1. \(S(\tau, \tau) = I\),
2. \(S(t, \sigma)S(\sigma, \tau) = S(t, \tau)\), for any \(t \geq \sigma \geq \tau\),
3. \((t, \tau) \mapsto S(t, \tau)v\) is continuous for all \(t \geq \tau\) and \(v \in \mathcal{X}\).

Note that the requirements on \(a_{\epsilon}, \epsilon \in [0, 1]\) and the characterization of the resolvent operator

\[
A_{\epsilon}(t)^{-1} = \begin{bmatrix} (A + \lambda)^{-1}(A^{1/2} + a_{\epsilon}(t, \cdot)I) & (A + \lambda)^{-1} \\ -I & 0 \end{bmatrix}
\]

guarantee that the operators \(A_{\epsilon}(t)\) are uniformly sectorial, and the map \(t \mapsto A_{\epsilon}(t)\) is uniformly H"older continuous in \(X^0\), uniformly in \(\epsilon\). Therefore, following \([5]\), it is possible to construct a family \(\{L_{\epsilon}(t, \tau) : t \geq \tau \in \mathbb{R}\} \subset \mathcal{L}(X^0)\) of linear evolution process that solves \([2.2]\), for each \(\epsilon \in [0, 1]\).
Definition 2.2. Let $F : X^\alpha \to X^\beta$, $\alpha \in [\beta, \beta + 1)$, be a continuous function. We say that a continuous function $x : [t_0, t_0 + \tau] \to X^\alpha$ is a (local) solution of (2.1) starting in $x_0 \in X^\alpha$, if $x \in C([t_0, t_0 + \tau], X^\alpha) \cap C^1([t_0, t_0 + \tau], X^\alpha)$, $x(t_0) = x_0$, $x(t) \in D(\mathcal{A}_t(t))$ for all $t \in (t_0, t_0 + \tau)$ and (2.1) is satisfied for all $t \in (t_0, t_0 + \tau)$.

We can now state the following result, proved in [5, Theorem 3.1]

Theorem 2.3. Suppose that the family of operators $\mathcal{A}(t)$ is uniformly sectorial and uniformly Hölder continuous in $X^\beta$. If $F : X^\alpha \to X^\beta$, $\alpha \in [\beta, \beta + 1)$, is a Lipschitz continuous map in bounded subsets of $X^\alpha$, then, given $r > 0$, there is a time $\tau > 0$ such that for all $x_0 \in B_{X^\alpha}(0, r)$ there exists a unique solution of the problem (2.1) starting in $x_0$ and defined in $[t_0, t_0 + \tau)$. Moreover, such solutions are continuous with respect the initial data in $B_{X^\alpha}(0, r)$.

Next we present the class of nonlinearities that we will consider.

Lemma 2.4. Let $f \in C^1(\mathbb{R})$ be a function such that there exist constants $c > 0$ and $\rho > 1$ such that $|f'(s)| \leq c(1 + |s|^{\rho - 1})$, for all $s \in \mathbb{R}$. Then

$$|f(s) - f(t)| \leq 2^{\rho - 1}c|s - t|(1 + |s|^{\rho - 1} + |t|^{\rho - 1}), \quad \forall s, t \in \mathbb{R}.$$ 

Proof. For $a, b, s > 0$, one has $(a + b)^s \leq 2^s \max\{a^s, b^s\} \leq 2^s(a^s + b^s)$. Hence, given $s, t \in \mathbb{R}$, it follows from Mean Value’s Theorem the existence of $\theta \in (0, 1)$ such that

$$|f(s) - f(t)| = |s - t||f'(1 - \theta)s + \theta t)| \leq c|s - t|(1 + |(1 - \theta)s + \theta t|^{\rho - 1})$$

$$\leq 2^{\rho - 1}c|s - t|(1 + |(1 - \theta)s + \theta t|^{\rho - 1})$$

$$\leq 2^{\rho - 1}c|s - t|(1 + |s|^{\rho - 1} + |t|^{\rho - 1}).$$

Lemma 2.5. Assume that $1 < \rho < \frac{n + 4}{n - 2}$ and let $f \in C^1(\mathbb{R})$ be a function such that there exists a constant $c > 0$ such that $|f'(s)| \leq c(1 + |s|^{\rho - 1})$, for all $s \in \mathbb{R}$. Then there exists $\alpha \in (0, 1)$ such that the Nemitskii operator $f^\alpha : E^{1/2} \to E^{-\alpha/2}$ is Lipschitz continuous in bounded subsets of $E^{1/2}$.

Proof. Let $c \in (0, 1)$ such that

$$\rho \leq \frac{n + 4\alpha}{n - 4}.$$  

Since $E^\gamma \hookrightarrow H^{\gamma\gamma}(\Omega)$, we have $E^{1/2} \hookrightarrow E^{\alpha/2} \hookrightarrow H^{2\alpha}(\Omega) \hookrightarrow L^{2n/(n - 4\alpha)}(\Omega)$. Therefore $L^{2n/(n + 4\alpha)}(\Omega) \hookrightarrow E^{-\alpha/2}$. Now by Lemma 2.4 and Hölder’s Inequality we obtain

$$\|f^\alpha(u) - f^\alpha(v)\|_{E^{-\alpha/2}}$$

$$\leq \hat{c} \|f^\alpha(u) - f^\alpha(v)\|_{L^{2n/(n + 4\alpha)}(\Omega)}$$

$$\leq \hat{c} \left( \int_{\Omega} [2^{\rho - 1}c|u - v|(1 + |u|^{\rho - 1} + |v|^{\rho - 1})^{2n/(n + 4\alpha)}]^{(n + 4\alpha)/(2n)} \right)^{(n + 4\alpha)/(2n)}$$

$$\leq \hat{c} \|u - v\|_{L^{2n/(n - 4\alpha)}(\Omega)} \left( \int_{\Omega} (1 + |u|^{\rho - 1} + |v|^{\rho - 1})^{n/(4\alpha)} \right)^{4\alpha/n}$$

$$\leq \hat{c} \|u - v\|_{L^{2n/(n - 4\alpha)}(\Omega)} \left( 1 + \|u\|_{L^{n/(n - 1)}/(4\alpha)}^{\rho - 1} + \|v\|_{L^{n/(n - 1)}/(4\alpha)}^{\rho - 1} \right),$$

where $\hat{c}$ is the embedding constant from $L^{2n/(n + 4\alpha)}(\Omega)$ to $E^{-\alpha/2}$. 

4 V. L. CARBONE, M. J. D. NASCIMENTO, K. SCHABEL-SILVA, R. P. SILVA EJDE-2011/77
From Sobolev embeddings $E^{1/2} \hookrightarrow E^{n/2} \hookrightarrow H^{2\alpha}(\Omega) \hookrightarrow L^{n/(\rho-1)/(4\alpha)}(\Omega)$ for all $1 < \rho \leq (n + 4\alpha)/(n - 4)$, it follows that
\[
\|f^\epsilon(u) - f^\epsilon(v)\|_{E^{-\alpha/2}} \leq C_1\|u - v\|_{E^{1/2}}(1 + \|u\|^{\rho-1}_{E^{1/2}} + \|v\|^{\rho-1}_{E^{1/2}}),
\]
for some constant $C_1 > 0$.

**Remark 2.6.** Since $L^{2n/(n+4)}(\Omega) \hookrightarrow L^2(\Omega)$, it follows from the proof of the Lemma 2.5 that $f^\epsilon : E^{1/2} \rightarrow L^2(\Omega)$ is Lipschitz continuous in bounded subsets; that is,
\[
\|f^\epsilon(u) - f^\epsilon(v)\|_{L^2(\Omega)} \leq \hat{c}\|f^\epsilon(u) - f^\epsilon(v)\|_{L^{2n/(n+4)}(\Omega)} \leq \tilde{c}\|u - v\|_{E^{1/2}}.
\]

**Corollary 2.7.** If $f$ is as in the Lemma 2.5 and $\alpha \in (0,1)$ satisfies (2.5), the function $F : X^0 \rightarrow X^{-\alpha}$, given by $F \left( \begin{bmatrix} u \\ v \end{bmatrix} \right) = \begin{bmatrix} 0 \\ f^\epsilon(u) \end{bmatrix}$, is Lipschitz continuous in bounded subsets of $X^0$.

Now, Theorem 2.3 guarantees the local well posedness for the problem 2.5 in the energy space $H^2_0(\Omega) \times L^2(\Omega)$.

**Corollary 2.8.** If $f, F$ are like in the Corollary 2.7 and $\alpha \in (0,1)$ satisfies (2.5), then given $r > 0$, for each $\epsilon \in [0,1]$ there is a time $\tau_\epsilon = \tau_\epsilon(r) > 0$, such that for all $x_0 \in B_{X^0}(0, r)$ there exists a unique solution $x_\epsilon : [t_0, t_0 + \tau_\epsilon] \rightarrow X^0$ of the problem (2.1) starting in $x_0$. Moreover, such solutions are continuous with respect the initial data in $B_{X^0}(0, r)$.

Since $\tau_\epsilon$ can be chosen uniformly in bounded subsets of $X^0$, the solutions which do not blow up in $X^0$ must exist globally.

### 3. Existence of Global Solution

In the previous section we showed that if the nonlinearity $f \in C^1(\mathbb{R})$ satisfies
\[
|f'(s)| \leq c(1 + |s|^\rho-1), \, \forall \, s \in \mathbb{R}, \quad \text{with} \quad 1 < \rho < \frac{n + 4}{n - 4}, \tag{3.1}
\]
then the equation (1.1) has a unique (local) solution $u_\epsilon = u_\epsilon(\cdot, u_0) \in C([t_0, t_0 + \tau_\epsilon], H^2(\Omega) \cap H^1_0(\Omega)) \cap C^1([t_0, t_0 + \tau_\epsilon], H^2(\Omega) \cap H^1_0(\Omega))$, for each $\epsilon \in [0,1]$, each initial data $u_0 \in H^2(\Omega) \cap H^1_0(\Omega)$, and $\tau_\epsilon = \tau_\epsilon(t_0, u_0)$.

In this section, to establish global existence for $u_\epsilon(\cdot, u_0)$, besides of the assumption (3.1), we also suppose the dissipativeness condition
\[
\limsup_{|s| \to \infty} \frac{f(s)}{s} \leq 0. \tag{3.2}
\]

To achieve this purpose, with the same abstract framework introduced in the Section 2 we will get a priori estimates for the solutions of the system (2.1) with initial data in the space $X^0 = H^2(\Omega) \cap H^1_0(\Omega) \times L^2(\Omega)$. The choice of $X^0$ is suitable to study the asymptotic behaviour of (1.1), since we may exhibit an energy functional in this space.

We consider the norms
\[
\|u\|_{1/2} := ||u||_{L^2(\Omega)}^2 + \lambda||u||_{L^2(\Omega)}^2, \\
\left\| \begin{bmatrix} u \\ v \end{bmatrix} \right\|_{X^0} = \left( ||u||_{1/2}^2 + ||v||_{L^2(\Omega)}^2 \right)^{1/2},
\]
which are equivalent to the usual ones in $E^{1/2} = H^2(\Omega) \cap H^1_0(\Omega)$ and $X^0 = H^2(\Omega) \cap H^1_0(\Omega) \times L^2(\Omega)$, respectively.

For any $0 < b \leq \frac{1}{4}$, using Young’s and Cauchy-Schwarz Inequality, we obtain
\[
-\frac{1}{4}||u||^2_{H^1(\Omega)} + ||v||^2_{L^2(\Omega)} \leq -b(\lambda||u||^2_{L^2(\Omega)} + ||v||^2_{L^2(\Omega)}) \leq 2b\lambda^{1/2}||u, v||_{L^2(\Omega)}
\]
which leads to
\[
\frac{1}{4}||u||^2_{L^2(\Omega)} + ||v||^2_{L^2(\Omega)} \leq \frac{1}{4}||u||^2_{H^1(\Omega)} + ||v||^2_{L^2(\Omega)}.
\]

First of all, we deal with the homogeneous problem (2.2). In fact, we ensure that its solutions are uniformly exponentially dominated for initial data in bounded subsets of $X^0$.

**Theorem 3.1.** Let $B \subseteq X^0$ be a bounded set. If $x : [t_0, t_0 + \tau] \to X^0$ is the solution of the problem (2.2) starting in $x_0 \in B$, then there exist positive constants $M = M(B)$ and $\zeta = \zeta(B)$ such that
\[
||x(t)||_{X^0} \leq Me^{-\zeta(t-t_0)}, \quad t \in [t_0, t_0 + \tau].
\]

**Proof.** We denote by $x = \left[ \begin{array}{c} u \\ v \end{array} \right] : [t_0, t_0 + \tau] \to X^0$ the solution of problem (2.2) starting in $x_0 = \left[ \begin{array}{c} u_0 \\ v_0 \end{array} \right] \in X^0$. In this case $u = u(t)$ is the solution (local in time) of the homogeneous problem
\[
\begin{align*}
\frac{du}{dt} + a_r(t, x)u + (-\Delta u_r) + (-\Delta)^2 u + \lambda u = 0 & \quad \text{in } \Omega, \\
u = \Delta u = 0 & \quad \text{on } \partial \Omega.
\end{align*}
\]

Defining the functional $W : X^0 \to \mathbb{R}$ by
\[
W \left( \begin{array}{c} u \\
v \end{array} \right) = \frac{1}{2} ||u||^2_{X^0} + 2b\lambda^{1/2}||u, v||_{L^2(\Omega)},
\]
and putting $v = u_\eta$ in (3.6), it follows from the regularity of $u$, established in Corollary 2.8 and from Young’s inequality that
\[
\frac{d}{dt} W \left( \begin{array}{c} u \\
u \end{array} \right)
\]
\[
= (\Delta u, \Delta u_\eta)_{L^2(\Omega)} + \lambda(u, u_\eta)_{L^2(\Omega)} + (u_\eta, u_{\eta \eta})_{L^2(\Omega)} + 2b\lambda^{1/2}u_\eta, u_{\eta \eta})_{L^2(\Omega)}
\]
\[
+ 2b\lambda^{1/2}u_\eta, u_{\eta \eta})_{L^2(\Omega)}
\]
\[
= (\Delta u, \Delta u_\eta)_{L^2(\Omega)} + \lambda(u, u_\eta)_{L^2(\Omega)} + (u_\eta, -a_r(t, x)u_\eta + (-\Delta)u_\eta - (-\Delta)^2 u_\eta - \lambda u_\eta)_{L^2(\Omega)}
\]
\[
+ 2b\lambda^{1/2}(u_\eta, u_\eta)_{L^2(\Omega)} + 2b\lambda^{1/2}u_\eta, (-\Delta)u_\eta - \lambda u_\eta)_{L^2(\Omega)}
\]
\[
\leq -\alpha_0 - 2b\lambda^{1/2}||u||^2_{L^2(\Omega)} + 2\alpha_0^1\lambda^{1/2}(-u, u_\eta)_{L^2(\Omega)} - 2b\lambda^{1/2}||u, (-\Delta)^2 u||_{L^2(\Omega)}
\]
\[
- 2b\lambda^{1/2}||(-\Delta)u_\eta||^2_{L^2(\Omega)} - 2b\lambda^{1/2}||u||^2_{L^2(\Omega)}
\]
\[
\leq -\alpha_0 - 2b\lambda^{1/2} - 2b\lambda^{1/2}||u||^2_{L^2(\Omega)} + 2\alpha_0^1\lambda^{1/2}||u||^2_{L^2(\Omega)}||u_\eta||^2_{L^2(\Omega)}
\]
\[
- 2b\lambda^{1/2} - 2b\lambda^{1/2}||(-\Delta)u||^2_{L^2(\Omega)} - 2b\lambda^{1/2}||u||^2_{L^2(\Omega)}
\]
Choosing 0 for all \( \eta > 0 \), let \( E \) exist positive constants \( (2.1) \) of 

\[
\begin{aligned}
\text{Therefore,}
\frac{d}{dt} W \left( \begin{bmatrix} u \\ v \end{bmatrix} \right) &\leq \frac{\lambda_1}{\alpha} \| u_t \|^2_{L^2(\Omega)} - b \lambda^{1/2} \| \Delta u \|^2_{L^2(\Omega)} + \lambda \| u \|^2_{L^2(\Omega)}.
\end{aligned}
\]

Choosing 0 < \( b \leq 1/4 \) such that \( \lambda_0 - 2b \lambda^{1/2} - b \lambda^{1/2} - \frac{b \lambda_1^2}{\lambda_1^{1/2}} > 0 \), and taking 

\[
\delta = \min \{ \lambda_0 - 2b \lambda^{1/2} - b \lambda^{1/2} - \frac{b \lambda_1^2}{\lambda_1^{1/2}} \} > 0,
\]

then \( (3.4) \) implies that 

\[
\frac{d}{dt} W \left( \begin{bmatrix} u \\ v \end{bmatrix} \right) \leq -\delta \| u \|^2_{L^2(\Omega)} + \| u_t \|^2_{L^2(\Omega)} \leq -\frac{4\delta}{3} W \left( \begin{bmatrix} u \\ v \end{bmatrix} \right).
\]

Therefore, 

\[
\frac{1}{4} \| x(t) \|^2_{X^0} \leq W \left( \begin{bmatrix} u_0 \\ v_0 \end{bmatrix} \right) e^{-4\delta(t-t_0)/3} \leq \bar{K} e^{-\bar{\omega}(t-t_0)} + K_1, \quad t \in [t_0, t_0 + \tau].
\]

As in the homogeneous case, we can conclude, under some assumptions on the nonlinear term, that the solutions of the semilinear problem \( (2.1) \) are uniformly exponentially dominated for initial data in bounded subsets of \( X^0 \).

**Theorem 3.2.** Let \( B \subset X^0 \) a bounded set. If \( x : [t_0, t_0 + \tau] \to X^0 \) is the solution of \( (2.1) \) starting in \( x_0 \in B \), with \( f \in C^1(\mathbb{R}) \) satisfying \( (3.1) \) and \( (3.2) \), then there exist positive constants \( \bar{\omega} \), \( K = K(B) \) and \( K_1 \), such that 

\[
\| x(t) \|^2_{X^0} \leq K e^{-\bar{\omega}(t-t_0)} + K_1, \quad t \in [t_0, t_0 + \tau].
\]

**Proof.** Let \( x = \begin{bmatrix} u \\ v \end{bmatrix} : [t_0, t_0 + \tau] \to X^0 \) be the solution of \( (2.1) \) starting in \( x_0 = \begin{bmatrix} u_0 \\ v_0 \end{bmatrix} \in X^0 \). Therefore, \( u = u(t) \) is a solution (local in time) of the equation

\[
\begin{aligned}
u_{tt} + ac(t, x)u_t + (-\Delta u_t) + (-\Delta)^2 u + \lambda u = f(u) &\quad \text{in} \ \Omega, \\
u_t = \Delta u = 0 &\quad \text{on} \ \partial \Omega.
\end{aligned}
\]

We consider the functional \( W : X^0 \to \mathbb{R} \), 

\[
W \left( \begin{bmatrix} u \\ v \end{bmatrix} \right) = W \left( \begin{bmatrix} u \\ v \end{bmatrix} \right) - \int_0^t \left[ \mathcal{F}(u) \right] dx,
\]

where \( \mathcal{F} \) is the Nemitskil map associated to a primitive of \( f \), \( \mathcal{F}(s) = \int_0^s f(t) \ dt \). Similarly to the homogeneous case, for all \( \eta > 0 \), we have 

\[
\frac{d}{dt} W \left( \begin{bmatrix} u \\ u_t \end{bmatrix} \right)
\]
Choosing $0 < b < \alpha_0/(\lambda^{1/2}(2\eta + \alpha_1))$ and $\omega = \min\{\alpha_0 - 2b\lambda^{1/2} - b\lambda^{1/2} - b\alpha_1\lambda^{1/2}\eta/b\lambda^{1/2}, 0\}$, we have

$$\frac{d}{dt} W\left(\begin{bmatrix} u \\ u_t \end{bmatrix}\right) \leq -\omega \|\begin{bmatrix} u \\ u_t \end{bmatrix}\|_{X^{\alpha}}^2 + 2b\lambda^{1/2}C_\nu.$$
Remark 3.3. Estimate (3.7) and Corollary 2.8 allow us to consider for each initial data $x$, where $\bar{x}$, and therefore
\[ d \int_0^{\xi(x)} f(s)ds \leq \|\xi\|^2/2, \quad (3.9) \]
whenever $\|\xi\|^2 \leq r$ and considering $d = \frac{1}{c(1+r^{\varphi-1})} < 1$.
Hence from (3.9) we obtain
\[ -\frac{\omega}{2} \left[ \left[ \begin{array}{c} u \\ u_t \end{array} \right] \right]^2 \lesssim -\frac{\omega}{2} \left\| u \right\|^2_{L^2(\Omega)} + \frac{d\omega}{2} \int_0^{t} f(s)dsdx + 2b\lambda^{1/2}C_\nu \]
and
\[ \frac{d}{dt} W\left( \left[ \begin{array}{c} u \\ u_t \end{array} \right] \right) \lesssim -\frac{\omega}{2} \left[ 4 W\left( \left[ \begin{array}{c} u \\ u_t \end{array} \right] \right) \right] + d \int_0^{t} f(s)dsdx + 2b\lambda^{1/2}C_\nu \]
where $\bar{\omega} = \min\{2\omega, d\omega/2\}$. The rest of the proof is as in the previous Theorem. \qed

**Remark 3.3.** Estimate (3.7) and Corollary 2.8 allow us to consider for each initial data $x_0 \in X^0$ and each initial time $\tau \in \mathbb{R}$, the global solution $x_\tau = x_\tau(\cdot, \tau, x_0) : [\tau, \infty) \to X^0$ of the equation (2.1) starting in $x_0$. This arises an evolution process $\{S_t(\tau) : \tau \geq \tau\}$ in the state space $X^0$ defined by $S_t(\tau)x_0 = x_\tau(t, \tau, x_0)$. According to [3]
\[ S_t(\tau)x_0 = L_\tau(t, \tau)x_0 + \int_\tau^t L_\tau(t, s)F(S_\tau(s, \tau)x_0) ds, \quad \forall t \geq \tau \in \mathbb{R}, \quad (3.10) \]
where $\{L_\tau(t, \tau) : t \geq \tau \in \mathbb{R}\}$ is the linear evolution process associated to the homogeneous problem (2.2).

4. **Existence of pullback attractors**

In this section we prove the existence of pullback attractors for the problem (1.1) and the upper-semicontinuity of the family of pullback attractors when the parameter $\epsilon$ goes to 0. For the sake of completeness we will present basic definitions and results of the theory of pullback attractors. For more details the reader is invited to look [1-4].

We start remembering the definition of Hausdorff semi-distance between two subsets $A$ and $B$ of a metric space $(X, d)$:
\[ \text{dist}_H(A, B) = \sup_{a \in A} \inf_{b \in B} d(a, b). \]

**Definition 4.1.** Let $\{S(t, \tau) : t \geq \tau \in \mathbb{R}\}$ be an evolution process in a metric space $X$. Given $A$ and $B$ subsets of $X$, we say that $A$ pullback attracts $B$ at time $t$ if
\[ \lim_{\tau \to -\infty} \text{dist}_H(S(t, \tau)B, A) = 0, \]
where $S(t, \tau)B := \{S(t, \tau)x \in X : x \in B\}$. 

Definition 4.2. The pullback orbit of a subset $B \subset X$ relatively to the evolution process $\{S(t, \tau) : t \geq \tau \in \mathbb{R}\}$ in the time $t \in \mathbb{R}$ is defined by $\gamma_p(B, t) := \cup_{\tau \leq t} S(t, \tau)B$.

Definition 4.3. An evolution process $\{S(t, \tau) : t \geq \tau \in \mathbb{R}\}$ in $X$ is pullback strongly bounded if, for each $t \in \mathbb{R}$ and each bounded subset $B$ of $X$, $\cup_{\tau \leq t} \gamma_p(B, \tau)$ is bounded.

Definition 4.4. An evolution process $\{S(t, \tau) : t \geq \tau \in \mathbb{R}\}$ in $X$ is pullback asymptotically compact if, for each $t \in \mathbb{R}$, each sequence $\{\tau_n\}$ in $(-\infty, t]$ with $\tau_n \to -\infty$ as $n \to \infty$ and each bounded sequence $\{x_n\}$ in $X$ such that $\{S(t, \tau_n)x_n\} \subset X$ is bounded, the sequence $\{S(t, \tau_n)x_n\}$ is relatively compact in $X$.

Definition 4.5. We say that a family of bounded subsets $\{B(t) : t \in \mathbb{R}\}$ of $X$ is pullback absorbing for the evolution process $\{S(t, \tau) : t \geq \tau \in \mathbb{R}\}$, if for each $t \in \mathbb{R}$ and for any bounded subset $B$ of $X$, there exists $\tau_0(t, B) \leq t$ such that $S(t, \tau)B \subset B(t)$ for all $\tau \leq \tau_0(t, B)$.

Definition 4.6. We say that a family of subsets $\{A(t) : t \in \mathbb{R}\}$ of $X$ is invariant relatively to the evolution process $\{S(t, \tau) : t \geq \tau \in \mathbb{R}\}$ if $S(t, \tau)A(t) = A(t)$, for any $t \geq \tau$.

Definition 4.7. A family of subsets $\{A(t) : t \in \mathbb{R}\}$ of $X$ is called a pullback attractor for the evolution process $\{S(t, \tau) : t \geq \tau \in \mathbb{R}\}$ if it is invariant, $A(t)$ is compact for all $t \in \mathbb{R}$, and pullback attracts bounded subsets of $X$ at time $t$, for each $t \in \mathbb{R}$.

In applications, to prove that a process has a pullback attractor we use the Theorem 4.9 proved in [3], which gives a sufficient condition for existence of a compact pullback attractor. For this, we will need the concept of pullback strongly bounded dissipativeness.

Definition 4.8. An evolution process $\{S(t, \tau) : t \geq \tau \in \mathbb{R}\}$ in $X$ is pullback strongly bounded dissipative if, for each $t \in \mathbb{R}$, there is a bounded subset $B(t)$ of $X$ which pullback absorbs bounded subsets of $X$ at time $s$ for each $s \leq t$; that is, given a bounded subset $B$ of $X$ and $s \leq t$, there exists $\tau_0(s, B)$ such that $S(s, \tau)B \subset B(t)$, for all $\tau \leq \tau_0(s, B)$.

Now we can present the result which guarantees the existence of pullback attractors for nonautonomous problems.

Theorem 4.9 ([3]). If an evolution process $\{S(t, \tau) : t \geq \tau \in \mathbb{R}\}$ in the metric space $X$ is pullback strongly bounded dissipative and pullback asymptotically compact, then $\{S(t, \tau) : t \geq \tau \in \mathbb{R}\}$ has a pullback attractor $\{A(t) : t \in \mathbb{R}\}$ with the property that $\cup_{t \in \mathbb{R}} A(t)$ is bounded for each $t \in \mathbb{R}$.

The next result gives sufficient conditions for pullback asymptotic compactness, and its proof can be found in [3].

Theorem 4.10 ([3]). Let $\{S(t, s) : t \geq s\}$ be a pullback strongly bounded evolution process such that $S(t, s) = T(t, s) + U(t, s)$, where $U(t, s)$ is compact and there exist a non-increasing function $k : \mathbb{R}_+ \times \mathbb{R}_+ \to \mathbb{R}$, with $k(\sigma, r) \rightarrow 0$ when $\sigma \to \infty$, and for all $s \leq t$ and $x \in X$ with $\|x\| \leq r$, $\|T(t, s)x\| \leq k(t - s, r)$. Then, the family of evolution process $\{S(t, s) : t \geq s\}$ is pullback asymptotically compact.
Theorem 4.11. Considering in $X^0$, the family of operators
\[ U_\epsilon(t, \tau)(\cdot) := \int_\tau^t L_\epsilon(t, s) F(S_\epsilon(s, \tau) \cdot) \, ds, \]

obtained from (3.10), the family of evolution process \( \{ U_\epsilon(t, \tau) : t \geq \tau \} \) is compact in $X^0$.

Proof. The compactness of $U_\epsilon$ follows easily from the fact that
\[ E^{1/2} \xrightarrow{\epsilon} X^{-\alpha/2} \hookrightarrow E^{-1/2}, \]
being the last inclusion compact, since that $\alpha < 1$.

From estimate (3.7) it is easy to check that the evolution process \( \{ S(t, \tau) : t \geq \tau \} \) associated with (2.1) is pullback strongly bounded.

Hence, applying Theorem 4.10, we obtain that the family of evolution process \( \{ S_\epsilon(t, \tau) : t \geq \tau \} \) is pullback asymptotically compact. Now, applying Theorem 4.9 we get that equation (1.1) has a pullback attractor \( \mathcal{A}_\epsilon(s) : s \in \mathbb{R} \) in $X^0 = \mathcal{H}^4(\Omega) \cap H_0^3(\Omega) \times L^2(\Omega)$ and that $\cup_{s \in \mathbb{R}} \mathcal{A}_\epsilon(s) \subset X^0$ is bounded.

4.1. Upper-semicontinuity of pullback attractors. For each value of the parameter $\epsilon \in [0, 1]$ we recall that $S_\epsilon(t, \tau)$ is the evolution process associated to semilinear problem (2.1). Now we prove that the family of pullback attractors \( \{ \mathcal{A}_\epsilon(t) \} \) is upper-semicontinuous in $\epsilon = 0$, i.e., we show that
\[ \lim_{\epsilon \to 0} \text{dist}_H(\mathcal{A}_\epsilon(t), \mathcal{A}_0(t)) = 0. \]

Let
\[ Z \left( \begin{bmatrix} u \\ v \end{bmatrix} \right) = \frac{1}{2} \left( \| u \|_{L^2(\Omega)}^2 + \| v \|_{L^2(\Omega)}^2 \right). \]

For each $x_0 \in X^0$ consider $u = S_\epsilon(t, \tau)x_0$ and $v = S_0(t, \tau)x_0$. Let $w = u - v$. Then
\[ w_{tt} = a_\epsilon(t, x)w_t - a_\epsilon(t, x)u_t + \Delta w_t - \Delta^2 w - \lambda w + f(u) - f(v) \quad (4.1) \]

It follows from Remark 2.6 that $f$ is Lipschitz continuous in bounded set from $E^{1/2}$ to $L^2(\Omega)$. Since $u, v, u_t$ and $v_t$ are bounded, Young’s Inequality leads to
\[
\begin{aligned}
\frac{d}{dt} Z \left( \begin{bmatrix} w \\ w_t \end{bmatrix} \right) &= \langle w, w_t \rangle_{E^{1/2}} + \langle w_t, w_{tt} \rangle_{L^2(\Omega)} \\
&= \langle \Delta w, \Delta w_t \rangle_{L^2(\Omega)} + \lambda \langle w, w_t \rangle_{L^2(\Omega)} + \langle w_t, w_{tt} \rangle_{L^2(\Omega)} \\
&= \langle \Delta^2 w + \lambda w, w_t \rangle_{L^2(\Omega)} + \langle a_\epsilon(t, x)w_t - a_\epsilon(t, x)u_t + \Delta w_t + f(u) - f(v), w_t \rangle_{L^2(\Omega)} \\
&= \langle -a_\epsilon(t, x)w_t + (a_\epsilon(t, x) - a_\epsilon(t, x))u_t, w_t \rangle_{L^2(\Omega)} - \| \nabla w_t \|_{L^2(\Omega)}^2 + \langle f(w) - f(v), w_t \rangle_{L^2(\Omega)} \\
&\leq -a_\epsilon \| w_t \|_{L^2(\Omega)}^2 + \| a_\epsilon - a_\epsilon \|_{L^\infty(\mathbb{R} \times \Omega)} \| u_t \|_{L^2(\Omega)} \| w_t \|_{L^2(\Omega)} \\
&\quad + K(\| w \|_{L^2(\Omega)}^2 + \| w_t \|_{L^2(\Omega)}^2) \\
&\leq \tilde{K} Z \left( \begin{bmatrix} w \\ w_t \end{bmatrix} \right) + \tilde{K} \| a_\epsilon - a_\epsilon \|_{L^\infty(\mathbb{R} \times \Omega)}.
\end{aligned}
\]

Therefore,
\[ Z \left( \begin{bmatrix} w(t) \\ w_t(t) \end{bmatrix} \right) \]
\[ \leq \tilde{K} \int_{\tau}^{t} Z\left(\begin{bmatrix} w(s) \\ w_s(s) \end{bmatrix}\right)ds + \tilde{K}(t - \tau)\norm{a_0 - a_\epsilon}_{L^\infty(\mathbb{R} \times \Omega)} + Z\left(\begin{bmatrix} w(\tau) \\ w_t(\tau) \end{bmatrix}\right) \]

where

\[ \tilde{K} = \max\left\{ \overline{K}, \frac{Z\left(\begin{bmatrix} w(\tau) \\ w_t(\tau) \end{bmatrix}\right)}{\alpha_1 - a_0} \right\}. \]

Hence, by Gronwall’s Inequality it follows that

\[ \norm{w}_{L^2(\Omega)}^2 + \norm{w_t}_{L^2(\Omega)}^2 \leq \tilde{K}\norm{a_0 - a_\epsilon}_{L^\infty(\mathbb{R} \times \Omega)} \int_{\tau}^{t} e^{\tilde{K}(t-s)} ds \to 0, \quad (4.2) \]

as \( \epsilon \to 0 \) in compact subsets of \( \mathbb{R} \) uniformly for \( x_0 \in X^0 \).

For \( \delta > 0 \) given, let \( \tau \in \mathbb{R} \) be such that \( \text{dist}(S_0(t, \tau)B, A_0(t)) < \frac{\delta}{2} \), where \( B \supseteq \bigcup_{\epsilon \in \mathbb{R}} A_\epsilon(s) \) is a bounded set (whose existence is guaranteed by Theorem 4.9). Now for (4.2), there exists \( \epsilon_0 > 0 \) such that

\[ \sup_{a_\epsilon \in A_\epsilon(t)} \norm{S_\epsilon(t, \tau)a_\epsilon - S_0(t, \tau)a_\epsilon} < \frac{\delta}{2}, \]

for all \( \epsilon < \epsilon_0 \). Then

\[ \text{dist}(A_\epsilon(t), A_0(t)) \]

\[ \leq \text{dist}(S_\epsilon(t, \tau)a_\epsilon(\tau), S_0(t, \tau)a_\epsilon(\tau)) + \text{dist}(S_0(t, \tau)a_\epsilon(\tau), S_0(t, \tau)A_0(\tau)) \]

\[ = \sup_{a_\epsilon \in A_\epsilon(\tau)} \text{dist}(S_\epsilon(t, \tau)a_\epsilon, S_0(t, \tau)a_\epsilon) + \text{dist}(S_0(t, \tau)a_\epsilon(\tau), A_0(\tau)) < \frac{\delta}{2} + \frac{\delta}{2}, \]

which proves the upper-semicontinuity of the family of attractors.

**Acknowledgments.** The authors would like to thank the anonymous referee for the suggestions to improve this paper.

**References**


Vera Lúcia Carbone
Departamento de Matemática, Universidade Federal de São Carlos, 13565-905 São Carlos SP, Brazil
E-mail address: carbone@dm.ufscar.br

Marcelo José Dias Nascimento
Departamento de Matemática, Universidade Federal de São Carlos, 13565-905 São Carlos SP, Brazil
E-mail address: marcelo@dm.ufscar.br

Karina Schiabel-Silva
Departamento de Matemática, Universidade Federal de São Carlos, 13565-905 São Carlos SP, Brazil
E-mail address: schiabel@dm.ufscar.br

Ricardo Parreira da Silva
Departamento de Matemática, IGCE-UNESP, Caixa Postal 178, 13506-700 Rio Claro SP, Brazil
E-mail address: rpsilva@rc.unesp.br