

## ASYMPTOTIC PROFILE OF LEAST ENERGY SOLUTIONS TO THE NONLINEAR SCHRÖDINGER-BOPP-PODOLSKY SYSTEM

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ABSTRACT. We consider the nonlinear Schrödinger-Bopp-Podolsky system in  $\mathbb{R}^3$ :

$$\begin{aligned} -\Delta v + v + \phi v &= v|v|^{p-2}, \\ \beta^2 \Delta^2 \phi - \Delta \phi &= 4\pi v^2, \end{aligned}$$

where  $\beta > 0$  and  $3 < p < 6$ ; the unknowns being  $v$  and  $\phi: \mathbb{R}^3 \rightarrow \mathbb{R}$ . We prove that, as  $\beta \rightarrow 0$  and up to translations and subsequences, the least energy solutions of the above converge to a least energy solution to the nonlinear Schrödinger-Poisson system in  $\mathbb{R}^3$ :

$$\begin{aligned} -\Delta v + v + \phi v &= v|v|^{p-2}, \\ -\Delta \phi &= 4\pi v^2. \end{aligned}$$

### 1. INTRODUCTION

We are interested in the asymptotic profile of solutions to the nonlinear Schrödinger-Bopp-Podolsky (SBP) system in  $\mathbb{R}^3$  as  $\beta \rightarrow 0^+$ :

$$\begin{aligned} -\Delta v + v + \phi v &= v|v|^{p-2}, \\ \beta^2 \Delta^2 \phi - \Delta \phi &= 4\pi v^2, \end{aligned} \tag{1.1}$$

where  $3 < p < 6$  and we want to solve for  $v, \phi: \mathbb{R}^3 \rightarrow \mathbb{R}$ .

The nonlinear SBP system was introduced in the mathematical literature a few years ago by d’Avenia & Siciliano in [5], where they established existence/non-existence results of solutions to the following system in  $\mathbb{R}^3$  in function of the parameters  $p$  and  $q \in \mathbb{R}$ :

$$\begin{aligned} -\Delta v + \omega v + q^2 \phi v &= v|v|^{p-2}, \\ \beta^2 \Delta^2 \phi - \Delta \phi &= 4\pi v^2, \end{aligned} \tag{1.2}$$

where  $\beta, \omega > 0$ . As for the physical meaning of this system. If  $v, \phi: \mathbb{R}^3 \rightarrow \mathbb{R}$  solve (1.2), then  $v$  describes the spatial profile of a standing wave

$$\psi(x, t) := e^{i\omega t} v(x)$$

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that solves the system obtained by the minimal coupling of the Nonlinear Schrödinger equation with the Bopp-Podolsky electromagnetic theory and  $\phi$  denotes the ensuing electric potential (for more details, see [5, Section 2]). Since then, there has been an increasing number of studies about systems related to (1.2). For instance, [3, 2, 10, 11, 15, 21, 25] addressed the existence of least energy solutions; [7, 12, 13] considered the mass-constrained problem; [8, 9, 17, 23, 22] obtained sign-changing solutions; and [4, 6] considered semiclassical states.

As for the asymptotic behavior as  $\beta \rightarrow 0$ , it is already known that solutions to a number of problems related to (1.1) converge to solutions of the respective system obtained by formally considering  $\beta = 0$ . For instance, [5, Theorem 1.3] proved such a result for radial solutions; [7, Theorem D] extended this conclusion for least energy solutions to the mass-constrained system for  $2 < p < 14/5$  and a sufficiently small mass  $\rho$  (notice that these solutions are also radial due to [7, Theorem C]); [20, Theorem 1.3] showed that solutions to the associated eigenvalue problem in a bounded smooth domain also have such an asymptotic profile and, more recently, [4, Theorem 1.7] verified such a behavior for the critical nonlinear SBP system in the semiclassical regime under the effect of an external effective potential  $V: \mathbb{R}^3 \rightarrow [0, \infty[$  which vanishes at a point  $x_0 \in \mathbb{R}^3$ .

Before explaining our contribution, let us introduce the necessary variational framework. The function  $\mathcal{K}_\beta: \mathbb{R}^3 \setminus \{0\} \rightarrow ]0, 1/\beta[$  defined as

$$\mathcal{K}_\beta(x) := \frac{1}{|x|} (1 - e^{-|x|/\beta})$$

is a fundamental solution to  $(4\pi)^{-1}(\beta^2 \Delta^2 - \Delta)$ , so  $u^2 * \mathcal{K}_\beta$  solves

$$\beta^2 \Delta^2 \phi - \Delta \phi = 4\pi u^2$$

in the sense of distributions. As such, we are lead to consider the *nonlinear SBP equation* in  $\mathbb{R}^3$ :

$$-\Delta v + v + (v^2 * \mathcal{K}_\beta)v = v|v|^{p-2}. \quad (1.3)$$

We say that  $v$  is a *least energy solution* to (1.3) when it solves the minimization problem

$$\mathcal{I}_\beta(u) = \inf\{\mathcal{I}_\beta(v) : v \in H^1 \setminus \{0\} \text{ and } \mathcal{I}'_\beta(v) = 0\}; \quad u \in H^1,$$

where the *energy functional*  $\mathcal{I}_\beta: H^1 \rightarrow \mathbb{R}$  is defined as

$$\mathcal{I}_\beta(v) = \frac{1}{2} \|v\|_{H^1}^2 + \frac{1}{4} \int (v^2 * \mathcal{K}_\beta)(x) v(x)^2 dx - \frac{1}{p} \|v\|_{L^p}^p.$$

For a proof that  $\mathcal{I}_\beta$  is a well-defined functional of class  $C^1$  and a rigorous discussion about the relationship between (1.1) and (1.3), we refer the reader to [5, Section 3.2].

Given  $x \in \mathbb{R}^3 \setminus \{0\}$ ,  $\mathcal{K}_\beta(x) \rightarrow 1/|x|$  as  $\beta \rightarrow 0$ , so the formal limit equation obtained from (1.3) is the *nonlinear Schrödinger-Poisson equation*

$$-\Delta v + v + (v^2 * |\cdot|^{-1})v = v|v|^{p-2}. \quad (1.4)$$

We similarly introduce a notion of least energy solution to (1.4) by considering the energy functional  $\mathcal{I}_0: H^1 \rightarrow \mathbb{R}$  given by

$$\mathcal{I}_0(v) := \frac{1}{2} \|v\|_{H^1}^2 + \frac{1}{4} \iint \frac{v(x)^2 v(y)^2}{|x-y|} dx dy - \frac{1}{p} \|v\|_{L^p}^p.$$

In [2, Theorem 1.3], Chen, Li, Rădulescu & Tang proved that (1.3) admits least energy solutions, while it follows from Azzollini & Pomponio's [1] that (1.4) also admits least energy solutions. In this context, our main result is that, up to translations and subsequences, least energy solutions to (1.3) converge to a least energy solution to (1.4) as  $\beta \rightarrow 0$  when  $3 < p < 6$ .

**Theorem 1.1.** *Suppose that  $3 < p < 6$  and given  $\beta > 0$ ,  $v_\beta$  denotes a least energy solution to (1.3). Then given a sequence  $\{\beta_n\}_{n \in \mathbb{N}} \subset ]0, \infty[$  such that  $\beta_n \rightarrow 0$  as  $n \rightarrow \infty$ , there exists  $\{\xi_n\}_{n \in \mathbb{N}} \subset \mathbb{R}^3$  such that, up to subsequence,  $\{v_{\beta_n}(\cdot + \xi_n)\}_{n \in \mathbb{N}}$  converges in  $H^1$  to a least energy solution to (1.4).*

The theorem is proved by arguing as in Liu & Moroz' [16], where they characterized the asymptotic profile of least energy solutions to the following Schrödinger-Poisson equation in  $\mathbb{R}^3$  as  $\lambda \rightarrow \infty$ :

$$-\Delta v + v + \frac{\lambda}{4\pi} (v^2 * |\cdot|^{-1})v = v|v|^{p-2},$$

where  $3 < p < 6$ . Let us summarize the strategy of the proof. It is already known that when  $3 < p < 6$ , least energy solutions to (1.3) and (1.4) are minimizers of the respective energy functionals in the associated Nehari-Pohožaev manifolds (see [2] for the SBP system and [1, 19] for the Schrödinger-Poisson system). As such, the core of the proof consists in comparing the least energy level achieved on these manifolds as  $\beta \rightarrow 0$ .

Let us finish the introduction with a comment on the organization of the paper. In Section 2, (i) we recap relevant results present in the literature; (ii) we precisely define the Nehari-Pohožaev manifold and (iii) we recall its properties which we will use. Finally, we prove Theorem 1.1 in Section 3.

**Notation.** Unless denoted otherwise, functional spaces contain real-valued functions defined a.e. in  $\mathbb{R}^3$ . Likewise, we integrate over  $\mathbb{R}^3$  whenever the domain of integration is omitted. We define  $D^{1,2}$  as the Hilbert space obtained as completion of  $C_c^\infty$  with respect to the inner product  $\langle u, v \rangle_{D^{1,2}} := \int \nabla u(x) \cdot \nabla v(x) dx$ . In the following sections, we always consider a fixed  $p \in ]3, 6[$ .

## 2. PRELIMINARIES

We begin by recalling the following Brézis-Lieb-type splitting property (see [24, Lemma 2.2 (i)] or [18, Proposition 4.7]).

**Lemma 2.1.** *If  $w_n \rightharpoonup \bar{v}_0$  in  $H^1$  and  $w_n \rightarrow \bar{v}_0$  a.e. as  $n \rightarrow \infty$ , then*

$$\begin{aligned} & \iint \frac{w_n(x)^2 w_n(y)^2}{|x-y|} dx dy - \iint \frac{(w_n(x) - \bar{v}_0(x))^2 (w_n(y) - \bar{v}_0(y))^2}{|x-y|} dx dy \\ & \xrightarrow{n \rightarrow \infty} \iint \frac{\bar{v}_0(x)^2 \bar{v}_0(y)^2}{|x-y|} dx dy. \end{aligned}$$

The Pohožaev-type identities in the sequence were proved in [5, Appendix A.3] and [19, Theorem 2.2].

**Proposition 2.2.** (1) *If  $v \in H^1$  is a weak solution to (1.3), then*

$$\begin{aligned} & \frac{1}{2} \|v\|_{D^{1,2}}^2 + \frac{3}{2} \|v\|_{L^2}^2 + \frac{5}{4} \iint \mathcal{K}_\beta(x-y) v(x)^2 v(y)^2 dx dy \\ & + \frac{1}{4\beta} \iint e^{-|x-y|/\beta} v(x)^2 v(y)^2 dx dy - \frac{3}{p} \|v\|_{L^p}^p = 0. \end{aligned} \tag{2.1}$$

(2) If  $v \in H^1$  is a weak solution to (1.4), then

$$\frac{1}{2}\|v\|_{D^{1,2}}^2 + \frac{3}{2}\|v\|_{L^2}^2 + \frac{5}{4}\iint \frac{v(x)^2v(y)^2}{|x-y|}dxdy - \frac{3}{p}\|v\|_{L^p}^p = 0.$$

Let  $\mathcal{P}_\beta: H^1 \rightarrow \mathbb{R}$  be defined as

$$\begin{aligned} \mathcal{P}_\beta(v) &= \frac{3}{2}\|v\|_{D^{1,2}}^2 + \frac{1}{2}\|v\|_{L^2}^2 + \frac{3}{4}\iint \mathcal{K}_\beta(x-y)v(x)^2v(y)^2dxdy + \\ &+ \left(-\frac{1}{4\beta}\iint e^{-|x-y|/\beta}u(x)^2u(y)^2dxdy\right) - \frac{2p-3}{p}\|u\|_{L^p}^p. \end{aligned}$$

To motivate the definition of  $\mathcal{P}_\beta$ , notice that every critical point of  $\mathcal{I}_\beta$  is an element of the Nehari–Pohožaev manifold

$$\mathcal{P}_\beta := \{v \in H^1 \setminus \{0\} : \mathcal{P}_\beta(v) = 0\}.$$

Indeed: if  $\mathcal{I}'_\beta(v) = 0$ , then both the Nehari identity

$$\|v\|_{H^1}^2 + \int (v^2 * \mathcal{K}_\beta)(x)v(x)^2dx - \|v\|_{L^p}^p = 0$$

and the Pohožaev-type identity (2.1) hold, so  $\mathcal{P}_\beta(v) = 0$ .

On the one hand, it seems to be unknown whether  $\mathcal{P}_\beta$  is a *natural constraint* of  $\mathcal{I}_\beta$  in the sense that if  $v$  is a critical point of  $\mathcal{I}_\beta|_{\mathcal{P}_\beta}$ , then  $\mathcal{I}'_\beta(v) = 0$ . On the other hand, under more general assumptions, Chen, Li, Rădulescu & Tang proved in [2, Lemma 3.14] that if  $v$  solves the minimization problem

$$\mathcal{I}_\beta(v) = m_\beta := \inf_{u \in \mathcal{P}_\beta} \mathcal{I}_\beta(u); \quad v \in \mathcal{P}_\beta,$$

then  $v$  is a least energy solution to (1.3). Moreover, it follows from [2, Corollary 1.6] that  $m_\beta$  is actually achieved and  $m_\beta > 0$ . As such, we will henceforth let  $v_\beta$  denote any least energy solution to (1.3).

Suppose that  $v \in H^1 \setminus \{0\}$ . There exists a unique  $\tau > 0$  such that  $\tau^2v(\tau \cdot) \in \mathcal{P}_\beta$ , which is obtained as the unique critical point of the mapping

$$\begin{aligned} &]0, \infty[\ni t \mapsto \mathcal{I}_\beta(t^2v(t \cdot)) \\ &= \frac{t^3}{2}\|v\|_{D^{1,2}}^2 + \frac{t}{2}\|v\|_{L^2}^2 + \frac{t^3}{4}\int (v^2 * \mathcal{K}_{t\beta})(x)v(x)^2dx - \frac{t^{2p-3}}{p}\|v\|_{L^p}^p. \end{aligned}$$

Furthermore,  $\mathcal{P}_\beta(t^2v(t \cdot)) > 0$  for  $0 < t < \tau$  and  $\mathcal{P}_\beta(t^2v(t \cdot)) < 0$  for  $t > \tau$ .

We let  $\mathcal{P}_0: H^1 \rightarrow \mathbb{R}$  be given by

$$\mathcal{P}_0(v) = \frac{3}{2}\|v\|_{D^{1,2}}^2 + \frac{1}{2}\|v\|_{L^2}^2 + \frac{3}{4}\iint \frac{v(x)^2v(y)^2}{|x-y|}dxdy - \frac{2p-3}{p}\|v\|_{L^p}^p$$

and we analogously define  $\mathcal{P}_0, m_0$ . As before, we can associate each  $v \in H^1 \setminus \{0\}$  to a unique  $\tau > 0$  such that  $\tau^2v(\tau \cdot) \in \mathcal{P}_0$ . It follows from Azzollini & Pomponio's [1, Theorem 1.1] that  $m_0 > 0$  and (1.4) has a least energy solution obtained as a minimizer of  $\mathcal{I}_0|_{\mathcal{P}_0}$ , so we will henceforth let  $v_0$  denote any of these solutions. Let us recall a couple of properties of  $\mathcal{P}_0$  that follow directly from [1, Lemma 2.3] and which will be important for us.

**Lemma 2.3.** (1) *The Nehari–Pohožaev manifold  $\mathcal{P}_0$  is a natural constraint of  $\mathcal{I}_0$ .*

(2)  $\inf_{v \in \mathcal{P}_0} \|v\|_{L^p} > 0$ .

We will also use the fact that minimizing sequences of  $\mathcal{I}_0|_{\mathcal{P}_0}$  induce a sequence of measures which falls on the compactness case in P.–L. Lions’ [14, Lemma I.1].

**Lemma 2.4** ([1, Lemma 2.6]). *Suppose that  $\{u_n\}_{n \in \mathbb{N}}$  is a minimizing sequence of  $\mathcal{I}_0|_{\mathcal{P}_0}$  and given  $n \in \mathbb{N}$ ,  $\mu_n$  denotes the measure which takes each Lebesgue-measurable set  $\Omega$  to*

$$\begin{aligned} \mu_n(\Omega) := & \int_{\Omega} \frac{p-3}{2p-3} |\nabla u_n(x)|^2 + \frac{p-2}{2p-3} u_n(x)^2 \\ & + \frac{p-2}{2(2p-3)} \int \frac{u_n(x)^2 u_n(y)^2}{|x-y|} dy dx. \end{aligned}$$

*It follows that there exists  $\{\xi_n\}_{n \in \mathbb{N}} \subset \mathbb{R}^3$  for which we can associate each  $\delta > 0$  with an  $r_\delta > 0$  such that  $\mu_n(B_{r_\delta}(\xi_n)) \geq m_0 - \delta$  for every  $n \in \mathbb{N}$ .*

### 3. ASYMPTOTIC PROFILE OF LEAST ENERGY SOLUTIONS TO (1.3)

Let us develop the preliminary results needed to prove the theorem. We begin by obtaining an upper bound for  $\limsup_{\beta \rightarrow 0} m_\beta$ .

**Lemma 3.1.** *The following inequality is satisfied:  $\limsup_{\beta \rightarrow 0} m_\beta \leq m_0$ .*

*Proof.* From  $v_0 \in \mathcal{P}_0$ , it follows that

$$\mathcal{P}_\beta(v_0) = -\frac{1}{4} \iint \left( \frac{3}{|x-y|} + \frac{1}{\beta} \right) e^{-|x-y|/\beta} v_0(x)^2 v_0(y)^2 dx dy < 0.$$

As such, there exists a unique  $\bar{t}_\beta \in ]0, 1[$  such that  $\bar{t}_\beta^2 v_0(\bar{t}_\beta \cdot) \in \mathcal{P}_\beta$ , i.e.,

$$\begin{aligned} & \frac{3}{2} \bar{t}_\beta^3 \|v_0\|_{D^{1,2}}^2 + \frac{1}{2} \bar{t}_\beta \|v_0\|_{L^2}^2 + \frac{3}{4} \bar{t}_\beta^3 \iint \mathcal{K}_{\bar{t}_\beta \beta}(x-y) v_0(x)^2 v_0(y)^2 dx dy \\ & - \frac{\bar{t}_\beta^2}{4\beta} \iint e^{-|x-y|/(\bar{t}_\beta \beta)} v_0(x)^2 v_0(y)^2 dx dy \\ & = \frac{2p-3}{p} \bar{t}_\beta^{2p-3} \|v_0\|_{L^p}^p. \end{aligned}$$

It follows from the inclusion  $v_0 \in \mathcal{P}_0$  that

$$\begin{aligned} & \frac{1}{2} \left( 1 - \frac{1}{\bar{t}_\beta^2} \right) \|v_0\|_{L^2}^2 + \frac{1}{4} \iint \left( \frac{3}{|x-y|} + \frac{1}{\bar{t}_\beta \beta} \right) e^{-|x-y|/(\bar{t}_\beta \beta)} v_0(x)^2 v_0(y)^2 dx dy \\ & = \frac{2p-3}{p} \left( 1 - \bar{t}_\beta^{2p-6} \right) \|v_0\|_{L^p}^p. \end{aligned} \tag{3.1}$$

Let us show that

$$\iint \left( \frac{3}{|x-y|} + \frac{1}{\bar{t}_\beta \beta} \right) e^{-|x-y|/(\bar{t}_\beta \beta)} v_0(x)^2 v_0(y)^2 dx dy \xrightarrow{\beta \rightarrow 0} 0. \tag{3.2}$$

It suffices to prove that if  $0 < \beta_n \rightarrow 0$  as  $n \rightarrow \infty$ , then, up to subsequence,

$$\iint \left( \frac{3}{|x-y|} + \frac{1}{\bar{t}_{\beta_n} \beta_n} \right) e^{-|x-y|/(\bar{t}_{\beta_n} \beta_n)} v_0(x)^2 v_0(y)^2 dx dy \xrightarrow{n \rightarrow \infty} 0.$$

As  $\lim_{n \rightarrow \infty} \bar{t}_{\beta_n} \beta_n = 0$ , then, up to subsequence,  $(\bar{t}_{\beta_n} \beta_n)_{n \in \mathbb{N}}$  is decreasing, so the limit follows from the Monotone Convergence Theorem.

We claim that  $\lim_{\beta \rightarrow 0} \bar{t}_\beta = 1$ . By contradiction, suppose that  $0 < \beta_n \rightarrow 0$  as  $n \rightarrow \infty$  and  $\alpha := \liminf_{n \rightarrow \infty} \bar{t}_{\beta_n} < 1$ . In view of (3.1) and (3.2), it follows that

$$0 > \frac{1}{2} \left(1 - \frac{1}{\alpha^2}\right) \|v_0\|_{L^2}^2 = \frac{2p-3}{p} \left(1 - \limsup_{n \rightarrow \infty} \bar{t}_{\beta_n}^{2p-6}\right) \|v_0\|_{L^p}^p \geq 0,$$

which is absurd, hence the result follows.

In view of (3.2), the limit  $\bar{t}_\beta \rightarrow 1$  as  $\beta \rightarrow 0$  implies

$$\begin{aligned} m_\beta &\leq \mathcal{I}_\beta(\bar{t}_\beta^2 v_0(\bar{t}_\beta \cdot)) \\ &= \frac{p-3}{2p-3} \bar{t}_\beta^3 \|v_0\|_{D^{1,2}}^2 + \frac{p-2}{2p-3} \bar{t}_\beta \|v_0\|_{L^2}^2 \\ &\quad + \frac{p-3}{2(2p-3)} \bar{t}_\beta^3 \int (v_0 * \mathcal{K}_{\bar{t}_\beta \beta})(x) v_0(x)^2 dx \\ &\quad + \frac{\bar{t}_\beta^2}{4(2p-3)\beta} \iint e^{-|x-y|/(\bar{t}_\beta \beta)} v_0(x)^2 v_0(y)^2 dx dy \xrightarrow{\beta \rightarrow 0} \mathcal{I}_0(v_0) = m_0, \end{aligned}$$

and the lemma is proved.  $\square$

We can use the previous lemma to control the  $H^1$ -norm of least energy solutions to (1.3) for sufficiently small  $\beta$ .

**Lemma 3.2.**  $\limsup_{\beta \rightarrow 0} \|v_\beta\|_{H^1} < \infty$ .

*Proof.* As  $v_\beta \in \mathcal{P}_\beta$ , we obtain

$$\begin{aligned} m_\beta &= \mathcal{I}_\beta(v_\beta) \\ &= \frac{p-3}{2p-3} \|v_\beta\|_{D^{1,2}}^2 + \frac{p-2}{2p-3} \|v_\beta\|_{L^2}^2 \\ &\quad + \frac{p-3}{2(2p-3)} \iint \mathcal{K}_\beta(x-y) v_\beta(x)^2 v_\beta(y)^2 dx dy \\ &\quad + \frac{1}{4(2p-3)} \iint \frac{e^{-|x-y|/\beta}}{\beta} v_\beta(x)^2 v_\beta(y)^2 dx dy, \end{aligned}$$

so  $m_\beta \geq (p-3)\|v_\beta\|_{H^1}^2/(2p-3)$  and the result follows from Lemma 3.1.  $\square$

The following estimate will also be useful for our computations.

**Lemma 3.3.** *Given  $w \in L^4$ , it holds that*

$$\iint \left(\frac{3}{|x-y|} + \frac{1}{\beta}\right) e^{-|x-y|/\beta} w(x)^2 w(y)^2 dx dy \leq 20\pi\beta^2 \|w\|_{L^4}^4.$$

*It follows that if  $\{w_\beta\}_{\beta>0} \subset H^1$  is such that  $\limsup_{\beta \rightarrow 0} \|w_\beta\|_{H^1} < \infty$ , then*

$$\iint \left(\frac{3}{|x-y|} + \frac{1}{\beta}\right) e^{-|x-y|/\beta} w_\beta(x)^2 w_\beta(y)^2 dx dy \xrightarrow{\beta \rightarrow 0} 0.$$

*Proof.* It follows from Hölder's Inequality that

$$\begin{aligned} &\iint \left(\frac{3}{|x-y|} + \frac{1}{\beta}\right) e^{-|x-y|/\beta} w(x)^2 w(y)^2 dx dy \\ &\leq \left(\int \left(\int \left(\frac{3}{|x-y|} + \frac{1}{\beta}\right) e^{-|x-y|/\beta} w(x)^2 dx\right)^2 dy\right)^{1/2} \|w\|_{L^4}^2. \end{aligned}$$

An application of Young’s Inequality shows that

$$\begin{aligned} & \left( \int \left( \int \left( \frac{3}{|x-y|} + \frac{1}{\beta} \right) e^{-|x-y|/\beta} w(x)^2 dx \right)^2 dy \right)^{1/2} \\ & \leq \|w\|_{L^4}^2 \underbrace{\int \left( \frac{3}{|x|} + \frac{1}{\beta} \right) e^{-|x|/\beta} dx}_{=20\pi\beta^2}, \end{aligned}$$

hence the result follows. □

Let us show that the family of Nehari–Pohožaev manifolds  $(\mathcal{P}_\beta)_{\beta>0}$  is bounded away from zero in  $L^p$ .

**Lemma 3.4.**  $\inf_{\beta>0} \{\|v\|_{L^p} : v \in \mathcal{P}_\beta\} > 0$ .

*Proof.* We claim that

$$\inf_{\beta>0} \{\|v\|_{H^1} : v \in \mathcal{P}_\beta\} > 0. \tag{3.3}$$

Indeed, the elementary inequality  $re^{-r} \leq 1 - e^{-r}$  for every  $r \geq 0$  implies

$$0 = \mathcal{P}_\beta(v) \geq \frac{1}{2} \|v\|_{H^1}^2 - \frac{2p-3}{p} \|v\|_{L^p}^p, \tag{3.4}$$

and thus  $(2p-3)c\|v\|_{H^1}^{p-2}/p \geq 1/2$ , where  $c > 0$  denotes the constant of the Sobolev embedding  $H^1 \hookrightarrow L^p$ .

In this situation, the lemma follows from (3.3) and (3.4). □

The inclusion  $v_\beta \in \mathcal{P}_\beta$  implies

$$\mathcal{P}_0(v_\beta) = \frac{1}{4} \iint \left( \frac{3}{|x-y|} + \frac{1}{\beta} \right) e^{-|x-y|/\beta} v_\beta(x)^2 v_\beta(y)^2 dx dy > 0,$$

so there exists a unique  $t_\beta > 1$  such that  $t_\beta^2 v_\beta(t_\beta \cdot) \in \mathcal{P}_0$ , i.e.,

$$\begin{aligned} & \frac{3}{2} t_\beta^3 \|v_\beta\|_{D^{1,2}}^2 + \frac{1}{2} t_\beta \|v_\beta\|_{L^2}^2 + \frac{3}{4} t_\beta^3 \iint \frac{v_\beta(x)^2 v_\beta(y)^2}{|x-y|} dx dy \\ & = \frac{2p-3}{p} t_\beta^{2p-3} \|v_\beta\|_{L^p}^p. \end{aligned} \tag{3.5}$$

Our last preliminary result shows that  $t_\beta \rightarrow 1$  as  $\beta \rightarrow 0$ .

**Lemma 3.5.**  $t_\beta \rightarrow 1$  and  $\mathcal{I}_0(t_\beta^2 v_\beta(t_\beta \cdot)) \rightarrow m_0$  as  $\beta \rightarrow 0$ .

*Proof.* Let us prove that  $t_\beta \rightarrow 1$  as  $\beta \rightarrow 0$ . We only have to show that  $\limsup_{\beta \rightarrow 0} t_\beta \leq 1$ . By contradiction, suppose that  $\limsup_{\beta \rightarrow 0} t_\beta > 1$ . In particular, we can fix  $\{\beta_n\}_{n \in \mathbb{N}} \subset ]0, \infty[$  such that  $\beta_n \rightarrow 0$  as  $n \rightarrow \infty$  and  $\alpha := \liminf_{n \rightarrow \infty} t_{\beta_n} > 1$ . It follows from (3.5) and from the fact that  $v_{\beta_n} \in \mathcal{P}_{\beta_n}$  that

$$\begin{aligned} & \frac{1}{2} \left( \frac{1}{t_{\beta_n}^2} - 1 \right) \|v_{\beta_n}\|_{L^2}^2 \\ & + \frac{1}{4} \iint \left( \frac{3}{|x-y|} + \frac{1}{\beta_n} \right) e^{-|x-y|/\beta_n} v_{\beta_n}(x)^2 v_{\beta_n}(y)^2 dx dy \\ & = \frac{2p-3}{p} (t_{\beta_n}^{2p-6} - 1) \|v_{\beta_n}\|_{L^p}^p. \end{aligned}$$

In view of Lemmas 3.2–3.4,

$$\begin{aligned} 0 &\geq \frac{1}{2} \left( \frac{1}{\alpha^2} - 1 \right) \left( \limsup_{n \rightarrow \infty} \|v_{\beta_n}\|_{L^2}^2 \right) \\ &\geq \frac{2p-3}{p} (\alpha^{2p-6} - 1) \left( \liminf_{n \rightarrow \infty} \|v_{\beta_n}\|_{L^p}^p \right) > 0, \end{aligned}$$

which is absurd, hence the result follows.

Now, we want to show that  $\mathcal{I}_0(t_\beta^2 v_\beta(t_\beta \cdot)) \rightarrow m_0$  as  $\beta \rightarrow 0$ . We have

$$\begin{aligned} m_0 &\leq \mathcal{I}_0(t_\beta^2 v_\beta(t_\beta \cdot)) \\ &= \frac{p-3}{2p-3} t_\beta^3 \|v_\beta\|_{D^{1,2}}^2 + \frac{p-2}{2p-3} t_\beta \|v_\beta\|_{L^2}^2 \\ &\quad + \frac{p-3}{2(2p-3)} t_\beta^3 \int \frac{v_\beta(x)^2 v_\beta(y)^2}{|x-y|} dx \\ &= t_\beta^3 m_\beta + \frac{p-2}{2p-3} (t_\beta - t_\beta^3) \|v_\beta\|_{L^2}^2 \\ &\quad + \frac{p-3}{2(2p-3)} t_\beta^3 \iint \frac{e^{-|x-y|/\beta}}{|x-y|} v_\beta(x)^2 v_\beta(y)^2 dx dy \\ &\quad - \frac{1}{4(2p-3)} t_\beta^3 \iint e^{-|x-y|/\beta} v_\beta(x)^2 v_\beta(y)^2 dx dy. \end{aligned}$$

Because  $\lim_{\beta \rightarrow 0} t_\beta = 1$ , the result follows from Lemmas 3.1–3.3.  $\square$

Even though this limit will not be explicitly used to prove the theorem, we remark that Lemma 3.5 implies  $m_\beta \rightarrow m_0$  as  $\beta \rightarrow 0$  because  $\mathcal{I}_\beta(v_\beta) = m_\beta$  by definition. Let us finally prove the theorem.

*Proof of Theorem 1.1.* From Lemma 3.5,  $\{u_n := t_{\beta_n}^2 v_{\beta_n}(t_{\beta_n} \cdot)\}_{n \in \mathbb{N}}$  is a minimizing sequence of  $\mathcal{I}_0|_{\mathcal{D}_0}$ . Let  $\mu_n$  denote the measure defined in Lemma 2.4 and let  $\{\xi_n\}_{n \in \mathbb{N}} \subset \mathbb{R}^3$  be furnished by the same lemma. It follows from Lemmas 3.2 and 3.5 that  $\{w_n := u_n(\cdot - \xi_n)\}_{n \in \mathbb{N}}$  is bounded in  $H^1$ , so there exists  $\bar{v}_0 \in H^1$  such that, up to subsequence,  $w_n \rightharpoonup \bar{v}_0$  in  $H^1$  as  $n \rightarrow \infty$ . Due to the Kondrakov Theorem, we can suppose further that  $w_n \rightarrow \bar{v}_0$  a.e. as  $n \rightarrow \infty$ .

Now, we argue as in [1, Proof of Theorem 1.1] to prove that

$$\|w_n - \bar{v}_0\|_{L^q} \xrightarrow[n \rightarrow \infty]{L^q} 0 \quad \text{for every } q \in [2, 6]. \quad (3.6)$$

By Lemma 2.4,  $\|w_n\|_{H^1(\mathbb{R}^3 \setminus B_{r_\delta}(0))}^2 < \delta$  for every  $n \in \mathbb{N}$ . Consider a fixed  $\delta > 0$ . From the Kondrakov Theorem and the fact that  $\|\cdot\|_{H^1}$  is weakly lower-semicontinuous, we obtain

$$\begin{aligned} \|w_n - \bar{v}_0\|_{L^q} &\leq \|w_n - \bar{v}_0\|_{L^q(B_{r_\delta}(0))} + \|w_n - \bar{v}_0\|_{L^q(\mathbb{R}^3 \setminus B_{r_\delta}(0))} \\ &\leq \delta + C \left( \|w_n\|_{H^1(\mathbb{R}^3 \setminus B_{r_\delta}(0))} + \|\bar{v}_0\|_{H^1(\mathbb{R}^3 \setminus B_{r_\delta}(0))} \right) \\ &\leq 3\delta \end{aligned} \quad (3.7)$$

for sufficiently large  $n \in \mathbb{N}$ , where  $C > 0$  denotes the constant of the Sobolev embedding  $H^1 \hookrightarrow L^q$ . The result then follows from the fact that given  $\delta > 0$ , there exists  $n_\delta \in \mathbb{N}$  such that (3.7) holds for  $n \geq n_\delta$ .



We claim that

$$\frac{p-3}{2p-3} \|w_n\|_{D^{1,2}}^2 + \frac{p-2}{2p-3} \|w_n\|_{L^2}^2 \xrightarrow{n \rightarrow \infty} \frac{p-3}{2p-3} \|\bar{v}_0\|_{D^{1,2}}^2 + \frac{p-2}{2p-3} \|\bar{v}_0\|_{L^2}^2. \quad (3.8)$$

Indeed, in view of (3.6) and Lemma 2.3, we deduce that  $\|\bar{v}_0\|_{L^p} > 0$ , so  $\bar{v}_0 \not\equiv 0$ . Considering (3.6), Lemmas 2.1, 3.5 and the fact that  $\|\cdot\|_{D^{1,2}}$  is weakly lower-semicontinuous, we obtain  $\mathcal{P}_0(\bar{v}_0) \leq \liminf_{n \rightarrow \infty} \mathcal{P}_0(w_n) = 0$ . As  $\bar{v}_0 \not\equiv 0$ , we deduce that there exists a unique  $t_0 \in [0, 1]$  such that  $t_0^2 \bar{v}_0(t_0 \cdot) \in \mathcal{P}_0$ . We obtain

$$\begin{aligned} m_0 &\leq \mathcal{I}_0(t_0^2 \bar{v}_0(t_0 \cdot)) \\ &= \frac{p-3}{2p-3} t_0^3 \|\bar{v}_0\|_{D^{1,2}}^2 + \frac{p-2}{2p-3} t_0 \|\bar{v}_0\|_{L^2}^2 + \frac{p-2}{2(2p-3)} t_0^3 \int \frac{\bar{v}_0(x)^2 \bar{v}_0(y)^2}{|x-y|} dy dx \\ &\leq \frac{p-3}{2p-3} \|\bar{v}_0\|_{D^{1,2}}^2 + \frac{p-2}{2p-3} \|\bar{v}_0\|_{L^2}^2 + \frac{p-2}{2(2p-3)} \int \frac{\bar{v}_0(x)^2 \bar{v}_0(y)^2}{|x-y|} dy dx \\ &\leq \mathcal{I}_0(w_n) + o_n(1) \end{aligned}$$

for sufficiently large  $n \in \mathbb{N}$  and the result follows by taking the limit  $n \rightarrow \infty$ .

In view of (3.6) and (3.8), we obtain  $\|w_n - \bar{v}_0\|_{H^1} \rightarrow 0$  as  $n \rightarrow \infty$ , so  $\bar{v}_0 \in \mathcal{P}_0$  and  $\mathcal{I}_0(\bar{v}_0) = m_0$ . Finally, the fact that  $\mathcal{I}'_0(\bar{v}_0) = 0$  is a corollary of Lemma 2.3.  $\square$

**3.1. Notes.** This article is posted at <https://arxiv.org/abs/2407.19141> before its publication.

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