

## RADIAL BOUNDED SOLUTIONS FOR MODIFIED SCHRÖDINGER EQUATIONS

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ABSTRACT. We study the quasilinear elliptic equation

$$-\operatorname{div}(a(x, u, \nabla u)) + A_t(x, u, \nabla u) + |u|^{p-2}u = g(x, u) \quad \text{in } \mathbb{R}^N,$$

with  $N \geq 2$  and  $p > 1$ . Here,  $A : \mathbb{R}^N \times \mathbb{R} \times \mathbb{R}^N \rightarrow \mathbb{R}$  is a given  $C^1$ -Carathéodory function that grows as  $|\xi|^p$  with  $A_t(x, t, \xi) = \frac{\partial A}{\partial t}(x, t, \xi)$ ,  $a(x, t, \xi) = \nabla_\xi A(x, t, \xi)$  and  $g(x, t)$  is a given Carathéodory function on  $\mathbb{R}^N \times \mathbb{R}$  which grows as  $|\xi|^q$  with  $1 < q < p$ .

Suitable assumptions on  $A(x, t, \xi)$  and  $g(x, t)$  set off the variational structure of above problem and its related functional  $\mathcal{J}$  is  $C^1$  on the Banach space  $X = W^{1,p}(\mathbb{R}^N) \cap L^\infty(\mathbb{R}^N)$ . To overcome the lack of compactness, we assume that the problem has radial symmetry, then we look for critical points of  $\mathcal{J}$  restricted to  $X_r$ , subspace of the radial functions in  $X$ .

Following an approach that exploits the interaction between the intersection norm in  $X$  and the norm in  $W^{1,p}(\mathbb{R}^N)$ , we prove the existence of at least two weak bounded radial solutions, one positive and one negative. For this, we apply a generalized version of the Minimum Principle.

### 1. INTRODUCTION

In this article we look for weak radial bounded solutions for the quasilinear elliptic equation

$$-\operatorname{div}(a(x, u, \nabla u)) + A_t(x, u, \nabla u) + |u|^{p-2}u = g(x, u) \quad \text{in } \mathbb{R}^N, \quad (1.1)$$

where  $p > 1$  and  $N \geq 2$ ,  $A : \mathbb{R}^N \times \mathbb{R} \times \mathbb{R}^N \rightarrow \mathbb{R}$  is a  $C^1$ -Carathéodory function with partial derivatives

$$A_t(x, t, \xi) = \frac{\partial A}{\partial t}(x, t, \xi), \quad a(x, t, \xi) = \left( \frac{\partial A}{\partial \xi_1}(x, t, \xi), \dots, \frac{\partial A}{\partial \xi_N}(x, t, \xi) \right)$$

and  $g : \mathbb{R}^N \times \mathbb{R} \rightarrow \mathbb{R}$  is a suitable Carathéodory function.

Equation (1.1) generalizes quasilinear equations describing several physical phenomena such as the self-channeling of a high-power ultra short laser, or also some problems which arise in plasma physics, fluid mechanics, mechanics and in the condensed matter theory (see [35] and references therein or also [16] for some model problems).

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If  $A(x, t, \xi) = \bar{A}|\xi|^p$  with  $\bar{A}$  real constant, (1.1) turns out to be the  $p$ -Laplacian equation

$$-\Delta_p u + |u|^{p-2}u = g(x, u) \quad \text{in } \mathbb{R}^N. \quad (1.2)$$

In the case  $p = 2$ , equation (1.2) reduces to the following Schrödinger equation

$$-\Delta u + u = g(x, u) \quad \text{in } \mathbb{R}^N$$

which is a central topic in Nonlinear Analysis, see [4, 6, 19, 20, 23, 36, 37]. Many authors studied also (1.2) in the general case  $p > 1$ , see [3, 5, 27, 30].

We note that (1.2) has a variational structure, but there is a lack of compactness as the problem is settled in the whole Euclidean space  $\mathbb{R}^N$  and classical variational tools do not work; thus suitable assumptions on the involved functions are required.

On the other hand, even if the function  $A(x, t, \xi)$  has the form  $\frac{1}{p}A_1(x, t)|\xi|^p$  but the coefficient  $A_1(x, t)$  is not constant, besides the lack of compactness the study of equation (1.1) presents another difficulty: the loss of a direct variational formulation in the space  $W^{1,p}(\mathbb{R}^N)$ . Let us point out that this problem arises also if we look for solutions verifying homogeneous Dirichlet conditions in a bounded domain  $\Omega$ . Indeed, the natural action functional

$$J_1(u) = \frac{1}{p} \int_{\Omega} A_1(x, u) |\nabla u|^p dx + \frac{1}{p} \int_{\Omega} |u|^p dx - \int_{\Omega} G(x, u) dx,$$

is not well defined in  $W_0^{1,p}(\Omega)$  if  $A_1(x, t)$  is unbounded with respect to  $t$ . Moreover, even if  $A_1(x, t)$  is strictly positive and bounded with respect to  $t$  but  $\frac{\partial A_1}{\partial t}(x, t) \neq 0$ , then  $J_1$  is defined in  $W_0^{1,p}(\Omega)$  but it is Gâteaux differentiable only along directions of  $W_0^{1,p}(\Omega) \cap L^\infty(\Omega)$ .

Thus, many authors have studied (1.1) by using non-smooth techniques or introducing a suitable change of variable if the term  $A(x, t, \xi)$  has a very particular form or giving a “good” definition of critical point either on bounded domains or in unbounded ones, see [1, 2, 7, 8, 17, 18, 21, 22, 28, 29, 35].

More recently, Candela and Palmieri in [10]-[12] considered the functional

$$\mathcal{J}(u) = \int_{\Omega} A(x, u, \nabla u) dx + \frac{1}{p} \int_{\Omega} |u|^p dx - \int_{\Omega} G(x, u) dx,$$

defined on the Banach space  $W_0^{1,p}(\Omega) \cap L^\infty(\Omega)$  equipped with the intersection norm.

Introducing a new weak Cerami-Palais-Smale condition (see Definition 2.1) they state some abstract critical points Theorems. Using this variational approach, the existence of at least one bounded solution of (1.1) in the case  $A(x, t, \xi) = \frac{1}{p}A_1(x, t)|\xi|^p$  has been stated when  $g(x, t)$  grows as  $|t|^q$  with  $q > p$  but subcritical and the involved functions are radially symmetric in [14] or the term  $|u|^{p-2}u$  is multiplied by a weight  $V(x)$  verifying suitable assumptions in [15] (see also [31] and [38] where a generalized  $(p, q)$ -Laplacian operator in  $\mathbb{R}^N$  is studied).

Always in the presence of a suitable weight  $V(x)$ , the existence of solutions of equation like to (1.1) has been investigated in [33] (see also [32]) if  $A(x, t, \xi)$  is a more general function which grows as  $|\xi|^p$  and  $g(x, t)$  has a sub- $p$ -linear growth of the type

$$|g(x, t)| \leq \eta(x)|t|^{q-1}$$

with  $\eta$  suitable measurable function and  $1 < q < p$ .

We notice that the results stated in [32, 33] do not cover the case  $V(x) = 1$ , so they do not apply to the equation (1.1). Therefore, in this paper we want to look

for solutions of (1.1) when  $A(x, t, \xi)$  and  $g(x, t)$ , in addition to hypotheses similar to those ones required in [33], are radially symmetric in  $x$ . To this aim, in Lemma 4.11 we will state a convergence results in  $\mathbb{R}^N$  already proved in bounded domains by Boccardo, Murat and Puel in [7, Lemma 5] (see also [31, Lemma 4.5]).

This article is organized as follows. In Section 2 we introduce a weak Cerami-Palais-Smale condition and the related Minimum Principle (see Proposition 2.2). In Section 3 we give some preliminary assumptions on the functions  $A(x, t, \xi)$  and  $g(x, t)$  that ensure a variational formulation for the equation (1.1). In Section 4 we consider some further assumptions, then we state our main results (see Theorem 4.5) and we prove some properties of the action functional  $\mathcal{J}$  and a convergence result *à la* Boccardo-Murat-Puel in  $\mathbb{R}^N$ . Finally in Section 5 we prove that  $\mathcal{J}$  verifies the weak Cerami-Palais-Smale condition in the subspace  $X_r$  of the radial functions of  $X = W^{1,p}(\mathbb{R}^N) \cap L^\infty(\mathbb{R}^N)$  and then we state the existence of two nontrivial weak radial bounded solutions, one negative and one positive, thus concluding the proof of Theorem 4.5.

## 2. ABSTRACT TOOLS

In this section we denote by  $(X, \|\cdot\|_X)$  a Banach space with dual space  $(X', \|\cdot\|_{X'})$ ,  $(W, \|\cdot\|_W)$  another Banach space such that  $X \hookrightarrow W$  continuously, and by  $J : X \rightarrow \mathbb{R}$  a given  $C^1$  functional.

Nevertheless, to avoid any ambiguity, we will henceforth denote by  $X$  the space equipped with its norm  $\|\cdot\|_X$ , while, if the norm  $\|\cdot\|_W$  is involved, we will write it explicitly.

For simplicity, taking  $\beta \in \mathbb{R}$ , we say that a sequence  $(u_n)_n \subset X$  is a *Cerami-Palais-Smale sequence at level  $\beta$* , briefly  $(CPS)_\beta$ -sequence, if

$$\lim_{n \rightarrow +\infty} J(u_n) = \beta \quad \text{and} \quad \lim_{n \rightarrow +\infty} \|dJ(u_n)\|_{X'}(1 + \|u_n\|_X) = 0.$$

Moreover,  $\beta$  is a Cerami-Palais-Smale level, briefly  $(CPS)$ -level, if there exists a  $(CPS)_\beta$ -sequence.

The functional  $J$  satisfies the classical Cerami-Palais-Smale condition in  $X$  at the level  $\beta$  if every  $(CPS)_\beta$ -sequence converges in  $X$  up to subsequences. However, thinking about the setting of our problem, in general a  $(CPS)_\beta$ -sequence may also exist which is unbounded in  $\|\cdot\|_X$  but converges with respect to  $\|\cdot\|_W$ . Then, we can weaken the Cerami-Palais-Smale condition in an appropriate way according to some ideas developed in previous papers (see, for example, [10]–[12]).

**Definition 2.1.** The functional  $J$  satisfies the *weak Cerami-Palais-Smale condition at level  $\beta$*  ( $\beta \in \mathbb{R}$ ), briefly  $(wCPS)_\beta$  condition, if for every  $(CPS)_\beta$ -sequence  $(u_n)_n$ , a point  $u \in X$  exists such that

- (i)  $\lim_{n \rightarrow +\infty} \|u_n - u\|_W = 0$  (up to subsequences),
- (ii)  $J(u) = \beta$ ,  $dJ(u) = 0$ .

If  $J$  satisfies the  $(wCPS)_\beta$  condition at each level  $\beta \in I$ ,  $I$  real interval, we say that  $J$  satisfies the  $(wCPS)$  condition in  $I$ .

Let us point out that, because of the convergence only in the norm of  $W$ , the  $(wCPS)_\beta$  condition implies that the set of critical points of  $J$  at the  $\beta$  level is compact with respect to  $\|\cdot\|_W$ , so that we can state a Deformation Lemma and some abstract theorems about critical points (see [12]). In particular, the following Minimum Principle applies (for the proof, see [12, Theorem 1.6]).

**Proposition 2.2** (Minimum Principle). *If  $J \in C^1(X, \mathbb{R})$  is bounded from below in  $X$  and  $(wCPS)_\beta$  holds at level  $\beta = \inf_X J \in \mathbb{R}$ , then  $J$  attains its infimum, i.e.,  $u_0 \in X$  exists such that  $J(u_0) = \beta$ .*

### 3. VARIATIONAL SETTING AND FIRST PROPERTIES

Here and in the following, let  $\mathbb{N} = \{1, 2, \dots\}$  be the set of the strictly positive integers and we denote by  $x \cdot y$  the inner product in  $\mathbb{R}^N$  and  $|\cdot|$  the standard norm on any Euclidean space as the dimension of the considered vector is clear and no ambiguity arises. Furthermore, we denote by:

- $B_R(x) = \{y \in \mathbb{R}^N : |y - x| < R\}$  the open ball in  $\mathbb{R}^N$  with center in  $x \in \mathbb{R}^N$  and radius  $R > 0$ ;
- $B_R^c = \mathbb{R}^N \setminus B_R(0)$  the complement of the open ball  $B_R(0)$  in  $\mathbb{R}^N$ ;
- $\text{meas}(\Omega)$  the usual Lebesgue measure of a measurable set  $\Omega$  in  $\mathbb{R}^N$ ;
- $L^l(\mathbb{R}^N)$  the Lebesgue space with norm  $\|u\|_l = \left(\int_{\mathbb{R}^N} |u|^l dx\right)^{1/l}$  if  $1 \leq l < +\infty$ ;
- $L^\infty(\mathbb{R}^N)$  the space of Lebesgue-measurable and essentially bounded functions  $u : \mathbb{R}^N \rightarrow \mathbb{R}$  with norm

$$\|u\|_\infty = \text{ess sup}_{\mathbb{R}^N} |u|;$$

- $W^{1,p}(\mathbb{R}^N)$  the classical Sobolev space with norm  $\|u\|_p = \left(\|\nabla u\|_p^p + \|u\|_p^p\right)^{1/p}$  if  $1 \leq p < +\infty$ ;
- $W_r^{1,p}(\mathbb{R}^N) = \{u \in W^{1,p}(\mathbb{R}^N) : u(x) = u(|x|) \text{ a.e. } x \in \mathbb{R}^N\}$  the subspace of the radial functions of  $W^{1,p}(\mathbb{R}^N)$  equipped with the norm  $\|\cdot\|_p$  with dual space  $(W_r^{1,p}(\mathbb{R}^N))'$ .

From the Sobolev Embedding Theorems, for any  $l \in [p, p^*]$  with  $p^* = \frac{pN}{N-p}$  if  $N > p$ , or any  $l \in [p, +\infty[$  if  $p = N$ , the Sobolev space  $W^{1,p}(\mathbb{R}^N)$  is continuously embedded in  $L^l(\mathbb{R}^N)$ , i.e., a constant  $\sigma_l > 0$  exists such that

$$\|u\|_l \leq \sigma_l \|u\|_p \quad \text{for all } u \in W^{1,p}(\mathbb{R}^N) \quad (3.1)$$

(see, e.g., [9, Corollaries 9.10 and 9.11]). Clearly, it is  $\sigma_p = 1$ . On the other hand, if  $p > N$  then  $W^{1,p}(\mathbb{R}^N)$  is continuously imbedded in  $L^\infty(\mathbb{R}^N)$  (see, e.g., [9, Theorem 9.12]). Thus, we define

$$X := W^{1,p}(\mathbb{R}^N) \cap L^\infty(\mathbb{R}^N), \quad \|u\|_X = \|u\|_p + \|u\|_\infty. \quad (3.2)$$

From now on, we assume  $1 < p \leq N$  as, otherwise, it is  $X = W^{1,p}(\mathbb{R}^N)$  and the proofs can be simplified.

**Lemma 3.1.** *For any  $l \geq p$  the Banach space  $X$  is continuously embedded in  $L^l(\mathbb{R}^N)$ , i.e., a constant  $\sigma_l > 0$  exists such that*

$$\|u\|_l \leq \sigma_l \|u\|_X \quad \text{for all } u \in X. \quad (3.3)$$

*Proof.* If  $p = N$  or if  $p \leq l \leq p^*$  the embedding (3.3) follows from (3.1) and (3.2). On the other hand, if  $l > p^*$  then, taking any  $u \in X$ , again (3.2) implies

$$\int_{\mathbb{R}^N} |u|^l dx \leq \|u\|_\infty^{l-p} \int_{\mathbb{R}^N} |u|^p dx \leq \|u\|_\infty^{l-p} \|u\|_p^p \leq \|u\|_X^l,$$

thus (3.3) holds with  $\sigma_l = 1$ . □

From Lemma 3.1 it follows that if  $(u_n)_n \subset X$ ,  $u \in X$  are such that  $u_n \rightarrow u$  in  $X$ , then  $u_n \rightarrow u$  also in  $L^l(\mathbb{R}^N)$  for any  $l \geq p$ . This result can be weakened as follows.

**Lemma 3.2.** *If  $(u_n)_n \subset X$ ,  $u \in X$ ,  $M > 0$  are such that*

$$\|u_n - u\|_p \rightarrow 0 \quad \text{as } n \rightarrow +\infty, \tag{3.4}$$

$$|u_n|_\infty \leq M \quad \text{for all } n \in \mathbb{N}, \tag{3.5}$$

*then  $u_n \rightarrow u$  also in  $L^l(\mathbb{R}^N)$  for all  $l \geq p$ .*

*Proof.* Let  $1 \leq p < N$  and  $l > p^*$  (otherwise, it is a direct consequence of (3.1)). Then, from (3.2), (3.5) and (3.1) we have that

$$\int_{\mathbb{R}^N} |u_n - u|^l dx \leq |u_n - u|_\infty^{l-p} \int_{\mathbb{R}^N} |u_n - u|^p dx \leq (M + |u|_\infty)^{l-p} \|u_n - u\|_p^p,$$

then (3.4) implies the result. □

From now on, we consider  $A : \mathbb{R}^N \times \mathbb{R} \times \mathbb{R}^N \rightarrow \mathbb{R}$  and  $g : \mathbb{R}^N \times \mathbb{R} \rightarrow \mathbb{R}$  be such that:

- (A1)  $A$  is a  $C^1$ -Carathéodory function, i.e.,  $A(\cdot, t, \xi)$  is measurable for all  $(t, \xi) \in \mathbb{R} \times \mathbb{R}^N$  and  $A(x, \cdot, \cdot)$  is  $C^1$  for a.e.  $x \in \mathbb{R}^N$ ;
- (A2) some positive continuous functions  $\Phi_i, \phi_i : \mathbb{R} \rightarrow \mathbb{R}$ ,  $i \in \{0, 1, 2\}$ , exist such that:

$$|A(x, t, \xi)| \leq \Phi_0(t)|t|^p + \phi_0(t)|\xi|^p \quad \text{a.e. in } \mathbb{R}^N, \text{ for all } (t, \xi) \in \mathbb{R} \times \mathbb{R}^N,$$

$$|A_t(x, t, \xi)| \leq \Phi_1(t)|t|^{p-1} + \phi_1(t)|\xi|^p \quad \text{a.e. in } \mathbb{R}^N, \text{ for all } (t, \xi) \in \mathbb{R} \times \mathbb{R}^N,$$

$$|a(x, t, \xi)| \leq \Phi_2(t)|t|^{p-1} + \phi_2(t)|\xi|^{p-1} \quad \text{a.e. in } \mathbb{R}^N, \text{ for all } (t, \xi) \in \mathbb{R} \times \mathbb{R}^N;$$

- (A3)  $g(x, t)$  is a Carathéodory function;

- (A4) a function  $\eta \in L^{\frac{p}{p-q}}(\mathbb{R}^N)$  exists, with  $1 < q < p$ , such that

$$0 \leq g(x, t)t \leq \eta(x)|t|^q \quad \text{a.e. in } \mathbb{R}^N, \text{ for all } t \in \mathbb{R}.$$

**Remark 3.3.** From (A4) it results that

$$|g(x, t)| \leq \eta(x)|t|^{q-1} \quad \text{a.e. in } \mathbb{R}^N, \text{ for all } t \in \mathbb{R}.$$

Moreover, (A3) and (A4) imply that  $G(x, t) = \int_0^t g(x, s)ds$  is a well defined  $C^1$ -Carathéodory function in  $\mathbb{R}^N \times \mathbb{R}$  and

$$0 \leq G(x, t) \leq \frac{1}{q}\eta(x)|t|^q \quad \text{a.e. in } \mathbb{R}^N, \text{ for all } t \in \mathbb{R}. \tag{3.6}$$

**Remark 3.4.** From (A2) it follows that

$$A(x, 0, 0) = A_t(x, 0, 0) = 0 \quad \text{and} \quad a(x, 0, 0) = 0 \quad \text{for a.e. } x \in \mathbb{R}^N.$$

Moreover, from (A3), (A4) and Remark 3.3 we have that

$$G(x, 0) = g(x, 0) = 0 \quad \text{for a.e. } x \in \mathbb{R}^N.$$

Hence,  $u = 0$  is a trivial solution of (1.1).

**Proposition 3.5.** *Assumptions (A3) and (A4) imply that*

$$\int_{\mathbb{R}^N} G(x, u) dx \in \mathbb{R} \quad \text{for all } u \in X \quad (\text{or better for all } u \in W^{1,p}(\mathbb{R}^N)),$$

$$\int_{\mathbb{R}^N} g(x, u)v dx \in \mathbb{R} \quad \text{for all } u, v \in X \quad (\text{or better for all } u, v \in W^{1,p}(\mathbb{R}^N)).$$

*Proof.* Let  $u \in W^{1,p}(\mathbb{R}^N)$ . As  $\eta \in L^{\frac{p}{p-q}}(\mathbb{R}^N)$  and  $|u|^q \in L^{\frac{p}{q}}(\mathbb{R}^N)$ , Hölder's inequality with  $\frac{p}{p-q}$  and  $\frac{p}{q}$  conjugate exponents and (3.6) imply that

$$0 \leq \int_{\mathbb{R}^N} G(x, u) dx \leq \frac{1}{q} \int_{\mathbb{R}^N} \eta(x) |u|^q dx \leq \frac{1}{q} |\eta|_{\frac{p}{p-q}} |u|_p^q. \quad (3.7)$$

Moreover, by applying again Hölder's inequality with  $\frac{p}{p-q}$ ,  $\frac{p}{q-1}$  and  $p$  conjugate exponents, we have

$$\left| \int_{\mathbb{R}^N} g(x, u) v dx \right| \leq \int_{\mathbb{R}^N} |\eta(x) |u|^{q-1} v| dx \leq |\eta|_{\frac{p}{p-q}} |u|_p^{q-1} |v|_p \quad (3.8)$$

for all  $u, v \in W^{1,p}(\mathbb{R}^N)$ .  $\square$

**Remark 3.6.** From (A3) and (A4) we have that

$$g(x, u) \in L^{\frac{p}{p-1}}(\mathbb{R}^N) \quad \text{for all } u \in W^{1,p}(\mathbb{R}^N).$$

Indeed, Hölder's inequality with  $\frac{p-1}{p-q}$  and  $\frac{p-1}{q-1}$  conjugate exponents implies that

$$\int_{\mathbb{R}^N} |g(x, u)|^{\frac{p}{p-1}} dx \leq |\eta|_{\frac{p}{p-q}}^{\frac{p}{p-1}} |u|_p^{\frac{p(q-1)}{p-1}}.$$

Let us point out that assumptions (A1) and (A2) imply that  $A(x, u, \nabla u) \in L^1(\mathbb{R}^N)$  for any  $u \in X$ . Therefore, from (3.7) it follows that the functional

$$\mathcal{J}(u) = \int_{\mathbb{R}^N} A(x, u, \nabla u) dx + \frac{1}{p} \int_{\mathbb{R}^N} |u|^p dx - \int_{\mathbb{R}^N} G(x, u) dx \quad (3.9)$$

is well defined for all  $u \in X$ . Moreover, taking  $v \in X$ , from (3.8), the Gâteaux differential of functional  $\mathcal{J}$  in  $u$  along the direction  $v$  is given by

$$\begin{aligned} \langle d\mathcal{J}(u), v \rangle &= \int_{\mathbb{R}^N} a(x, u, \nabla u) \cdot \nabla v dx + \int_{\mathbb{R}^N} A_t(x, u, \nabla u) v dx \\ &\quad + \int_{\mathbb{R}^N} |u|^{p-2} uv dx - \int_{\mathbb{R}^N} g(x, u) v dx. \end{aligned} \quad (3.10)$$

Now, we are ready to state the following regularity result.

**Proposition 3.7.** *Taking  $p > 1$ , assume that (A1)–(A4) hold. If  $(u_n)_n \subset X$ ,  $u \in X$ ,  $M > 0$  are such that (3.4), (3.5) hold and*

$$u_n \rightarrow u \quad \text{a.e. in } \mathbb{R}^N \text{ as } n \rightarrow +\infty,$$

*then*

$$\mathcal{J}(u_n) \rightarrow \mathcal{J}(u) \quad \text{and} \quad \|d\mathcal{J}(u_n) - d\mathcal{J}(u)\|_{X'} \rightarrow 0 \quad \text{as } n \rightarrow +\infty.$$

*Hence,  $\mathcal{J}$  is a  $C^1$  functional on  $X$  with Fréchet differential defined as in (3.10).*

*Proof.* It is sufficient to simplify the proof of [33, Prop. 3.10] by observing that the functional  $u \in X \mapsto \frac{1}{p} \int_{\mathbb{R}^N} |u|^p dx \in \mathbb{R}$  is of class  $C^1$ .  $\square$

#### 4. STATEMENT OF MAIN RESULTS

From now on, we assume that in addition to (A1)–(A4), functions  $A(x, t, \xi)$  and  $g(x, t)$  satisfy the following further conditions:

(A5) there exists a constant  $\alpha_0 > 0$  such that

$$A(x, t, \xi) \geq \alpha_0 |\xi|^p \quad \text{a.e. in } \mathbb{R}^N, \text{ for all } (t, \xi) \in \mathbb{R} \times \mathbb{R}^N;$$

(A6) there exists a constant  $\eta_0$  such that

$$A(x, t, \xi) \leq \eta_0 a(x, t, \xi) \cdot \xi \quad \text{a.e. in } \mathbb{R}^N, \text{ for all } (t, \xi) \in \mathbb{R} \times \mathbb{R}^N;$$

(A7) there exists a constant  $\alpha_1 > 0$  such that

$$a(x, t, \xi) \cdot \xi + A_t(x, t, \xi)t \geq \alpha_1 a(x, t, \xi) \cdot \xi \quad \text{a.e. in } \mathbb{R}^N, \text{ for all } (t, \xi) \in \mathbb{R} \times \mathbb{R}^N;$$

(A8) there exist constants  $\mu > p$  and  $\alpha_2 > 0$  such that

$$\mu A(x, t, \xi) - a(x, t, \xi) \cdot \xi - A_t(x, t, \xi)t \geq \alpha_2 A(x, t, \xi) \quad \text{a.e. in } \mathbb{R}^N,$$

for all  $(t, \xi) \in \mathbb{R} \times \mathbb{R}^N$ ;

(A9) for all  $\xi, \xi^* \in \mathbb{R}^N, \xi \neq \xi^*$ , we have

$$[a(x, t, \xi) - a(x, t, \xi^*)] \cdot [\xi - \xi^*] > 0 \quad \text{a.e. in } \mathbb{R}^N, \text{ for all } t \in \mathbb{R};$$

(A10)  $A(x, t, \xi) = A(|x|, t, \xi)$  a.e. in  $\mathbb{R}^N$ , for all  $t \in \mathbb{R}$ ;

(A11) there exist real constants  $l_1, l_2, \eta_1, \eta_2$  such that

$$\lim_{t \rightarrow 0} \frac{\Phi_1(t)}{|t|^{\eta_1}} = l_1, \quad \lim_{t \rightarrow 0} \frac{\Phi_2(t)}{|t|^{\eta_2}} = l_2$$

with  $\Phi_1, \Phi_2$  as in (A2) and

$$\eta_1 > \frac{p}{N-1}, \quad \eta_2 > \frac{p-1}{N-1}; \quad (4.1)$$

(A12)  $g(x, t) = g(|x|, t)$  a.e. in  $\mathbb{R}^N$ , for all  $t \in \mathbb{R}$ ;

(A13) the function  $\eta$  introduced in (A4) is such that

$$\text{ess sup}_{|x| \leq 1} \eta(x) < +\infty;$$

(A14)  $\lim_{t \rightarrow 0^+} \frac{g(x, t)}{t^{p-1}} = +\infty$  uniformly for a.e.  $x \in \mathbb{R}^N, |x| \leq 1$ .

**Example 4.1.** The function

$$A(x, t, \xi) = \frac{1}{p} (A_1(x) + A_2(x)|t|^\theta) |\xi|^p \quad \text{a.e. in } \mathbb{R}^N, \text{ for all } (t, \xi) \in \mathbb{R} \times \mathbb{R}^N$$

with  $p > 1$  and  $\theta > 1$ , satisfies (A1), (A2), (A5)–(A11) if  $A_1$  and  $A_2$  are two radial functions and there exists a constant  $\bar{\alpha}_0 > 0$  such that

$$A_1, A_2 \in L^\infty(\mathbb{R}^N), \quad A_1(x) \geq \bar{\alpha}_0, \quad A_1(x) \geq 0 \quad \text{a.e. in } \mathbb{R}^N.$$

We point out some direct consequences of the previous hypotheses.

**Remark 4.2.** In assumption (A5) we always suppose  $\alpha_0 \leq 1$  while from (A5) and (A6) we suppose  $\alpha_1 \leq 1$  in (A7).

**Remark 4.3.** From (A7) and (A8) it follows that

$$(\mu - \alpha_2)A(x, t, \xi) \geq \alpha_1 a(x, t, \xi) \cdot \xi \quad \text{a.e. in } \mathbb{R}^N, \text{ for all } (t, \xi) \in \mathbb{R} \times \mathbb{R}^N;$$

hence, if also (A5) and (A6) hold, we have  $\alpha_2 < \mu$ . So,

$$A(x, t, \xi) \geq \alpha_3 a(x, t, \xi) \cdot \xi \quad \text{a.e. in } \mathbb{R}^N \text{ for all } (t, \xi) \in \mathbb{R} \times \mathbb{R}^N, \quad (4.2)$$

with  $\alpha_3 = \frac{\alpha_1}{\mu - \alpha_2} > 0$ . Moreover, from (4.2) and (A8) we have that

$$\mu A(x, t, \xi) - a(x, t, \xi) \cdot \xi - A_t(x, t, \xi)t \geq \alpha_2 \alpha_3 a(x, t, \xi) \cdot \xi \quad \text{a.e. in } \mathbb{R}^N,$$

for all  $(t, \xi) \in \mathbb{R} \times \mathbb{R}^N$ .

**Remark 4.4.** We note that from (A5)–(A8) it follows that

$$-(1 - \alpha_1)a(x, t, \xi) \cdot \xi \leq A_t(x, t, \xi)t \leq (\mu - \alpha_2)A(x, t, \xi) \leq (\mu - \alpha_2)\eta_0 a(x, t, \xi)\xi$$

which implies that

$$|A_t(x, t, \xi)t| \leq ca(x, t, \xi)\xi \quad (4.3)$$

with  $c = \max\{(\mu - \alpha_2)\eta_0, (1 - \alpha_1)\}$ .

Now, we are able to state our main existence result.

**Theorem 4.5.** *Assume that (A1)–(A14) hold, then problem (1.1) admits at least two weak nontrivial radial bounded solutions, one negative and one positive.*

We will prove Theorem 4.5 by applying Proposition 2.2 to a suitable restriction of the functional  $\mathcal{J}$  introduced in (3.9). To this aim, the following results will be useful.

**Proposition 4.6.** *Assume that conditions (A1)–(A5) hold. Then, there exists positive constants  $b_1, b_2$  such that*

$$\mathcal{J}(u) \geq b_1\|u\|_p^p - b_2\|u\|_p^q \quad \text{for each } u \in X.$$

Hence, functional  $\mathcal{J}$  is bounded from below, i.e., there exists a constant  $\alpha \in \mathbb{R}$  such that

$$\mathcal{J}(u) \geq \alpha \text{ for any } u \in X, \text{ with } \alpha = \min_{s \geq 0} (b_1 s^p - b_2 s^q).$$

*Proof.* From (A5) and (3.7) we have

$$\begin{aligned} \mathcal{J}(u) &= \int_{\mathbb{R}^N} A(x, u, \nabla u) dx + \frac{1}{p} \int_{\mathbb{R}^N} |u|^p dx - \int_{\mathbb{R}^N} G(x, u) dx \\ &\geq \alpha_0 \int_{\mathbb{R}^N} |\nabla u|^p dx + \frac{1}{p} \int_{\mathbb{R}^N} |u|^p dx - \frac{1}{q} |\eta|_{\frac{p}{p-q}} |u|_p^q \\ &\geq b_1 \|u\|_p^p - b_2 \|u\|_p^q \end{aligned}$$

where  $b_1 = \min\{\alpha_0, \frac{1}{p}\}$  and  $b_2 = \frac{1}{q} |\eta|_{\frac{p}{p-q}}$ . □

**Lemma 4.7.** *Assume that  $g(x, t)$  satisfies conditions (A3) and (A4), with  $1 < q < p$ , and consider  $(w_n)_n, (v_n)_n \subset X$  and  $v, w \in X$  such that*

$$\|w_n\|_p \leq M_1 \quad \text{for all } n \in \mathbb{N}, \quad w_n \rightarrow w \quad \text{a.e. in } \mathbb{R}^N, \quad (4.4)$$

$$\|v_n\|_p \leq M_2 \quad \text{for all } n \in \mathbb{N}, \quad v_n \rightarrow 0 \quad \text{a.e. in } \mathbb{R}^N, \quad (4.5)$$

for some constants  $M_1, M_2 > 0$ . Then

$$\lim_{n \rightarrow +\infty} \int_{\mathbb{R}^N} g(x, w_n) v_n dx = 0.$$

*Proof.* From (4.4), (4.5) and (A3) we have

$$g(x, w_n) v_n \rightarrow 0 \quad \text{a.e. in } \mathbb{R}^N. \quad (4.6)$$

Moreover, from (3.8) and by applying again (4.4) and (4.5), it follows that

$$\int_{\mathbb{R}^N} |g(x, w_n) v_n| dx \leq |\eta|_{\frac{p}{p-q}} |w_n|_p^{q-1} |v_n|_p \leq |\eta|_{\frac{p}{p-q}} \|w_n\|_p^{q-1} \|v_n\|_p \leq M_1^{q-1} M_2 |\eta|_{\frac{p}{p-q}}.$$

As  $\eta \in L^{\frac{p}{p-q}}(\mathbb{R}^N)$ , for each  $\epsilon > 0$  there exists  $R > 0$  such that

$$\int_{\mathbb{R}^N \setminus B_R(0)} |g(x, w_n) v_n| dx < \epsilon \quad (4.7)$$



for all  $n \in \mathbb{N}$ . On the other hand, from the absolute continuity of the Lebesgue’s integral taking  $\epsilon' = \left(\frac{\epsilon}{M_1^{q-1}M_2}\right)^{\frac{p}{p-q}}$  there exists  $\delta_\epsilon > 0$  such that

$$\int_A |\eta|^{\frac{p}{p-q}} dx \leq \epsilon'$$

for all measurable set  $A \subset B_R(0)$  with  $\text{meas}(A) < \delta_\epsilon$ . Thus, it follows that

$$\int_A |g(x, w_n)v_n| dx \leq \epsilon$$

for all  $n \in \mathbb{N}$  and for all measurable set  $A$  with  $\text{meas}(A) < \delta_\epsilon$ . Hence, by Vitali’s Convergence Theorem

$$g(x, w_n)v_n \rightarrow 0 \quad \text{in } L^1(B_R(0)). \tag{4.8}$$

The conclusion follow from (4.7) and (4.8). □

From now on, to overcome the lack of compactness of the problem we reduce to work in the space of radial functions which is a natural constraint if the problem is radially invariant (see [34]). Thus, in our setting, we consider the space

$$X_r := W_r^{1,p}(\mathbb{R}^N) \cap L^\infty(\mathbb{R}^N)$$

endowed with norm  $\|\cdot\|_X$  and we denote by  $(X'_r, \|\cdot\|_{X'_r})$  its dual space.

**Lemma 4.8** (Radial Lemma). *If  $N \geq 2$  and  $p > 1$ , for all  $u \in W_r^{1,p}(\mathbb{R}^N)$  it holds*

$$|u(x)| \leq C \frac{\|u\|_p}{|x|^{\frac{N-1}{p}}} \quad \text{a.e. in } \mathbb{R}^N, \tag{4.9}$$

for a suitable constant  $C$  depending only on  $N$  and  $p$ .

For a proof of the above lemma, see [26, Lemma II.1].

**Lemma 4.9.** *If  $p > 1$  then the following compact embeddings hold:*

$$W_r^{1,p}(\mathbb{R}^N) \hookrightarrow L^l(\mathbb{R}^N) \quad \text{for any } p < l < p^*.$$

The proof of the above lemma is essentially contained in [13, Theorem 3.2] (see also [14, Lemma 4.8]).

**Remark 4.10.** By assumptions (A10) and (A12), we can be reduced to looking for critical points of the restriction of  $\mathcal{J}$  in (3.9) to  $X_r$ , which we still denote as  $\mathcal{J}$  for simplicity (see [34]).

We recall that Proposition 3.7 implies that functional  $\mathcal{J}$  is  $C^1$  on the Banach space  $X_r$ , too, if also (A1)–(A4) hold.

Now, we want to extend to  $\mathbb{R}^N$  a result stated by Boccardo–Murat–Puel in bounded domains (see [7, Lemma 5]).

**Lemma 4.11.** *Assume that (A1), (A2), (A5), (A6), (A9)–(A11) hold. Let  $(u_n)_n \subset X_r, u \in X_r$  be such that*

$$u_n \rightharpoonup u \quad \text{weakly in } W_r^{1,p}(\mathbb{R}^N), \tag{4.10}$$

$$u_n \rightarrow u \quad \text{a.e. in } \mathbb{R}^N, \tag{4.11}$$

$$|u_n|_\infty \leq M \quad \text{for all } n \in \mathbb{N}, \tag{4.12}$$

$$\int_{\mathbb{R}^N} [a(x, u_n, \nabla u_n) - a(x, u_n, \nabla u)] \cdot \nabla(u_n - u) dx \rightarrow 0. \tag{4.13}$$

Then

$$\int_{\mathbb{R}^N} |\nabla u_n|^p dx \rightarrow \int_{\mathbb{R}^N} |\nabla u|^p dx \quad \text{as } n \rightarrow +\infty. \quad (4.14)$$

*Proof.* We will use arguments similar to those ones used in bounded domains in [31, Lemma 4.5] (see also [7, Lemma 5]). We will prove that any subsequence of  $(u_n)_n$  admits a subsequence satisfying (4.14) and then (4.14) holds for all sequence  $(u_n)_n$ .

Let  $f_n$  be defined by

$$f_n = [a(x, u_n, \nabla u_n) - a(x, u_n, \nabla u)] \cdot \nabla(u_n - u).$$

From (A9) it follows that  $f_n \geq 0$  a.e. in  $\mathbb{R}^N$  and from (4.13) we have  $f_n \rightarrow 0$  in  $L^1(\mathbb{R}^N)$ .

Thus, from [9, Theorem 4.9] a function  $\bar{h} \in L^1(\mathbb{R}^N)$  and a subset  $Z$  of  $\mathbb{R}^N$  exist such that  $\text{meas}(Z) = 0$  and, up to a subsequence,

$$f_n(x) \rightarrow 0 \quad \text{and} \quad f_n(x) \leq \bar{h}(x) < \infty \quad \text{for all } x \in \mathbb{R}^N \setminus Z, \text{ for all } n \in \mathbb{N}. \quad (4.15)$$

Moreover, since  $u \in X$  and (4.11)–(4.12) hold, we can assume that

$$u_n(x) \rightarrow u(x), \quad |u(x)| < +\infty \quad \text{and} \quad |\nabla u(x)| < +\infty, \quad \text{for all } x \in \mathbb{R}^N \setminus Z. \quad (4.16)$$

From (A2) and (A6) we also have

$$\begin{aligned} f_n(x) &\geq \frac{\alpha_0}{\eta_0} [|\nabla u_n|^p + |\nabla u|^p] - \Phi_2(u_n) |u_n|^{p-1} |\nabla u| - \phi_2(u_n) |\nabla u_n|^{p-1} |\nabla u| \\ &\quad - \Phi_2(u) |u|^{p-1} |\nabla u_n| - \phi_2(u) |\nabla u|^{p-1} |\nabla u_n|. \end{aligned}$$

Since  $\Phi_2, \phi_2$  are continuous functions, by (4.12), (4.15) and (4.16) we find that

$$(\nabla u_n(x))_n \text{ is bounded for all } x \in \mathbb{R}^N \setminus Z.$$

Let  $\xi^*(x)$  be a cluster point of  $(\nabla u_n(x))_n$ . We have  $|\xi^*(x)| < \infty$  and, since  $f_n(x) \rightarrow 0$  and  $a$  is a Carathéodory function, it follows that

$$[a(x, u, \xi^*) - a(x, u, \nabla u)] \cdot (\xi^* - \nabla u) = 0,$$

hence (A9) implies that  $\nabla u(x) = \xi^*(x)$  for all  $x \in \mathbb{R}^N \setminus Z$ . From this, we deduce that  $\nabla u_n(x)$  converges to  $\nabla u(x)$  without passing to subsequence. Hence,

$$\nabla u_n(x) \rightarrow \nabla u(x) \quad \text{for all } x \in \mathbb{R}^N \setminus Z. \quad (4.17)$$

Thus, from (A1), (4.16) and (4.17) we have that

$$a(x, u_n(x), \nabla u_n(x)) \rightarrow a(x, u(x), \nabla u(x)) \quad \text{for all } x \in \mathbb{R}^N \setminus Z$$

and then

$$a(x, u_n, \nabla u_n) \cdot \nabla u_n \rightarrow a(x, u, \nabla u) \cdot \nabla u \quad \text{a.e. in } \mathbb{R}^N. \quad (4.18)$$

Now, from (A5) and (A6) it follows that

$$a(x, u_n, \nabla u_n) \cdot \nabla u_n \geq 0 \quad \text{a.e. in } \mathbb{R}^N. \quad (4.19)$$

From (4.12) and (A2) we obtain that

$$|a(x, u_n, \nabla u_n)| \leq c(|\nabla u_n|^{p-1} + |u_n|^{p-1}). \quad (4.20)$$

Since (4.10) holds,  $u_n$  is bounded in  $W^{1,p}(\mathbb{R}^N)$ , thus from (4.20) the sequence  $(a(x, u_n, \nabla u_n))_n$  is bounded in  $(L^{\frac{p}{p-1}}(\mathbb{R}^N))^N$ , hence, up to subsequences, it weakly converges to  $a(x, u, \nabla u)$  in  $(L^{\frac{p}{p-1}}(\mathbb{R}^N))^N$ . It follows that

$$\int_{\mathbb{R}^N} a(x, u_n, \nabla u_n) \cdot \nabla u \, dx \rightarrow \int_{\mathbb{R}^N} a(x, u, \nabla u) \cdot \nabla u \, dx.$$

In a similar way, we prove that

$$\int_{\mathbb{R}^N} a(x, u_n, \nabla u) \cdot \nabla u \, dx \rightarrow \int_{\mathbb{R}^N} a(x, u, \nabla u) \cdot \nabla u \, dx.$$

Now, we prove that

$$\int_{\mathbb{R}^N} a(x, u_n, \nabla u) \cdot \nabla u_n \, dx \rightarrow \int_{\mathbb{R}^N} a(x, u, \nabla u) \cdot \nabla u \, dx. \tag{4.21}$$

Clearly, from (A1), (4.11), and (4.17) it follows that

$$a(x, u_n, \nabla u) \cdot \nabla u_n \rightarrow a(x, u, \nabla u) \cdot \nabla u \quad \text{a.e. in } \mathbb{R}^N. \tag{4.22}$$

Moreover,

$$\begin{aligned} & \left| \int_{\mathbb{R}^N} [a(x, u_n, \nabla u) \cdot \nabla u_n - a(x, u, \nabla u) \cdot \nabla u] \, dx \right| \\ & \leq \int_{\mathbb{R}^N} |a(x, u_n, \nabla u)| |\nabla u_n| \, dx + \int_{\mathbb{R}^N} a(x, u, \nabla u) \cdot \nabla u \, dx \end{aligned} \tag{4.23}$$

where  $a(x, u, \nabla u) \cdot \nabla u \in L^1(\mathbb{R}^N)$  while from (A2), Hölder inequality, (4.10) and (4.12)

$$\left| \int_{\mathbb{R}^N} a(x, u_n, \nabla u) \cdot \nabla u_n \, dx \right| \leq c(|\nabla u|_p^{p-1} + |(\Phi_2(u_n))^{\frac{1}{p-1}} u_n|_p^{p-1}). \tag{4.24}$$

We notice that from (A11) we have

$$\lim_{t \rightarrow 0} \frac{\Phi_2(t)}{|t|^{\eta_2}} = l_2 \geq 0$$

hence, there exists  $\bar{\delta} > 0$  such that

$$\Phi_2(t) < (l_2 + 1)|t|^{\eta_2} \quad \text{for all } t \in \mathbb{R}, |t| < \bar{\delta}.$$

Therefore, taking  $\bar{M} = \sup_n \|u_n\|_p$  and  $\bar{R}$  such that  $\frac{C\bar{M}}{\bar{R}^{\frac{N-1}{p}}} < \bar{\delta}$ , using (4.9) in Radial Lemma it holds

$$|u_n(x)| \leq \frac{C\bar{M}}{|x|^{\frac{N-1}{p}}} \leq \frac{C\bar{M}}{\bar{R}^{\frac{N-1}{p}}} < \bar{\delta} \quad \text{for all } x \in \mathbb{R}^N, |x| > \bar{R}$$

and therefore, using again Radial Lemma a constant  $\bar{C} > 0$  exists such that for  $|x| > \bar{R}$ ,

$$(\Phi_2(u_n))^{\frac{p}{p-1}} |u_n|^p \leq (l_2 + 1) \frac{p}{p-1} |u_n|^{\frac{\eta_2 p}{p-1}} |u_n|^p \leq \frac{\bar{C}}{|x|^{(N-1)(\frac{\eta_2}{p-1} + 1)}} \in L^1(B_{\bar{R}}^c) \tag{4.25}$$

since from (4.1) and simple calculations it follows that  $(N-1)(\frac{\eta_2}{p-1} + 1) > N$ . Thus, from (4.23)–(4.25) for each  $\epsilon > 0$  there exists  $R > \bar{R}$  such that

$$\left| \int_{B_R^c} [a(x, u_n, \nabla u) \cdot \nabla u_n - a(x, u, \nabla u) \cdot \nabla u] \, dx \right| \leq \epsilon. \tag{4.26}$$

On the other hand, from (4.24) and (4.12), since  $u_n \rightarrow u$  in  $L^p(B_R(0))$  for each  $\epsilon > 0$ ,

$$\begin{aligned} & \left| \int_{B_R(0)} [a(x, u_n, \nabla u) \cdot \nabla u_n - a(x, u, \nabla u) \cdot \nabla u] dx \right| \\ & \leq c(|\nabla u|_{p, B_R(0)}^{p-1} + |u|_{p, B_R(0)}^{p-1}). \end{aligned} \quad (4.27)$$

From the absolute continuity of the Lebesgue integral, there exists  $\delta_\epsilon > 0$  such that

$$\left| \int_A [a(x, u_n, \nabla u) \cdot \nabla u_n - a(x, u, \nabla u) \cdot \nabla u] dx \right| < \epsilon \quad (4.28)$$

for all measurable set  $A \subset B_R(0)$  with  $\text{meas}(A) < \delta_\epsilon$ . Hence, from (4.22) Vitali's Theorem holds and

$$\int_{B_R(0)} a(x, u_n, \nabla u) \cdot \nabla u_n dx \rightarrow \int_{B_R(0)} a(x, u, \nabla u) \cdot \nabla u dx. \quad (4.29)$$

Finally, (4.21) follows from (4.26) and (4.29). Hence, from (4.13) we finally find that

$$\int_{\mathbb{R}^N} a(x, u_n, \nabla u_n) \cdot \nabla u_n dx \rightarrow \int_{\mathbb{R}^N} a(x, u, \nabla u) \cdot \nabla u dx. \quad (4.30)$$

Now, we set

$$y_n = a(x, u_n, \nabla u_n) \cdot \nabla u_n \quad \text{and} \quad y = a(x, u, \nabla u) \cdot \nabla u.$$

So, from (4.19), (4.18), (A2) and (4.30) we obtain that

$$y_n \geq 0, \quad y_n \rightarrow y \quad \text{a.e. in } \mathbb{R}^N, \quad y \in L^1(\mathbb{R}^N), \quad \int_{\mathbb{R}^N} y_n dx \rightarrow \int_{\mathbb{R}^N} y dx.$$

From Brezis-Lieb's Lemma [9] it results

$$a(x, u_n, \nabla u_n) \cdot \nabla u_n \rightarrow a(x, u, \nabla u) \cdot \nabla u \quad \text{in } L^1(\mathbb{R}^N),$$

hence, using again [9, Theorem 4.9] a function  $H \in L^1(\mathbb{R}^N)$  exists such that

$$a(x, u_n, \nabla u_n) \cdot \nabla u_n \leq H(x) \quad \text{a.e. in } \mathbb{R}^N. \quad (4.31)$$

Moreover, from (A5), (A6) and (4.31) we have that

$$\frac{\alpha_0}{\eta_0} (|\nabla u_n|^p) \leq a(x, u_n, \nabla u_n) \cdot \nabla u_n \leq H(x),$$

thus, (4.14) follows from (4.17) and Lebesgue's Convergence Theorem.  $\square$

## 5. PROOF OF THE MAIN RESULT

The aim of this section is to prove that  $\mathcal{J}$  satisfies the  $(wCPS)_\beta$ -condition in  $X_r$  and then to apply Proposition 2.2 to the functional  $\mathcal{J}$  on  $X_r$ . To prove the weak Cerami-Palais-Smale condition, we need some preliminary lemmas.

Firstly, let us point out that, while if  $p > N$  the two norms  $\|\cdot\|_X$  and  $\|\cdot\|_p$  are equivalent, if  $p \leq N$  sufficient conditions are required for the boundedness of a  $W^{1,p}$ -function. Even if we are working in  $W_r^{1,p}(\mathbb{R}^N)$ , we need a condition for functions  $u$  in  $W^{1,p}(\Omega)$ ,  $\Omega$  bounded, as in the following result.

**Lemma 5.1.** *Let  $\Omega$  be an open bounded domain in  $\mathbb{R}^N$  with boundary  $\partial\Omega$ , consider  $p, r$  so that  $1 < p \leq r < p^*$ ,  $p \leq N$ , and take  $v \in W^{1,p}(\Omega)$ . If  $\gamma > 0$  and  $k_0 \in \mathbb{N}$  exist such that*

$$k_0 \geq \text{ess sup}_{\partial\Omega} v(x),$$

$$\int_{\Omega_k^+} |\nabla v|^p dx \leq \gamma \left( k^r \text{meas}(\Omega_k^+) + \int_{\Omega_k^+} |v|^r dx \right) \quad \text{for all } k \geq k_0,$$

with  $\Omega_k^+ = \{x \in \Omega : v(x) > k\}$ , then  $\text{ess sup}_{\Omega} v$  is bounded from above by a positive constant which can be chosen so that it depends only on  $\text{meas}(\Omega)$ ,  $N$ ,  $p$ ,  $r$ ,  $\gamma$ ,  $k_0$ ,  $|v|_{p^*}$  ( $|v|_l$  for some  $l > r$  if  $p^* = +\infty$ ). Vice versa, if

$$-k_0 \leq \text{ess inf}_{\partial\Omega} v(x)$$

and

$$\int_{\Omega_k^-} |\nabla v|^p dx \leq \gamma \left( k^r \text{meas}(\Omega_k^-) + \int_{\Omega_k^-} |v|^r dx \right) \quad \text{for all } k \geq k_0$$

holds with  $\Omega_k^- = \{x \in \Omega : v(x) < -k\}$ , then  $\text{ess sup}_{\Omega} (-v)$  is bounded from above by a positive constant which can be chosen so that it depends only on  $\text{meas}(\Omega)$ ,  $N$ ,  $p$ ,  $r$ ,  $\gamma$ ,  $k_0$ ,  $|v|_{p^*}$  ( $|v|_l$  for some  $l > r$  if  $p^* = +\infty$ ).

The proof follows from [24, Theorem II.5.1] but reasoning as in [11, Lemma 4.5].

By applying Lemma 5.1, we will prove that the weak limit in  $W_r^{1,p}(\mathbb{R}^N)$  of a  $(CPS)_\beta$ -sequence has to be bounded in  $\mathbb{R}^N$ . For simplicity, in the following proofs, when a sequence  $(u_n)_n$  is involved, we use the notation  $(\varepsilon_n)_n$  for any infinitesimal sequence depending only on  $(u_n)_n$  while  $(\varepsilon_{k,n})_n$  for any infinitesimal sequence depending not only on  $(u_n)_n$  but also on some fixed integer  $k$ . Moreover,  $c$  denotes any strictly positive constant independent of  $n$  which can change from line to line.

**Proposition 5.2.** *Let  $1 < q < p$  and assume that (A1)–(A7), (A10), (A12), (A13) hold. Then, taking any  $\beta \in \mathbb{R}$  and a  $(CPS)_\beta$ -sequence  $(u_n)_n \subset X_r$ , it follows that  $(u_n)_n$  is bounded in  $W_r^{1,p}(\mathbb{R}^N)$  and a constant  $\beta_0 > 0$  exists such that*

$$|u_n(x)| \leq \beta_0 \quad \text{for a.e. } x \in \mathbb{R}^N \text{ with } |x| \geq 1 \text{ and for all } n \in \mathbb{N}. \quad (5.1)$$

Moreover, there exists  $u \in X_r$  such that, up to subsequences,

$$u_n \rightharpoonup u \quad \text{weakly in } W_r^{1,p}(\mathbb{R}^N), \quad (5.2)$$

$$u_n \rightarrow u \quad \text{strongly in } L^l(\mathbb{R}^N) \text{ for each } l \in ]p, p^*[ , \quad (5.3)$$

$$u_n \rightarrow u \quad \text{a.e. in } \mathbb{R}^N, \quad (5.4)$$

as  $n \rightarrow +\infty$ .

*Proof.* Let  $\beta \in \mathbb{R}$  be fixed and consider a sequence  $(u_n)_n \subset X_r$  such that

$$\mathcal{J}(u_n) \rightarrow \beta \quad \text{and} \quad \|d\mathcal{J}(u_n)\|_{X_r'} (1 + \|u_n\|_{X_r}) \rightarrow 0 \quad \text{as } n \rightarrow +\infty. \quad (5.5)$$

From Proposition 4.6, as  $q < p$ ,  $(u_n)_n$  is bounded in  $W_r^{1,p}(\mathbb{R}^N)$  and therefore Lemma 4.8 implies the uniform estimate (5.1). Furthermore,  $u \in W_r^{1,p}(\mathbb{R}^N)$  exists such that (5.2)–(5.4) hold, up to subsequences.

Now, we have just to prove that  $u \in L^\infty(\mathbb{R}^N)$ . Clearly, (5.1) and (5.4) imply

$$\text{ess sup}_{|x| \geq 1} |u(x)| \leq \beta_0 < +\infty. \quad (5.6)$$

Then, it is sufficient to prove that

$$\text{ess sup}_{|x| \leq 1} |u(x)| < +\infty. \quad (5.7)$$

Arguing by contradiction, let us assume that either

$$\operatorname{ess\,sup}_{|x|\leq 1} u(x) = +\infty \quad (5.8)$$

or

$$\operatorname{ess\,sup}_{|x|\leq 1} (-u(x)) = +\infty. \quad (5.9)$$

If, for example, (5.8) holds then, for any fixed  $k \in \mathbb{N}$ ,  $k > \beta_0$  we have that

$$\operatorname{meas}(B_k^+) > 0 \quad \text{with } B_k^+ = \{x \in B_1(0) : u(x) > k\}. \quad (5.10)$$

We note that the choice of  $k$  and (5.6) imply that

$$B_k^+ = \{x \in \mathbb{R}^N : u(x) > k\}. \quad (5.11)$$

Moreover, if we set

$$B_{k,n}^+ = \{x \in B_1(0) : u_n(x) > k\}, \quad n \in \mathbb{N},$$

the choice of  $k$  and (5.1) imply that

$$B_{k,n}^+ = \{x \in \mathbb{R}^N : u_n(x) > k\} \quad \text{for all } n \in \mathbb{N}. \quad (5.12)$$

Now, consider the new function  $R_k^+ : t \in \mathbb{R} \rightarrow R_k^+ t \in \mathbb{R}$  such that

$$R_k^+ t = \begin{cases} 0 & \text{if } t \leq k \\ t - k & \text{if } t > k. \end{cases}$$

By definition and (5.11), respectively (5.12), it results

$$R_k^+ u(x) = \begin{cases} 0 & \text{if } x \notin B_k^+ \\ u(x) - k & \text{if } x \in B_k^+, \end{cases} \quad R_k^+ u_n(x) = \begin{cases} 0 & \text{if } x \notin B_{k,n}^+ \\ u_n(x) - k & \text{if } x \in B_{k,n}^+. \end{cases} \quad (5.13)$$

Clearly, (5.1), (5.6) and  $k > \beta_0$  imply

$$R_k^+ u \in W_0^{1,p}(B_1(0)) \quad \text{and} \quad R_k^+ u_n \in W_0^{1,p}(B_1(0)) \quad \text{for all } n \in \mathbb{N}. \quad (5.14)$$

From (5.2) it follows that  $R_k^+ u_n \rightharpoonup R_k^+ u$  weakly in  $W_r^{1,p}(\mathbb{R}^N)$ , then, from (5.14), in  $W_0^{1,p}(B_1(0))$ . As  $W_0^{1,p}(B_1(0)) \hookrightarrow L^l(B_1(0))$  for any  $1 \leq l < p^*$ , then

$$\lim_{n \rightarrow +\infty} \int_{B_1(0)} |R_k^+ u_n|^l dx = \int_{B_1(0)} |R_k^+ u|^l dx \quad \text{for } 1 \leq l < p^*. \quad (5.15)$$

Moreover, from (5.3) we have  $u_n \rightarrow u$  strongly in  $L^l(B_1(0))$  for any  $l \in ]p, p^*[$  and then

$$\lim_{n \rightarrow +\infty} \int_{B_1(0)} |u_n|^l dx = \int_{B_1(0)} |u|^l dx \quad \text{for } 1 \leq l < p^*. \quad (5.16)$$

Thus, by the weak lower semi-continuity of the norm  $\|\cdot\|_p$ , we have that

$$\int_{\mathbb{R}^N} |\nabla R_k^+ u|^p dx + \int_{\mathbb{R}^N} |R_k^+ u|^p dx \leq \liminf_{n \rightarrow +\infty} \left( \int_{\mathbb{R}^N} |\nabla R_k^+ u_n|^p dx + \int_{\mathbb{R}^N} |R_k^+ u_n|^p dx \right),$$

i.e., from (5.13)–(5.15) we have

$$\begin{aligned} \int_{B_k^+} |\nabla u|^p dx + \int_{B_1(0)} |R_k^+ u|^p dx &\leq \liminf_{n \rightarrow +\infty} \left( \int_{B_{k,n}^+} |\nabla u_n|^p dx + \int_{B_1(0)} |R_k^+ u_n|^p dx \right) \\ &= \liminf_{n \rightarrow +\infty} \int_{B_{k,n}^+} |\nabla u_n|^p dx + \int_{B_1(0)} |R_k^+ u|^p dx. \end{aligned}$$

Hence,

$$\int_{B_k^+} |\nabla u|^p dx \leq \liminf_{n \rightarrow +\infty} \int_{B_{k,n}^+} |\nabla u_n|^p dx. \tag{5.17}$$

On the other hand, since  $\|R_k^+ u_n\|_X \leq \|u_n\|_X$  holds, it follows that

$$|\langle d\mathcal{J}(u_n), R_k^+ u_n \rangle| \leq \|d\mathcal{J}(u_n)\|_{X'} \|u_n\|_X.$$

Then (5.5) and (5.10) imply that  $n_k \in \mathbb{N}$  exists such that

$$\langle d\mathcal{J}(u_n), R_k^+ u_n \rangle < \text{meas}(B_k^+) \quad \text{for all } n \geq n_k. \tag{5.18}$$

Let us point out that, since  $\alpha_1 \leq 1$ , assumptions (A5)–(A7) imply that

$$\begin{aligned} \langle d\mathcal{J}(u_n), R_k^+ u_n \rangle &= \int_{B_{k,n}^+} a(x, u_n, \nabla u_n) \cdot \nabla u_n dx + \int_{B_{k,n}^+} A_t(x, u_n, \nabla u_n)(u_n - k) dx \\ &\quad + \int_{B_{k,n}^+} |u_n|^{p-2} u_n (u_n - k) dx - \int_{B_{k,n}^+} g(x, u_n) R_k^+ u_n dx \\ &= \int_{B_{k,n}^+} \left(1 - \frac{k}{u_n}\right) [a(x, u_n, \nabla u_n) \cdot \nabla u_n + A_t(x, u_n, \nabla u_n) u_n] dx \\ &\quad + \int_{B_{k,n}^+} \frac{k}{u_n} a(x, u_n, \nabla u_n) \cdot \nabla u_n dx + \int_{B_{k,n}^+} |u_n|^{p-2} u_n (u_n - k) dx \\ &\quad - \int_{B_{k,n}^+} g(x, u_n) R_k^+ u_n dx \\ &\geq \alpha_1 \int_{B_{k,n}^+} a(x, u_n, \nabla u_n) \cdot \nabla u_n dx - \int_{B_{k,n}^+} g(x, u_n) R_k^+ u_n dx. \end{aligned}$$

Hence, from the previous inequalities, (A5) and (A6) it follows that

$$\frac{\alpha_0 \alpha_1}{\eta_0} \int_{B_{k,n}^+} |\nabla u_n|^p dx \leq \langle d\mathcal{J}(u_n), R_k^+ u_n \rangle + \int_{B_{k,n}^+} g(x, u_n) R_k^+ u_n dx. \tag{5.19}$$

Now, from (5.14), (5.15) and (A4) we obtain

$$\lim_{n \rightarrow +\infty} \int_{\mathbb{R}^N} g(x, u_n) R_k^+ u_n dx = \int_{\mathbb{R}^N} g(x, u) R_k^+ u dx. \tag{5.20}$$

Thus, from (5.17)–(5.20) and (A13) we obtain that

$$\begin{aligned} \int_{B_k^+} |\nabla u|^p dx &\leq c \left( \text{meas}(B_k^+) + \int_{B_k^+} g(x, u) R_k^+ u dx \right) \\ &\leq c \text{meas}(B_k^+) + c \int_{B_k^+} \eta(x) |u|^q dx \\ &\leq \bar{c} \left( \text{meas}(B_k^+) + \int_{B_k^+} |u|^p dx \right) \end{aligned}$$

with  $\bar{c} = \max\{c, \text{ess sup}_{|x| \leq 1} \eta(x)\}$  since

$$\int_{B_k^+} \eta(x) |u|^q dx \leq \int_{B_k^+} \eta(x) |u|^p dx \leq \text{ess sup}_{|x| \leq 1} \eta(x) \int_{B_k^+} |u|^p dx$$

as  $q < p$  and  $u(x) > 1$  for all  $x \in B_k^+$ .

Thus, we obtain

$$\int_{B_k^+} |\nabla u|^p dx \leq \bar{c} \left( \text{meas}(B_k^+) + \int_{B_k^+} |u|^p \right).$$

As this inequality holds for all  $k > \beta_0$ , Lemma 5.1 implies that (5.8) is not true. Thus, (5.9) must hold. In this case, fixing any  $k \in \mathbb{N}$ ,  $k > \beta_0$ , we have

$$\text{meas}(B_k^-) > 0, \quad \text{with } B_k^- = \{x \in B_1(0) : u(x) < -k\},$$

and we can consider  $R_k^- : t \in \mathbb{R} \rightarrow R_k^- t \in \mathbb{R}$  such that

$$R_k^- t = \begin{cases} 0 & \text{if } t \geq -k \\ t + k & \text{if } t < -k. \end{cases}$$

Thus, reasoning as above, but replacing  $R_k^+$  with  $R_k^-$ , and applying again Lemma 5.1 we prove that (5.9) cannot hold. Hence, (5.7) has to be true.  $\square$

We are ready to prove the (*wCPS*) condition in  $\mathbb{R}$  by adapting the arguments developed in [10, Proposition 3.4], also in [11, Proposition 4.6], to our setting in the whole space  $\mathbb{R}^N$ .

**Proposition 5.3.** *If  $1 < q < p$  and (A1)–(A13) hold, then functional  $\mathcal{J}$  satisfies the weak Cerami-Palais-Smale condition in  $X_r$  at each level  $\beta \in \mathbb{R}$ .*

*Proof.* Let  $\beta \in \mathbb{R}$  be fixed and consider a sequence  $(u_n)_n \subset X_r$  verifying (5.5). By Proposition 5.2, the uniform estimate (5.1) holds and there exists  $u \in X_r$  such that, up to subsequences, (5.2)–(5.4) are satisfied.

We need to prove the following three steps:

(1) Define  $T_k : \mathbb{R} \rightarrow \mathbb{R}$  such that

$$T_k t = \begin{cases} t & \text{if } |t| \leq k \\ k \frac{t}{|t|} & \text{if } |t| > k, \end{cases} \tag{5.21}$$

with  $k \geq \max\{|u|_\infty, \beta_0\}$ . Then, as  $n \rightarrow +\infty$ , we have

$$\mathcal{J}(T_k u_n) \rightarrow \beta, \tag{5.22}$$

$$\|d\mathcal{J}(T_k u_n)\|_{X_r'} \rightarrow 0; \tag{5.23}$$

(2)  $\|u_n - u\|_p \rightarrow 0$  if  $n \rightarrow +\infty$ , as

$$\|T_k u_n - u\|_p \rightarrow 0 \quad \text{as } n \rightarrow +\infty; \tag{5.24}$$

(3)  $\mathcal{J}(u) = \beta$  and  $d\mathcal{J}(u) = 0$ .

**Step 1.** Taking any  $k > \max\{|u|_\infty, \beta_0\}$ , if we set

$$B_{k,n} = \{x \in B_1(0) : |u_n(x)| > k\}, \quad n \in \mathbb{N}, \tag{5.25}$$

the choice of  $k$  and (5.1) imply that

$$B_{k,n} = \{x \in \mathbb{R}^N : |u_n(x)| > k\} \quad \text{for all } n \in \mathbb{N}. \tag{5.26}$$

Then, from (5.21) and (5.26) we have that

$$T_k u_n(x) = \begin{cases} u_n(x) & \text{for a.e. } x \notin B_{k,n} \\ k \frac{u_n(x)}{|u_n(x)|} & \text{for } x \in B_{k,n} \end{cases} \tag{5.27}$$

and

$$|T_k u_n|_\infty \leq k, \quad \|T_k u_n\|_p \leq \|u_n\|_p \quad \text{for each } n \in \mathbb{N}.$$



Defining  $R_k : \mathbb{R} \rightarrow \mathbb{R}$  such that

$$R_k t = t - T_k t = \begin{cases} 0 & \text{if } |t| \leq k \\ t - k \frac{t}{|t|} & \text{if } |t| > k, \end{cases}$$

from (5.26) it results that

$$R_k u_n(x) = \begin{cases} 0 & \text{for a.e. } x \notin B_{k,n} \\ u_n(x) - k \frac{u_n(x)}{|u_n(x)|} & \text{for } x \in B_{k,n}; \end{cases} \tag{5.28}$$

hence, (5.25) and (5.28) imply that

$$R_k u_n \in W_0^{1,p}(B_1(0)) \quad \text{for all } n \in N. \tag{5.29}$$

Since  $k > |u|_\infty$ , we deduce that

$$T_k u(x) = u(x) \quad \text{and} \quad R_k u(x) = 0 \quad \text{for a.e. } x \in \mathbb{R}^N;$$

thus, from (5.2) it follows that  $R_k u_n \rightharpoonup 0$  weakly in  $W_r^{1,p}(\mathbb{R}^N)$ , and, from (5.29), in  $W_0^{1,p}(B_1(0))$ . From the compact embedding of  $W_0^{1,p}(B_1(0))$  in  $L^l(B_1(0))$  for  $1 \leq l < p^*$ , we have that

$$\lim_{n \rightarrow +\infty} \int_{\mathbb{R}^N} |R_k u_n|^l dx = 0 \quad \text{for } 1 \leq l < p^*. \tag{5.30}$$

Now, arguing as in the proof of (5.19) but replacing  $R_k^+ u_n$  with  $R_k u_n$  we obtain

$$\begin{aligned} \frac{\alpha_0 \alpha_1}{\eta_0} \int_{B_{k,n}} |\nabla u_n|^p dx &\leq \alpha_1 \int_{B_{k,n}} a(x, u_n, \nabla u_n) \cdot \nabla u_n dx \\ &\leq \langle d\mathcal{J}(u_n), R_k u_n \rangle + \int_{B_{k,n}} g(x, u_n) R_k u_n dx. \end{aligned} \tag{5.31}$$

We note that (5.5) and  $\|R_k u_n\|_X \leq \|u_n\|_X$  imply that

$$\lim_{n \rightarrow +\infty} |\langle d\mathcal{J}(u_n), R_k u_n \rangle| = 0; \tag{5.32}$$

while the boundedness of the sequences  $(\|u_n\|_p)_n$  and  $(\|R_k u_n\|_p)_n$ , (5.4), (5.6), (5.28), and Lemma 4.7 imply that

$$\lim_{n \rightarrow +\infty} \int_{B_{k,n}} g(x, u_n) R_k u_n dx = 0. \tag{5.33}$$

From (5.31)–(5.33) we obtain that

$$\lim_{n \rightarrow +\infty} \int_{B_{k,n}} |\nabla u_n|^p dx = 0, \tag{5.34}$$

$$\lim_{n \rightarrow +\infty} \int_{B_{k,n}} a(x, u_n, \nabla u_n) \cdot \nabla u_n dx = 0. \tag{5.35}$$

Hence, from (5.28), (5.30), and (5.34) it follows that

$$\lim_{n \rightarrow +\infty} \|R_k u_n\|_p = 0. \tag{5.36}$$

Moreover, from (5.4), (5.25), and  $k > |u|_\infty$  we obtain

$$\lim_{n \rightarrow +\infty} \text{meas}(B_{k,n}) = 0, \tag{5.37}$$

which together (5.16) implies

$$\lim_{n \rightarrow +\infty} \int_{B_{k,n}} |u_n|^l dx = 0 \quad \text{for } 1 \leq l < p^*. \quad (5.38)$$

From (3.9) and (5.27) we have

$$\begin{aligned} & \mathcal{J}(T_k u_n) \\ &= \int_{\mathbb{R}^N \setminus B_{k,n}} A(x, u_n, \nabla u_n) dx + \int_{B_{k,n}} A\left(x, k \frac{u_n}{|u_n|}, 0\right) dx \\ & \quad + \frac{1}{p} \int_{\mathbb{R}^N \setminus B_{k,n}} |u_n|^p dx + \frac{1}{p} \int_{B_{k,n}} k^p dx - \int_{\mathbb{R}^N} G(x, T_k u_n) dx \\ &= \mathcal{J}(u_n) - \int_{B_{k,n}} A(x, u_n, \nabla u_n) dx + \int_{B_{k,n}} A\left(x, k \frac{u_n}{|u_n|}, 0\right) dx \\ & \quad - \frac{1}{p} \int_{B_{k,n}} |u_n|^p dx + \frac{1}{p} \int_{B_{k,n}} k^p dx - \int_{\mathbb{R}^N} (G(x, T_k u_n) - G(x, u_n)) dx. \end{aligned} \quad (5.39)$$

From (A5), (A6) and (5.35) we have

$$\int_{B_{k,n}} A(x, u_n, \nabla u_n) dx \leq \eta_0 \int_{B_{k,n}} a(x, u_n, \nabla u_n) \cdot \nabla u_n dx \rightarrow 0, \quad (5.40)$$

while (A2), (5.4), (5.37), and (5.38) imply

$$\begin{aligned} \int_{B_{k,n}} A\left(x, k \frac{u_n}{|u_n|}, 0\right) dx &\leq \int_{B_{k,n}} \Phi_0\left(k \frac{u_n}{|u_n|}\right) k^p dx \\ &\leq \left(\max_{|t| \leq k} \Phi_0(t)\right) k^p \text{meas } B_{k,n} \rightarrow 0 \end{aligned} \quad (5.41)$$

and

$$-\frac{1}{p} \int_{B_{k,n}} |u_n|^p dx + \frac{1}{p} \int_{B_{k,n}} k^p dx \rightarrow 0. \quad (5.42)$$

Furthermore, from (5.27), we have

$$\int_{\mathbb{R}^N} (G(x, T_k u_n) - G(x, u_n)) dx = \int_{B_{k,n}} \left(G\left(x, k \frac{u_n}{|u_n|}\right) - G(x, u_n)\right) dx \rightarrow 0 \quad (5.43)$$

since (3.7), (5.37), and (5.38) imply that

$$\int_{B_{k,n}} G\left(x, k \frac{u_n}{|u_n|}\right) dx \leq \frac{1}{q} |\eta|_{\frac{p}{p-q}} k^q (\text{meas}(B_{k,n}))^{\frac{q}{p}} \rightarrow 0$$

and

$$\int_{B_{k,n}} G(x, u_n) dx \leq \frac{1}{q} |\eta|_{\frac{p}{p-q}} \left(\int_{B_{k,n}} |u_n|^q dx\right)^{\frac{q}{p}} \rightarrow 0.$$

Then, (5.22) follows from (5.5) and (5.39)–(5.43).

To prove (5.23), we take  $v \in X_r$  such that  $\|v\|_X = 1$ ; hence,  $\|v\|_\infty \leq 1$ ,  $\|v\|_W \leq 1$ . From (3.10) and (5.27) we have

$$\begin{aligned} & \langle d\mathcal{J}(T_k u_n), v \rangle \\ &= \int_{\mathbb{R}^N} a(x, T_k u_n, \nabla T_k u_n) \cdot \nabla v dx + \int_{\mathbb{R}^N} A_t(x, T_k u_n, \nabla T_k u_n) v dx \\ & \quad + \int_{\mathbb{R}^N} |T_k u_n|^{p-2} T_k u_n v dx - \int_{\mathbb{R}^N} g(x, T_k u_n) v dx \end{aligned}$$

$$\begin{aligned}
&= \int_{\mathbb{R}^N \setminus B_{k,n}} a(x, u_n, \nabla u_n) \cdot \nabla v \, dx + \int_{B_{k,n}} a\left(x, k \frac{u_n}{|u_n|}, 0\right) \cdot \nabla v \\
&\quad + \int_{\mathbb{R}^N \setminus B_{k,n}} A_t(x, u_n, \nabla u_n) v \, dx + \int_{B_{k,n}} A_t\left(x, k \frac{u_n}{|u_n|}, 0\right) v \, dx \\
&\quad + \int_{\mathbb{R}^N \setminus B_{k,n}} |u_n|^{p-2} u_n v \, dx + \int_{B_{k,n}} k^{p-1} \frac{u_n}{|u_n|} v \, dx - \int_{\mathbb{R}^N} g(x, T_k u_n) v \, dx \\
&= \langle d\mathcal{J}(u_n), v \rangle - \int_{B_{k,n}} a(x, u_n, \nabla u_n) \cdot \nabla v \, dx - \int_{B_{k,n}} A_t(x, u_n, \nabla u_n) v \, dx \\
&\quad - \int_{B_{k,n}} |u_n|^{p-2} u_n v \, dx + \int_{B_{k,n}} (g(x, u_n) - g(x, T_k u_n)) v \, dx + \epsilon_n,
\end{aligned}$$

since (A2), (5.37), Hölder inequality and  $|\nabla v|_p \leq 1, |v|_\infty \leq 1$  imply that

$$\begin{aligned}
\left| \int_{B_{k,n}} a\left(x, k \frac{u_n}{|u_n|}, 0\right) \cdot \nabla v \, dx \right| &\leq \int_{B_{k,n}} \Phi_2\left(k \frac{u_n}{|u_n|}\right) k^{p-1} |\nabla v| \, dx \\
&\leq \left( \max_{|t| \leq k} \Phi_2(t) \right) \left( \int_{B_{k,n}} k^p \, dx \right)^{\frac{p-1}{p}} \rightarrow 0,
\end{aligned} \tag{5.44}$$

$$\begin{aligned}
\left| \int_{B_{k,n}} A_t\left(x, k \frac{u_n}{|u_n|}, 0\right) v \, dx \right| &\leq \int_{B_{k,n}} \Phi_1\left(k \frac{u_n}{|u_n|}\right) k^{p-1} \, dx \\
&\leq \left( \max_{|t| \leq k} \Phi_1(t) \right) k^{p-1} \text{meas}(B_{k,n}) \rightarrow 0,
\end{aligned} \tag{5.45}$$

$$\left| \int_{B_{k,n}} k^{p-1} \frac{u_n}{|u_n|} v \, dx \right| \leq k^{p-1} \text{meas}(B_{k,n}) \rightarrow 0, \tag{5.46}$$

where all the limits hold uniformly with respect to  $v$ .

Furthermore, from (4.3) and (5.35) we have that

$$\lim_{n \rightarrow +\infty} \int_{B_{k,n}} |A_t(x, u_n, \nabla u_n) u_n| \, dx = 0,$$

and then, since  $1 \leq k \leq |u_n|$  on  $B_{k,n}$  and  $|v|_\infty \leq 1$ , we obtain

$$\begin{aligned}
\left| \int_{B_{k,n}} A_t(x, u_n, \nabla u_n) v \, dx \right| &\leq \int_{B_{k,n}} |A_t(x, u_n, \nabla u_n)| \, dx \\
&\leq \int_{B_{k,n}} |A_t(x, u_n, \nabla u_n)| |u_n| \, dx \rightarrow 0
\end{aligned} \tag{5.47}$$

uniformly with respect to  $v$ , while from (5.38), Hölder inequality and  $|v|_p \leq 1$  we have

$$\left| \int_{B_{k,n}} |u_n|^{p-2} u_n v \, dx \right| \leq \left( \int_{B_{k,n}} |u_n|^p \, dx \right)^{\frac{p-1}{p}} \rightarrow 0.$$

Moreover, from (3.8), (5.37), (5.38), and  $|v|_p \leq 1$  it results

$$\left| \int_{B_{k,n}} g(x, u_n) v \, dx \right| \leq |\eta|_{\frac{p}{p-q}} \left( \int_{B_{k,n}} |u_n|^p \, dx \right)^{\frac{q-1}{p}} \rightarrow 0$$

uniformly with respect to  $v$ , and

$$\left| \int_{B_{k,n}} g(x, T_k u_n) v \, dx \right| \leq |\eta|_{\frac{p}{p-q}} \left( \int_{B_{k,n}} |T_k u_n|^p \, dx \right)^{\frac{q-1}{p}} \rightarrow 0$$

uniformly with respect to  $v$ . Thus, summing, from (5.5) we obtain

$$|\langle d\mathcal{J}(T_k u_n), v \rangle| \leq \varepsilon_{k,n} + \left| \int_{B_{k,n}} a(x, u_n, \nabla u_n) \cdot \nabla v \, dx \right|. \quad (5.48)$$

Now, to estimate the last integral in (5.48), following the notation introduced in the proof of Proposition 5.2, let us consider the set  $B_{k,n}^+$  and the test function

$$\varphi_{k,n}^+ = v R_k^+ u_n.$$

By definition, we have  $\|\varphi_{k,n}^+\|_X \leq 2\|u_n\|_X$ ; thus, (5.5) implies

$$\|d\mathcal{J}(u_n)\|_{X'} \|\varphi_{k,n}^+\|_X \leq \varepsilon_n.$$

From definition (5.13) and direct computations we note that

$$\begin{aligned} \langle d\mathcal{J}(u_n), \varphi_{k,n}^+ \rangle &= \int_{B_{k,n}^+} a(x, u_n, \nabla u_n) R_k^+ u_n \cdot \nabla v \, dx + \int_{B_{k,n}^+} a(x, u_n, \nabla u_n) \cdot v \nabla u_n \, dx \\ &\quad + \int_{B_{k,n}^+} A_t(x, u_n, \nabla u_n) v R_k^+ u_n \, dx + \int_{B_{k,n}^+} |u_n|^{p-2} u_n v R_k^+ u_n \, dx \\ &\quad - \int_{B_{k,n}^+} g(x, u_n) v R_k^+ u_n \, dx, \end{aligned}$$

where, since  $B_{k,n}^+ \subset B_{k,n}$ , from (5.37) we have

$$\lim_{n \rightarrow +\infty} \text{meas}(B_{k,n}^+) = 0,$$

while  $|v|_\infty \leq 1$ , (5.35), (5.47), (5.38), and (3.8) imply

$$\begin{aligned} \left| \int_{B_{k,n}^+} a(x, u_n, \nabla u_n) \cdot v \nabla u_n \, dx \right| &\leq \int_{B_{k,n}^+} a(x, u_n, \nabla u_n) \cdot \nabla u_n \, dx \rightarrow 0, \\ \left| \int_{B_{k,n}^+} A_t(x, u_n, \nabla u_n) v R_k^+ u_n \, dx \right| &\leq \int_{B_{k,n}^+} |A_t(x, u_n, \nabla u_n)| (u_n - k) \, dx \\ &\leq \int_{B_{k,n}^+} |A_t(x, u_n, \nabla u_n)| u_n \, dx \rightarrow 0, \\ \left| \int_{B_{k,n}^+} |u_n|^{p-2} u_n v R_k^+ u_n \, dx \right| &\leq \int_{B_{k,n}^+} |u_n|^p \, dx \rightarrow 0, \\ \left| \int_{B_{k,n}^+} g(x, u_n) v R_k^+ u_n \, dx \right| &\leq \int_{B_{k,n}^+} |g(x, u_n)| |u_n| \, dx \\ &\leq |\eta|_{\frac{p}{p-q}} \left( \int_{B_{k,n}^+} |u_n|^p \right)^{\frac{q-1}{p}} \rightarrow 0 \end{aligned}$$

uniformly with respect to  $v$ . From the previous estimates it follows that

$$\lim_{n \rightarrow +\infty} \int_{B_{k,n}^+} a(x, u_n, \nabla u_n) R_k^+ u_n \cdot \nabla v \, dx = 0 \quad (5.49)$$

Now, if we fix  $k > \max\{|u|_\infty, \beta_0\} + 1$ , all the previous computations hold also for  $k-1$  and then in particular, (5.34), (5.38), and (5.49) become

$$\lim_{n \rightarrow +\infty} \int_{B_{k-1,n}} |\nabla u_n|^p \, dx = 0, \quad \lim_{n \rightarrow +\infty} \int_{B_{k-1,n}} |u_n|^p \, dx = 0, \quad (5.50)$$

$$\lim_{n \rightarrow +\infty} \int_{B_{k-1,n}^+} a(x, u_n, \nabla u_n) R_{k-1}^+ u_n \cdot \nabla v \, dx = 0. \quad (5.51)$$

From (5.51) since  $B_{k,n}^+ \subset B_{k-1,n}^+$ , we have

$$\begin{aligned} \epsilon_{k,n} &= \int_{B_{k-1,n}^+} a(x, u_n, \nabla u_n) R_{k-1}^+ u_n \cdot \nabla v \, dx \\ &= \int_{B_{k,n}^+} a(x, u_n, \nabla u_n) R_{k-1}^+ u_n \cdot \nabla v \, dx \\ &\quad + \int_{B_{k-1,n}^+ \setminus B_{k,n}^+} a(x, u_n, \nabla u_n) R_{k-1}^+ u_n \cdot \nabla v \, dx \\ &= \int_{B_{k,n}^+} a(x, u_n, \nabla u_n) R_k^+ u_n \cdot \nabla v \, dx + \int_{B_{k,n}^+} a(x, u_n, \nabla u_n) \cdot \nabla v \, dx \\ &\quad + \int_{B_{k-1,n}^+ \setminus B_{k,n}^+} a(x, u_n, \nabla u_n) R_{k-1}^+ u_n \cdot \nabla v \, dx \end{aligned}$$

where (A2), (5.13), the properties of  $B_{k-1,n}^+ \setminus B_{k,n}^+$ , Hölder inequality,  $|\nabla v|_p \leq 1$ , and (5.50) imply

$$\begin{aligned} & \left| \int_{B_{k-1,n}^+ \setminus B_{k,n}^+} a(x, u_n, \nabla u_n) R_{k-1}^+ u_n \cdot \nabla v \, dx \right| \\ & \leq k \int_{B_{k-1,n}^+ \setminus B_{k,n}^+} |a(x, u_n, \nabla u_n)| |\nabla v| \, dx \\ & \leq k \max_{|t| \leq k} \Phi_2(t) \int_{B_{k-1,n}^+ \setminus B_{k,n}^+} |u_n|^{p-1} |\nabla v| \, dx \\ & \quad + k \max_{|t| \leq k} \phi_2(t) \int_{B_{k-1,n}^+ \setminus B_{k,n}^+} |\nabla u_n|^{p-1} |\nabla v| \, dx \\ & \leq k \max_{|t| \leq k} \Phi_2(t) \left( \int_{B_{k-1,n}^+ \setminus B_{k,n}^+} |u_n|^p \, dx \right)^{\frac{p-1}{p}} \\ & \quad + k \max_{|t| \leq k} \phi_2(t) \left( \int_{B_{k-1,n}^+ \setminus B_{k,n}^+} |\nabla u_n|^p \, dx \right)^{\frac{p-1}{p}} \rightarrow 0. \end{aligned}$$

The above arguments imply

$$\left| \int_{B_{k,n}^+} a(x, u_n, \nabla u_n) \cdot \nabla v \, dx \right| \leq \varepsilon_{k,n}. \quad (5.52)$$

Similar arguments apply also if we consider  $B_{k,n}^-$  and the test functions

$$\varphi_{k,n}^- = v R_k^- u_n, \quad \varphi_{k-1,n}^- = v R_{k-1}^- u_n;$$

hence, we have

$$\left| \int_{B_{k,n}^-} a(x, u_n, \nabla u_n) \cdot \nabla v \, dx \right| \leq \varepsilon_{k,n}. \quad (5.53)$$

Thus, (5.23) follows from (5.48), (5.52) and (5.53) as all  $\varepsilon_{k,n}$  are independent of  $v$ .

**Step 2.** We note that (5.2)–(5.4) imply that, if  $n \rightarrow +\infty$ ,

$$\begin{aligned} T_k u_n &\rightharpoonup u \quad \text{weakly in } W_r^{1,p}(\mathbb{R}^N), \\ T_k u_n &\rightarrow u \quad \text{strongly in } L^l(\mathbb{R}^N) \text{ for each } l \in ]p, p^*[ , \\ T_k u_n &\rightarrow u \quad \text{a.e. in } \mathbb{R}^N. \end{aligned}$$

Now, arguing as in [1], let us consider the real map

$$\psi : t \in \mathbb{R} \mapsto \psi(t) = te^{\bar{\eta}t^2} \in \mathbb{R},$$

where  $\bar{\eta} > (\frac{\beta}{2\alpha})^2$  will be fixed once  $\alpha, \beta > 0$  are chosen in a suitable way later. By definition,

$$\alpha\psi'(t) - \beta|\psi(t)| > \frac{\alpha}{2} \quad \text{for all } t \in \mathbb{R}. \tag{5.54}$$

If we define  $v_{k,n} = T_k u_n - u$ , since  $k > |u|_\infty$ , we have that  $|v_{k,n}|_\infty \leq 2k$  for all  $n \in \mathbb{N}$ . Therefore,

$$|\psi(v_{k,n})| \leq \psi(2k), \quad 0 < \psi'(v_{k,n}) \leq \psi'(2k) \quad \text{a.e. in } \mathbb{R}^N \text{ for all } n \in \mathbb{N}, \tag{5.55}$$

$$\psi(v_{k,n}) \rightarrow 0, \quad \psi'(v_{k,n}) \rightarrow 1 \quad \text{a.e. in } \mathbb{R}^N \text{ as } n \rightarrow +\infty. \tag{5.56}$$

Furthermore, we note that

$$|\psi(v_{k,n})| \leq |v_{k,n}|e^{4k^2\bar{\eta}} \quad \text{a.e. in } \mathbb{R}^N \text{ for all } n \in \mathbb{N},$$

thus, direct computations imply that  $(\|\psi(v_{k,n})\|_X)_n$  is bounded, and so from (5.56), up to subsequences, we have

$$\psi(v_{k,n}) \rightharpoonup 0 \quad \text{weakly in } W_r^{1,p}(\mathbb{R}^N), \tag{5.57}$$

while from (5.23) it follows that

$$\langle d\mathcal{J}(T_k u_n), \psi(v_{k,n}) \rangle \rightarrow 0 \quad \text{as } n \rightarrow +\infty,$$

where

$$\begin{aligned} &\langle d\mathcal{J}(T_k u_n), \psi(v_{k,n}) \rangle \\ &= \int_{\mathbb{R}^N \setminus B_{k,n}} a(x, u_n, \nabla u_n) \cdot \nabla \psi(v_{k,n}) \, dx + \int_{B_{k,n}} a\left(x, k \frac{u_n}{|u_n|}, 0\right) \cdot \nabla \psi(v_{k,n}) \, dx \\ &\quad + \int_{\mathbb{R}^N \setminus B_{k,n}} A_t(x, u_n, \nabla u_n) \psi(v_{k,n}) \, dx + \int_{B_{k,n}} A_t\left(x, k \frac{u_n}{|u_n|}, 0\right) \psi(v_{k,n}) \, dx \\ &\quad + \int_{\mathbb{R}^N \setminus B_{k,n}} |u_n|^{p-2} u_n \psi(v_{k,n}) \, dx + \int_{B_{k,n}} k^{p-1} \frac{u_n}{|u_n|} \psi(v_{k,n}) \, dx \\ &\quad - \int_{\mathbb{R}^N} g(x, T_k u_n) \psi(v_{k,n}) \, dx. \end{aligned}$$

Since  $(\|\psi(v_{k,n})\|_X)_n$  is bounded, arguing as in (5.44)–(5.46) it follows that

$$\begin{aligned} \lim_{n \rightarrow +\infty} \int_{B_{k,n}} a\left(x, k \frac{u_n}{|u_n|}, 0\right) \cdot \nabla \psi(v_{k,n}) \, dx &= 0, \\ \lim_{n \rightarrow +\infty} \int_{B_{k,n}} A_t\left(x, k \frac{u_n}{|u_n|}, 0\right) \psi(v_{k,n}) \, dx &= 0, \\ \lim_{n \rightarrow +\infty} \int_{B_{k,n}} k^{p-1} \frac{u_n}{|u_n|} \psi(v_{k,n}) \, dx &= 0. \end{aligned}$$

Furthermore, from Lemma 4.7 with  $w_n = T_k u_n$  and  $v_n = \psi(v_{k,n})$ , we have

$$\lim_{n \rightarrow +\infty} \int_{\mathbb{R}^N} g(x, T_k u_n) \psi(v_{k,n}) \, dx = 0.$$

Hence, summing, the previous relations imply

$$\begin{aligned} \varepsilon_{k,n} &= \int_{\mathbb{R}^N \setminus B_{k,n}} a(x, u_n, \nabla u_n) \psi'(v_{k,n}) \cdot \nabla v_{k,n} \, dx \\ &\quad + \int_{\mathbb{R}^N \setminus B_{k,n}} A_t(x, u_n, \nabla u_n) \psi(v_{k,n}) \, dx \\ &\quad + \int_{\mathbb{R}^N \setminus B_{k,n}} |u_n|^{p-2} u_n \psi(v_{k,n}) \, dx. \end{aligned} \tag{5.58}$$

We note that from (A2),

$$\begin{aligned} &\left| \int_{\mathbb{R}^N \setminus B_{k,n}} A_t(x, u_n, \nabla u_n) \psi(v_{k,n}) \, dx \right| \\ &\leq \int_{\mathbb{R}^N \setminus B_{k,n}} \left( \Phi_1(u_n) |u_n|^{p-1} + \max_{|t| \leq k} \phi_1(t) |\nabla u_n|^p \right) |\psi(v_{k,n})| \, dx. \end{aligned} \tag{5.59}$$

We prove that

$$\lim_{n \rightarrow +\infty} \int_{\mathbb{R}^N \setminus B_{k,n}} \Phi_1(u_n) |u_n|^{p-1} |\psi(v_{k,n})| \, dx = 0. \tag{5.60}$$

In fact, since the sequence  $(u_n)_n$  is bounded in  $W_r^{1,p}(\mathbb{R}^N)$ , there exists a constant  $\tilde{M} > 0$  such that

$$\|u_n\|_p \leq \tilde{M}, \quad \|u_n - u\|_p \leq \tilde{M} \quad \text{for all } n \in \mathbb{N}.$$

Moreover, from assumption (A11),

$$\lim_{t \rightarrow 0} \frac{\Phi_1(t)}{|t|^{\eta_1}} = l_1 \quad \text{with } l_1 \geq 0,$$

hence, there exists  $\delta_1 > 0$  such that

$$\Phi_1(t) < (l_1 + 1) |t|^{\eta_1} \quad \text{for all } t \in \mathbb{R}, |t| < \delta_1. \tag{5.61}$$

Now, fixing  $\epsilon > 0$ , as from (4.1) it follows that  $(\eta_1 + p) \frac{N-1}{p} > N$ , then there exists  $R_\epsilon$  such that

$$\frac{C \tilde{M}}{R_\epsilon^{\frac{N-1}{p}}} < \delta_1, \tag{5.62}$$

$$(l_1 + 1) (C \tilde{M})^{p+\eta_1} e^{\frac{\tilde{\eta} - \frac{C^2 \tilde{M}^2}{2 \frac{N-1}{p}}}{R_\epsilon^2}} \int_{B_{R_\epsilon}^c} \frac{1}{|x|^{(\eta_1+p) \frac{N-1}{p}}} \, dx < \epsilon \tag{5.63}$$

where  $C$  is the constant introduced in (4.9). From (4.9) and (5.62), it follows that

$$|u_n(x)| \leq C \frac{\tilde{M}}{|x|^{\frac{N-1}{p}}} \leq C \frac{\tilde{M}}{R_\epsilon^{\frac{N-1}{p}}} < \delta_1 \quad \text{a.e. } x \in \mathbb{R}^N \text{ with } |x| > R_\epsilon;$$

hence, (5.61), (4.9), and (5.63) imply

$$\int_{(\mathbb{R}^N \setminus B_{k,n}) \cap B_{R_\epsilon}^c} \Phi_1(u_n) |u_n|^{p-1} |\psi(v_{k,n})| \, dx$$

$$\begin{aligned} &\leq \int_{(\mathbb{R}^N \setminus B_{k,n}) \cap B_{R_\epsilon}^c} (l_1 + 1) |u_n|^{\eta_1 + p - 1} |u_n - u| e^{\bar{\eta} \|u_n - u\|_W^2} dx \\ &\leq (l_1 + 1) (C\tilde{M})^{\eta_1 + p} e^{\frac{\bar{\eta} - C^2 \tilde{M}^2}{R_\epsilon^2 \frac{N-1}{p}}} \int_{B_{R_\epsilon}^c} \frac{1}{|x|^{(\eta_1 + p) \frac{N-1}{p}}} dx < \epsilon \end{aligned}$$

while from Hölder’s inequality

$$\begin{aligned} &\int_{(\mathbb{R}^N \setminus B_{k,n}) \cap B_{R_\epsilon}} \Phi_1(u_n) |u_n|^{p-1} |\psi(v_{k,n})| dx \\ &\leq \left( \max_{|t| \leq k} \Phi_1(t) \right) |u_n|_p^{p-1} \left( \int_{B_{R_\epsilon}} |\psi(v_{k,n})|^p dx \right)^{1/p} \rightarrow 0 \end{aligned}$$

since (5.57) implies that  $\psi(v_{k,n}) \rightarrow 0$  in  $L^p_{loc}(\mathbb{R}^N)$ . Then, (5.60) holds and from (A5) and (A6) it follows that

$$\begin{aligned} &\int_{\mathbb{R}^N \setminus B_{k,n}} |\nabla u_n|^p |\psi(v_{k,n})| dx \\ &\leq \frac{\eta_0}{\alpha_0} \int_{\mathbb{R}^N \setminus B_{k,n}} a(x, u_n, \nabla u_n) \cdot \nabla u_n |\psi(v_{k,n})| dx \\ &= \frac{\eta_0}{\alpha_0} \int_{\mathbb{R}^N \setminus B_{k,n}} a(x, u_n, \nabla u_n) \cdot \nabla v_{k,n} |\psi(v_{k,n})| dx \\ &\quad + \frac{\eta_0}{\alpha_0} \int_{\mathbb{R}^N \setminus B_{k,n}} a(x, u_n, \nabla u_n) \cdot \nabla u |\psi(v_{k,n})| dx, \end{aligned} \tag{5.64}$$

where the boundedness of  $(u_n)_n$  in  $W_r^{1,p}(\mathbb{R}^N)$ , (A2), Hölder’s inequality, (5.56) and the Lebesgue Dominated Convergence Theorem imply that

$$\begin{aligned} &\left| \int_{\mathbb{R}^N \setminus B_{k,n}} a(x, u_n, \nabla u_n) \cdot \nabla u |\psi(v_{k,n})| dx \right| \\ &\leq \int_{\mathbb{R}^N \setminus B_{k,n}} \Phi_2(u_n) |u_n|^{p-1} |\nabla u| |\psi(v_{k,n})| dx \\ &\quad + \int_{\mathbb{R}^N \setminus B_{k,n}} \phi_2(u_n) |\nabla u_n|^{p-1} |\nabla u| |\psi(v_{k,n})| dx \\ &\leq \left( \max_{|t| \leq k} \Phi_2(t) \right) |u_n|_p^{p-1} \left( \int_{\mathbb{R}^N \setminus B_{k,n}} |\nabla u|^p |\psi(v_{k,n})|^p dx \right)^{1/p} \\ &\quad + \left( \max_{|t| \leq k} \phi_2(t) \right) |\nabla u_n|_p^{p-1} \left( \int_{\mathbb{R}^N \setminus B_{k,n}} |\nabla u|^p |\psi(v_{k,n})|^p dx \right)^{1/p} \rightarrow 0. \end{aligned} \tag{5.65}$$

From (5.58)–(5.60), (5.64), (5.65), (A5) and (A6) we obtain

$$\begin{aligned} \epsilon_{k,n} &\geq \int_{\mathbb{R}^N \setminus B_{k,n}} a(x, u_n, \nabla u_n) \psi'(v_{k,n}) \cdot \nabla v_{k,n} dx \\ &\quad - \frac{\eta_0}{\alpha_0} \max_{|t| \leq k} \phi_1(t) \int_{\mathbb{R}^N \setminus B_{k,n}} a(x, u_n, \nabla u_n) \cdot \nabla v_{k,n} |\psi(v_{k,n})| dx \\ &\quad + \int_{\mathbb{R}^N \setminus B_{k,n}} |u_n|^{p-2} u_n \psi(v_{k,n}) dx. \end{aligned}$$



Thus, setting

$$h_{k,n}(x) = \psi'(v_{k,n}) - \frac{\eta_0}{\alpha_0} \max_{|t| \leq k} \phi_1(t) |\psi(v_{k,n})|,$$

and choosing, in the definition of  $\psi$ , constants  $\alpha = 1$  and  $\beta = \frac{\eta_0}{\alpha_0} \max_{|t| \leq k} \phi_1(t)$ , from (5.54) it results

$$h_{k,n}(x) > \frac{1}{2} \quad \text{a.e. in } \mathbb{R}^N. \quad (5.66)$$

Therefore,

$$\begin{aligned} \varepsilon_{k,n} &\geq \int_{\mathbb{R}^N \setminus B_{k,n}} h_{k,n} a(x, u_n, \nabla u_n) \cdot \nabla v_{k,n} \, dx \\ &\quad + \int_{\mathbb{R}^N \setminus B_{k,n}} |u_n|^{p-2} u_n \psi(v_{k,n}) \, dx \\ &= \int_{\mathbb{R}^N \setminus B_{k,n}} a(x, u, \nabla u) \cdot \nabla v_{k,n} \, dx \\ &\quad + \int_{\mathbb{R}^N \setminus B_{k,n}} h_{k,n} (a(x, u_n, \nabla u_n) - a(x, u, \nabla u)) \cdot \nabla v_{k,n} \, dx \\ &\quad + \int_{\mathbb{R}^N \setminus B_{k,n}} (h_{k,n} a(x, u_n, \nabla u) - a(x, u, \nabla u)) \cdot \nabla v_{k,n} \, dx \\ &\quad + \int_{\mathbb{R}^N \setminus B_{k,n}} (|u_n|^{p-2} u_n - |u|^{p-2} u) \psi(v_{k,n}) \, dx \\ &\quad + \int_{\mathbb{R}^N \setminus B_{k,n}} |u|^{p-2} u \psi(v_{k,n}) \, dx, \end{aligned} \quad (5.67)$$

where (5.2), respectively (5.57) imply that

$$\lim_{n \rightarrow +\infty} \int_{\mathbb{R}^N \setminus B_{k,n}} a(x, u, \nabla u) \cdot \nabla v_{k,n} \, dx = 0, \quad \lim_{n \rightarrow +\infty} \int_{\mathbb{R}^N \setminus B_{k,n}} |u|^{p-2} u \psi(v_{k,n}) \, dx = 0.$$

Now, we want to prove that

$$\lim_{n \rightarrow +\infty} \int_{\mathbb{R}^N \setminus B_{k,n}} (h_{k,n} a(x, u_n, \nabla u) - a(x, u, \nabla u)) \cdot \nabla v_{k,n} \, dx = 0. \quad (5.68)$$

Indeed, recalling that  $(\nabla v_{k,n})_n$  is bounded in  $L^p(\mathbb{R}^N)$ , arguing as in the proof of (4.26), from (A11) for all  $\epsilon > 0$  there exists  $R_\epsilon > 0$  such that

$$\int_{(\mathbb{R}^N \setminus B_{k,n}) \cap B_{R_\epsilon}^c} |h_{k,n} a(x, u_n, \nabla u) - a(x, u, \nabla u)|^{\frac{p}{p-1}} \, dx < \epsilon \quad (5.69)$$

where  $(\mathbb{R}^N \setminus B_{k,n}) \cap B_{R_\epsilon}^c = B_{R_\epsilon}^c(0)$ . On the other hand, we note that (A1), (5.4) and (5.56) infer that

$$h_{k,n} a(x, u_n, \nabla u) - a(x, u, \nabla u) \rightarrow 0 \quad \text{a.e. in } \mathbb{R}^N,$$

while from Hölder's inequality it follows that

$$\begin{aligned} & \left| \int_{\mathbb{R}^N \setminus B_{k,n}} (h_{k,n} a(x, u_n, \nabla u) - a(x, u, \nabla u)) \cdot \nabla v_{k,n} \, dx \right| \\ & \leq \left( \int_{\mathbb{R}^N \setminus B_{k,n}} |h_{k,n} a(x, u_n, \nabla u) - a(x, u, \nabla u)|^{\frac{p}{p-1}} \, dx \right)^{\frac{p-1}{p}} |\nabla v_{k,n}|_p. \end{aligned} \quad (5.70)$$

From (5.55) and (A2) we have that for each  $x \in (\mathbb{R}^N \setminus B_{k,n})$ ,

$$\begin{aligned} & |h_{k,n}a(x, u_n, \nabla u) - a(x, u, \nabla u)|^{\frac{p}{p-1}} \\ & \leq \left( \psi'(2k) \left( \Phi_2(u_n) |u_n|^{p-1} + \left( \max_{|t| \leq k} \phi_2(t) \right) |\nabla u|^{p-1} \right) + |a(x, u, \nabla u)| \right)^{\frac{p}{p-1}} \\ & \leq c(1 + |\nabla u|^p), \end{aligned} \quad (5.71)$$

hence, the Lebesgue Dominated Convergence Theorem implies that

$$\lim_{n \rightarrow +\infty} \int_{(\mathbb{R}^N \setminus B_{k,n}) \cap B_{R_\epsilon}(0)} |h_{k,n}a(x, u_n, \nabla u) - a(x, u, \nabla u)|^{\frac{p}{p-1}} dx = 0. \quad (5.72)$$

Thus, from (5.66) and (5.67), by using the previous estimate, the strong convexity of the power function with exponent  $p > 1$ , (A9) and  $e^{\bar{\eta}v_{k,n}^2} \geq 1$  we obtain

$$\begin{aligned} \varepsilon_{k,n} & \geq \frac{1}{2} \int_{\mathbb{R}^N \setminus B_{k,n}} \left( a(x, u_n, \nabla u_n) - a(x, u_n, \nabla u) \right) \cdot \nabla(u_n - u) dx \\ & \quad + \int_{\mathbb{R}^N \setminus B_{k,n}} (|u_n|^{p-2}u_n - |u|^{p-2}u)(u_n - u) dx. \end{aligned}$$

Using again (A9) and the strong convexity of the power function with exponent  $p > 1$  we have

$$\lim_{n \rightarrow +\infty} \int_{\mathbb{R}^N \setminus B_{k,n}} \left( a(x, u_n, \nabla u_n) - a(x, u_n, \nabla u) \right) \cdot \nabla(u_n - u) dx = 0, \quad (5.73)$$

$$\lim_{n \rightarrow +\infty} \int_{\mathbb{R}^N \setminus B_{k,n}} (|u_n|^{p-2}u_n - |u|^{p-2}u)(u_n - u) dx = 0 \quad (5.74)$$

Next we prove that

$$\lim_{n \rightarrow +\infty} \int_{\mathbb{R}^N \setminus B_{k,n}} |u_n - u|^p dx = 0. \quad (5.75)$$

In fact, if  $p \geq 2$ ,

$$|u_n - u|^p \leq (|u_n|^{p-2}u_n - |u|^{p-2}u)(u_n - u) \quad \text{a.e. } x \in \mathbb{R}^N, \text{ for all } n \in \mathbb{N}; \quad (5.76)$$

thus, (5.76) implies (5.75). On the other hand, if  $p \in (1, 2)$ , it is  $\frac{p}{p-1} > p$ ; thus, as  $(T_k u_n)_n$  is bounded in  $W^{1,p}(\mathbb{R}^N)$  and  $|T_k u_n| \leq k$  a.e.  $x \in \mathbb{R}^N \setminus B_{k,n}$ , for all  $n \in \mathbb{N}$ , it follows that  $(T_k u_n)_n$  is bounded in  $L^\ell(\mathbb{R}^N)$  for any  $\ell \geq p$ , and in particular is bounded in  $L^{\frac{p}{p-1}}(\mathbb{R}^N)$ . Passing to a subsequence,  $T_k u_n \rightharpoonup u$  in  $L^{\frac{p}{p-1}}(\mathbb{R}^N)$ , hence

$$\lim_{n \rightarrow +\infty} \int_{\mathbb{R}^N} |T_k u_n|^{p-2} T_k u_n u dx = \int_{\mathbb{R}^N} |u|^p dx,$$

which implies, together (5.37), that

$$\lim_{n \rightarrow +\infty} \int_{\mathbb{R}^N \setminus B_{k,n}} |u_n|^{p-2} u_n u dx = \int_{\mathbb{R}^N} |u|^p dx. \quad (5.77)$$

Moreover, since  $(T_k u_n)_n$  is bounded in  $L^p(\mathbb{R}^N)$  and  $u \in L^{\frac{p}{p-1}}(\mathbb{R}^N)$ , up to subsequences, we have that

$$\lim_{n \rightarrow +\infty} \int_{\mathbb{R}^N} |u|^{p-2} u T_k u_n dx = \int_{\mathbb{R}^N} |u|^p dx,$$

i.e., using again (5.37),

$$\lim_{n \rightarrow +\infty} \int_{\mathbb{R}^N \setminus B_{k,n}} |u|^{p-2} u u_n \, dx = \int_{\mathbb{R}^N} |u|^p \, dx. \tag{5.78}$$

Hence, from (5.74), (5.77), (5.78), (5.37), and (5.38) we obtain

$$\begin{aligned} 0 &= \lim_{n \rightarrow +\infty} \int_{\mathbb{R}^N \setminus B_{k,n}} (|u_n|^p + |u|^p - |u_n|^{p-2} u_n u - |u|^{p-2} u u_n) \, dx \\ &= \lim_{n \rightarrow +\infty} \int_{\mathbb{R}^N \setminus B_{k,n}} |u_n|^p \, dx + \int_{\mathbb{R}^N} |u|^p \, dx \\ &\quad - \lim_{n \rightarrow +\infty} \int_{\mathbb{R}^N \setminus B_{k,n}} |u_n|^{p-2} u_n u \, dx - \lim_{n \rightarrow +\infty} \int_{\mathbb{R}^N \setminus B_{k,n}} |u|^{p-2} u u_n \, dx \\ &= \lim_{n \rightarrow +\infty} \int_{\mathbb{R}^N} |u_n|^p \, dx - \int_{\mathbb{R}^N} |u|^p \, dx, \end{aligned}$$

i.e.,

$$\lim_{n \rightarrow +\infty} \int_{\mathbb{R}^N} |u_n|^p \, dx = \int_{\mathbb{R}^N} |u|^p \, dx.$$

Thus, by applying Brezis-Lieb’s Lemma (see [9]), condition (5.75) follows, also in the case  $1 < p < 2$ .

In each case,  $T_k u_n \rightarrow u$  in  $L^p(\mathbb{R}^N)$ . Finally, as  $T_k u_n \rightarrow u$  a.e. in  $\mathbb{R}^N$  and  $|T_k u_n|_\infty \leq k$  for all  $n \in \mathbb{N}$ , from (5.73), we can apply Lemma 4.11 to the sequence  $(T_k u_n)_n$  obtaining that  $\nabla T_k u_n \rightarrow \nabla u$  in  $L^p(\mathbb{R}^N)$ . Thus, (5.24) follows.

**Step 3.** The proof follows from (5.24), Proposition 3.7, (5.22) and (5.23).  $\square$

*Proof of Theorem 4.5.* The functional  $\mathcal{J}$  is bounded from below in  $X$  (see Proposition 4.6) and satisfies condition (*wCPS*) in  $\mathbb{R}$  (see Proposition 5.3), thus, from Proposition 2.2,  $\mathcal{J}$  admits a minimum point  $u^*$  in  $X$ . Clearly, it is

$$\mathcal{J}(u^*) = \min_{u \in X} \mathcal{J}(u) \leq \mathcal{J}(0) = 0.$$

Now, we prove that  $u^*$  is not trivial since  $\mathcal{J}(u^*) < 0$ . To this aim, we consider  $\varphi_1 \in W_0^{1,p}(B_1(0))$  the unique eigenfunction associated to the first eigenvalue  $\lambda_1$  of  $-\Delta_p$  in  $B_1(0)$  (see [25]). It results

$$\begin{aligned} \varphi_1 &> 0 \text{ a.e. in } B_1(0), \quad \varphi_1 \in L^\infty(B_1(0)), \\ \int_{B_1(0)} |\varphi_1|^p \, dx &= 1, \quad \int_{B_1(0)} |\nabla \varphi_1|^p \, dx = \lambda_1. \end{aligned}$$

We denote again by  $\varphi_1$  its null extension to  $\mathbb{R}^N \setminus B_1(0)$ .

Let us remark that  $\varphi_1$  is radial since by the Pólya-Szegö inequality we have

$$\lambda_1 = |\nabla \varphi_1|_p^p \geq |\nabla \varphi_1^*|_p^p,$$

where  $\varphi_1^*$  is the Schwartz rearrangement of  $\varphi_1$ .

Taking  $\tau \in (0, 1)$ , from (A2) we have

$$\begin{aligned} \mathcal{J}(\tau \varphi_1) &= \int_{\mathbb{R}^N} A(x, \tau \varphi_1, \nabla(\tau \varphi_1)) \, dx + \frac{1}{p} \int_{\mathbb{R}^N} |\tau \varphi_1|^p \, dx - \int_{\mathbb{R}^N} G(x, \tau \varphi_1) \, dx \\ &\leq \int_{B_1(0)} (\Phi_0(\tau \varphi_1(x)) |\tau \varphi_1(x)|^p + \phi_0(\tau \varphi_1(x)) |\nabla(\tau \varphi_1(x))|^p) \, dx \end{aligned}$$

$$\begin{aligned}
& + \frac{\tau^p}{p} \int_{B_1(0)} |\varphi_1|^p dx - \int_{\Omega} G(x, \tau\varphi_1) dx \\
& \leq c_1 \tau^p - \int_{B_1(0)} G(x, \tau\varphi_1) dx,
\end{aligned}$$

where  $c_1 = \max_{0 \leq t \leq |\varphi_1|_{\infty}} \Phi_0(t) + \lambda_1 \max_{0 \leq t \leq |\varphi_1|_{\infty}} \phi_0(t) + \frac{1}{p}$ .

Now, from (A14) there exists a constant  $\delta > 0$  such that for each  $s \in [0, \delta]$  and for a.e.  $x \in B_1(0)$  it is  $G(x, s) > 2c_1 s^p$ . Then, for any  $\tau > 0$  small sufficient, in particular  $0 < \tau < \frac{\delta}{|\varphi_1|_{\infty}}$ , it results

$$\mathcal{J}(\tau\varphi_1) \leq c_1 \tau^p - 2c_1 \tau^p < 0.$$

Finally, let us prove that  $\mathcal{J}$  has at least two solutions, one negative and one positive. For this, let us denote by  $u_+ = \max\{0, u\}$  and  $u_- = \max\{0, -u\}$ , the positive and the negative part of  $u$ , respectively, so that  $u = u_+ - u_-$ .

If we replace  $g(x, u)$  by  $g_+(x, u) := g(x, u_+)$ , all the previous statements still hold true for the functional  $\mathcal{J}_+$  obtained by replacing  $G$  with  $G_+$ , defined as  $G_+(x, t) = \int_0^t g_+(x, s) ds$ . In particular,  $\mathcal{J}_+$  has a nontrivial critical point  $u$ . Hence, from (A5) and (A6) we find that

$$\begin{aligned}
0 & = \langle d\mathcal{J}_+(u), -u_- \rangle = \int_{\mathbb{R}^N} a(x, -u_-, \nabla(-u_-)) \nabla(-u_-) dx \\
& \quad + \int_{\mathbb{R}^N} A_t(x, -u_-, \nabla(-u_-)) (-u_-) dx + \int_{\mathbb{R}^N} |u_-|^p dx - \int_{\mathbb{R}^N} g_+(x, u) u_- dx \\
& \geq \frac{\alpha_0 \alpha_1}{\eta_0} \int_{\mathbb{R}^N} |\nabla u_-|^p dx + \int_{\mathbb{R}^N} |u_-|^p dx \\
& \geq \frac{\alpha_0 \alpha_1}{\eta_0} \|u_-\|_W.
\end{aligned}$$

Hence,  $u_- = 0$  a.e. in  $\mathbb{R}^N$ , and  $u$  is a positive critical point of  $\mathcal{J}$ .

Similarly, replacing  $g(x, u)$  with  $g(x, -u_-)$ , we find a negative solution of (1.1).  $\square$

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