

## STANDING WAVES WITH A CRITICAL FREQUENCY FOR THE GROSS-PITAEVSKII EQUATION IN TRAPPED DIPOLAR QUANTUM GASES

YI HE

ABSTRACT. This article concerns the singularly of perturbed Gross-Pitaevskii equations in trapped dipolar quantum gases,

$$\begin{aligned} -\varepsilon^2 \Delta u + V(x)u + \lambda_1 |u|^2 u + \lambda_2 (K * |u|^2)u &= 0 \quad \text{in } \mathbb{R}^3, \\ u > 0, \quad u &\in H^1(\mathbb{R}^3), \end{aligned}$$

where  $\varepsilon$  is a small positive parameter,  $\lambda_1, \lambda_2 \in \mathbb{R}$ ,  $*$  denotes the convolution,  $K(x) = \frac{1-3\cos^2\theta}{|x|^3}$  and  $\theta = \theta(x)$  is the angle between the dipole axis determined by  $(0, 0, 1)$  and the vector  $x$ . Moreover, the potential  $V$  satisfies  $\liminf_{|x| \rightarrow \infty} V(x) > \inf_{\mathbb{R}^3} V(x) = 0$ . Under certain assumptions on  $(\lambda_1, \lambda_2) \in \mathbb{R}^2$ , we construct a family of positive solutions  $u_\varepsilon \in H^1(\mathbb{R}^3)$  whose  $L^\infty$  norm approaches 0 as  $\varepsilon \rightarrow 0$ . Our main results extend the results in Byeon and Wang [6] which dealt with singularly perturbed Schrödinger equations with a local nonlinearity, to the nonlocal Gross-Pitaevskii type equation.

### 1. INTRODUCTION AND MAIN RESULT

We study the Gross-Pitaevskii equation arising from Bose-Einstein condensation of trapped dipolar quantum gases,

$$\begin{aligned} -\varepsilon^2 \Delta u + V(x)u + \lambda_1 |u|^2 u + \lambda_2 (K * |u|^2)u &= 0 \quad \text{in } \mathbb{R}^3, \\ u > 0, \quad u &\in H^1(\mathbb{R}^3), \end{aligned} \tag{1.1}$$

where  $\varepsilon$  is a small positive parameter,  $\lambda_1, \lambda_2 \in \mathbb{R}$ ,  $*$  denotes the convolution,  $K(x) = \frac{1-3\cos^2\theta}{|x|^3}$  and  $\theta = \theta(x)$  is the angle between the dipole axis determined by  $(0, 0, 1)$  and the vector  $x$ . Moreover, the potential  $V : \mathbb{R}^3 \rightarrow \mathbb{R}$  is a continuous function satisfying:

- (A1)  $\liminf_{|x| \rightarrow \infty} V(x) > \inf_{\mathbb{R}^3} V(x) = 0$ ;
- (A2) There is a bounded domain  $\Lambda$  such that  $0 = \inf_{\Lambda} V < \min_{\partial\Lambda} V$ .

This type of hypothesis on the potential can be regarded as the critical frequency case which was first introduced by Byeon and Wang in [6].

Problem (1.1) arises from the study of the three dimensional Gross-Pitaevskii equation, see e.g. [3, 9, 20, 22, 23],

$$ih \frac{\partial \psi(x, t)}{\partial t} = -\frac{\hbar^2}{2m} \Delta \psi + W(x)\psi + \lambda_0 |\psi|^2 \psi + (V_{\text{dip}} * |\psi|^2)\psi, \quad x \in \mathbb{R}^3, t > 0, \tag{1.2}$$

where  $t$  is time,  $\hbar$  is the Plank constant,  $m$  is the mass of a dipolar particle,  $W(x)$  is an external trapping potential describing the electromagnetic trap for the condensate,  $\lambda_0 = 4\pi\hbar^2 a_s/m$  describes the local interaction between dipoles in the condensate with  $a_s$  the  $s$ -wave scattering

---

2020 *Mathematics Subject Classification.* 35J20, 35J60.

*Key words and phrases.* Dipolar quantum gases; standing waves; critical frequency.

©2026. This work is licensed under a CC BY 4.0 license.

Submitted January 23, 2026. Published July 2, 2026.

length (positive for repulsive interaction and negative for attractive interaction). The long-range dipolar interaction potential between two dipoles is

$$V_{\text{dip}} = \frac{\mu_0 \mu_{\text{dip}}^2}{4\pi} \frac{1 - 3\cos^2\theta}{|x|^3}, \quad x \in \mathbb{R}^3,$$

where  $\mu_0$  is the vacuum magnetic permeability,  $\mu_{\text{dip}}$  is the permanent magnetic dipole moment,  $\theta = \theta(x)$  is the angle between the vector  $(0, 0, 1)$  and the vector  $x$ .

Carles, Markowich and Sparber [9] studied the existence and uniqueness of solution to the following equation with initial condition  $\psi_0 \in H^1(\mathbb{R}^3)$ ,

$$i\partial_t \psi + \frac{1}{2}\Delta\psi = \frac{|x|^2}{2}\psi + \lambda_1|\psi|^2\psi + \lambda_2(K * |\psi|^2)\psi, \quad \psi(0, x) = \psi_0(x). \tag{1.3}$$

They proved that (1.3) has a unique, global solution if  $\lambda_1 \geq \frac{4}{3}\pi\lambda_2 \geq 0$ . They called this situation stable regime, referring to the fact that no singularity is formed in finite time. They also showed that in the unstable regime ( $\lambda_1 < \frac{4}{3}\pi\lambda_2$ ), finite time blow-up may occur.

The physical parameters  $\lambda_1$  and  $\lambda_2$  describe the strength of the two nonlinearities in problem (1.1). Inspired by [9], we define the *stable regime* by

$$D_{\text{sr}} := \{(\lambda_1, \lambda_2) \in \mathbb{R}^2 : \lambda_1 - \frac{4}{3}\pi\lambda_2 \geq 0 \text{ and } \lambda_1 + \frac{8}{3}\pi\lambda_2 \geq 0\} \tag{1.4}$$

and the *unstable regime* by

$$D_{\text{ur}} := \{(\lambda_1, \lambda_2) \in \mathbb{R}^2 : \lambda_1 - \frac{4}{3}\pi\lambda_2 < 0 \text{ or } \lambda_1 + \frac{8}{3}\pi\lambda_2 < 0\}. \tag{1.5}$$

In recent years, the following equation related to (1.1)

$$-\frac{1}{2}\Delta u + \frac{a^2}{2}|x|^2u + \lambda_1|u|^2u + \lambda_2(K * |u|^2)u + \mu u = 0, \quad x \in \mathbb{R}^3, \tag{1.6}$$

with  $a \geq 0$ ,  $\mu \in \mathbb{R}$  has been studied by many authors, see [1, 3, 7, 8].

Antonelli and Sparber [1] studied (1.6) with  $a = 0$  and proved that for any  $\mu > 0$ ,  $(\lambda_1, \lambda_2) \in D_{\text{ur}}$ , there exists a positive solution to (1.6) by looking for minimizers of the  $C^1$  functional

$$J(u) := \frac{\left(\int_{\mathbb{R}^3} |\nabla u|^2\right)^{3/2} \left(\int_{\mathbb{R}^3} |u|^2\right)^{1/2}}{-\lambda_1 \int_{\mathbb{R}^3} |u|^4 - \lambda_2 \int_{\mathbb{R}^3} (K * |u|^2)|u|^2}$$

in  $H^1(\mathbb{R}^3)$ . Furthermore, some symmetry regularity and decay properties of the solutions to (1.6) were given.

Carles and Hajaiej [8] considered (1.6) and proved that if  $(\lambda_1, \lambda_2) \in D_{\text{sr}}$ , then (1.6) has a non-negative minimal solution with any prescribed  $L^2$  norm which is Steiner symmetric and it is unique provided that either  $\lambda_1 > \frac{4}{3}\pi\lambda_2 > 0$  or  $\lambda_1 > -\frac{8}{3}\pi\lambda_2 > 0$ .

Bellazzini and Jeanjean [7] studied (1.6) in the case where  $(\lambda_1, \lambda_2) \in D_{\text{ur}}$ . They proved that (1.6) has at least one solution with any prescribed  $L^2$  norm with  $a = 0$  and they also show that there exists  $a_0 > 0$  such that for any  $a \in (0, a_0]$ , (1.6) has at least two solutions with any prescribed  $L^2$  norm where one is a mountain pass solution and the other one is a topological local minimal solution. Moreover, some stable scattering and asymptotic results were given.

He and Luo [15] considered the following singularly perturbed Gross-Pitaevskii equation in trapped dipolar quantum gases

$$\begin{aligned} -\varepsilon^2\Delta u + V(x)u + \lambda_1|u|^2u + \lambda_2(K * |u|^2)u &= 0 \quad \text{in } \mathbb{R}^3, \\ u &> 0, \quad u \in H^1(\mathbb{R}^3), \end{aligned} \tag{1.7}$$

where  $\varepsilon$  is a small positive parameter,  $\lambda_1, \lambda_2 \in \mathbb{R}$ ,  $*$  denotes the convolution,  $K(x) = \frac{1-3\cos^2\theta}{|x|^3}$  and  $\theta = \theta(x)$  is the angle between the dipole axis determined by  $(0, 0, 1)$  and the vector  $x$ . Under the condition that the potential  $V$  satisfies

(A3)  $\inf_{x \in \mathbb{R}^3} V(x) = \alpha > 0;$

(A4) There is a bounded domain  $\Lambda$  such that  $V_0 := \inf_{\Lambda} V < \min_{\partial\Lambda} V$ .

and  $(\lambda_1, \lambda_2) \in D_{ur}$ . They constructed a family of bound state solutions which concentrate around the local minimum points of  $V$  as  $\varepsilon \rightarrow 0$  by using the penalization method and a version of quantitative deformation lemma due to [4, 6].

Zhang and Xu [24] studied (1.7) under the assumption that the potential  $V$  satisfies

$$(A5) \quad V_\infty = \liminf_{|x| \rightarrow \infty} V(x) > V_0 = \inf_{x \in \mathbb{R}^3} V(x) > 0.$$

They used mountain pass theorem and Nehari manifold approach to show the existence of ground state solutions to (1.7) for  $\varepsilon > 0$  small and describe the concentration phenomenon of ground state solutions as  $\varepsilon \rightarrow 0$ . Moreover, they investigate the relationship between the number of positive solutions and the profile of the potential  $V$  under one more assumption

$$(A6) \quad \text{There exist } a^1, \dots, a^k \text{ in } \mathbb{R}^3 \text{ such that } V(a^j) = V_0, \quad j = 1, \dots, k. \text{ Moreover, each of } a^1, \dots, a^k \text{ is a strict global minimum point of } V.$$

Equation (1.1) is related to standing wave solutions for the nonlinear Schrödinger equation

$$i\hbar \frac{\partial \psi}{\partial t} + \frac{\hbar^2}{2} \Delta \psi - W(x)\psi + |\psi|^{p-1}\psi = 0, \quad (t, x) \in \mathbb{R} \times \mathbb{R}^N, \tag{1.8}$$

where  $\hbar$  denotes the Planck constant,  $i$  is the imaginary unit. A solution of the form  $\psi(x, t) = \exp(-iEt/\hbar)v(x)$  is called a standing wave. It is easily checked that  $\psi(x, t) = \exp(-iEt/\hbar)W(x)$  is a standing wave solution to (1.8) if and only if the function  $v$  satisfies

$$\frac{\hbar^2}{2} \Delta v - (W(x) - E)v + |v|^{p-1}v = 0, \quad x \in \mathbb{R}^N. \tag{1.9}$$

For simplicity, we let  $V(x) = W(x) - E$ ,  $\varepsilon = \hbar/\sqrt{2}$ , (1.9) can be rewritten in the form

$$-\varepsilon^2 \Delta u + V(x)u = |u|^{q-2}u, \quad x \in \mathbb{R}^N, \tag{1.10}$$

where  $2 < q < 2^*$ ,  $N \geq 1$ . Under the assumption that

$$\inf_{\mathbb{R}^N} W(x) > E \left( \inf_{\mathbb{R}^N} V(x) > 0 \right),$$

many mathematicians proved the existence, concentration and multiplicity of solutions to (1.10).

Floer and Weinstein [13] studied (1.9) in the case where  $N = 1$ ,  $q = 4$ ,  $V \in L^\infty$  with  $\inf V > 0$ . They construct a single peak solution which concentrates around any prescribed non-degenerate critical point of the potential  $V$ . Y. G. Oh [16, 17] extended this result in higher dimensions when  $2 < q < 2N/(N - 2)$  and the potential  $V$  belongs to Kato class. Furthermore, Oh [18] proved the existence of multi-peak solutions which concentrate around any prescribed finite subsets of the non-degenerate critical points of  $V$ . The arguments in [13, 16, 17, 18] are mainly based on a Lyapunov-Schmidt reduction.

Rabinowitz [19] used mountain pass theorem to prove that (1.10) possesses a positive ground state solution for  $\varepsilon > 0$  small where the potential  $V$  satisfies (A5).

The concentration behavior for the family of positive ground state solutions obtained in [19] was proved by Wang [21]. He proved that the positive ground state solutions to (1.10) must concentrate around the global minimum points of  $V$  as  $\varepsilon \rightarrow 0$ .

Under the same condition (A5) on  $V(x)$ , Cingolani and Lazzo [10] proved the multiplicity of positive ground state solutions to (1.10) by Ljusternik-Schnirelmann theory.

del Pino and Felmer [11] studied (1.10) with some conditions on  $V$  replaced by (A3) and (A4). They proved that (1.10) possesses a positive bound state solution for  $\varepsilon > 0$  small which concentrates around the local minimum points of  $V$  in  $\Lambda$  as  $\varepsilon \rightarrow 0$ .

This article concerns the situation where  $E$  is a critical frequency in the sense that

$$\inf_{\mathbb{R}^N} W(x) = E \left( \inf_{\mathbb{R}^N} V(x) = 0 \right). \tag{1.11}$$

It seems that Byeon and Wang [5] were the first to study the existence, concentration and asymptotic behavior of positive ground state solution to (1.10) under the critical frequency (1.11). They obtained a solution  $u_\varepsilon$  to (1.10) such that  $\lim_{\varepsilon \rightarrow 0} \|u_\varepsilon\|_{L^\infty(\mathbb{R}^N)} = 0$  and  $\lim_{\varepsilon \rightarrow 0} \varepsilon^{-2/(p-2)} \|u_\varepsilon\|_{L^\infty(\mathbb{R}^N)} >$

0. And the results on the existence of localized solutions of [5] are extended in [6] to more general nonlinearities via the penalization methods involving the local type

$$g(x, t) := \chi_{D/\varepsilon}(x)\tilde{f}(t) + (1 - \chi_{D/\varepsilon}(x)) \min\{\tilde{f}(t), \gamma t\} \quad (1.12)$$

and the nonlocal type

$$\left(\varepsilon^{-6/\mu} \int_{\mathbb{R}^N \setminus \Lambda_\varepsilon} u^2 - 1\right)_+^\beta \quad (1.13)$$

for some  $D, \Lambda \subset \mathbb{R}^N$ ,  $\gamma, \mu > 0$ ,  $1 < \beta < q/2$  and  $q \in (2, 2^*)$ .

As far as we know, there is no result for (1.1) under the critical frequency situation (1.11). Since the interaction kernel  $K(x)$  is highly singular, the singular integral  $K * |u|^2$  makes it much more complicated to estimate the uniform boundedness in  $L^\infty$  and exponential decay of solutions to (1.1). Moreover, due to the indefinite sign of  $K(x)$ ,  $\lambda_1$  and  $\lambda_2$ , the local penalization method (1.12) in [6] can not be used in this article.

To study (1.1), we will work with the equivalent equation

$$\begin{aligned} -\Delta v + V(\varepsilon x)v + \lambda_1 v^3 + \lambda_2 (K * v^2)v &= 0 \quad \text{in } \mathbb{R}^3, \\ v &> 0, \quad v \in H^1(\mathbb{R}^3) \end{aligned} \quad (1.14)$$

with the energy functional

$$I_\varepsilon(v) = \frac{1}{2} \int_{\mathbb{R}^3} |\nabla v|^2 + \frac{1}{2} \int_{\mathbb{R}^3} V(\varepsilon x)v^2 + \frac{\lambda_1}{4} \int_{\mathbb{R}^3} (v^+)^4 + \frac{\lambda_2}{4} \int_{\mathbb{R}^3} (K * (v^+)^2)(v^+)^2, \quad v \in H_\varepsilon,$$

where  $(\lambda_1, \lambda_2) \in D_{\text{ur}}$  and  $H_\varepsilon := \{v \in H^1(\mathbb{R}^3) \mid \int_{\mathbb{R}^3} V(\varepsilon x)v^2 < \infty\}$  endowed with the norm

$$\|v\|_\varepsilon := \left( \int_{\mathbb{R}^3} |\nabla v|^2 + \int_{\mathbb{R}^3} V(\varepsilon x)v^2 \right)^{1/2}.$$

Because of the indefinite sign of  $K(x)$ ,  $\lambda_1$  and  $\lambda_2$ , we use the nonlocal penalization method (1.13) introduced by Byeon and Wang [6], which helps us to overcome the non-compactness caused by the unboundedness of the domain  $\mathbb{R}^3$ . For this purpose, we should modify the energy functional.

Following [6], we define  $J_\varepsilon : H_\varepsilon \rightarrow \mathbb{R}$  by

$$J_\varepsilon(v) = I_\varepsilon(v) + Q_\varepsilon(v),$$

where  $I_\varepsilon$  is energy functional defined above,

$$Q_\varepsilon(v) = \left( \int_{\mathbb{R}^3} \chi_\varepsilon v^2 - 1 \right)_+^\beta, \quad \chi_\varepsilon(x) = \begin{cases} 0 & \text{if } x \in \Lambda/\varepsilon, \\ \varepsilon^{-18} & \text{if } x \notin \Lambda/\varepsilon, \end{cases}$$

and  $1 < \beta < 2$ . It will be shown that the functional  $Q_\varepsilon$  acts as a penalization to recover the (PS) condition for the modified functional  $J_\varepsilon$ . Moreover, because of the mass concentration quantity derived from  $Q_\varepsilon$ , the solutions to the modified problem can be estimated suitably by elliptic estimates. After suitable estimates of solutions to the modified problem, we can verify that for  $\varepsilon > 0$  small the solutions we constructed for the modified problem are indeed solutions to the original problem (1.1).

Before stating our results, we give some notation. We define  $\mathcal{Z} = \{x \in \mathbb{R}^3 : V(x) = 0\}$  and  $\mathcal{M} := \mathcal{Z} \cap \Lambda = \{x \in \Lambda : V(x) = 0\}$ . For any set  $B \subset \mathbb{R}^3$  and  $\delta > 0$ , we denote  $B^\delta = \{x \in \mathbb{R}^3 : \text{dist}(x, B) \leq \delta\}$ .

**Theorem 1.1.** *Assume that the potential  $V$  satisfies (A1), (A2) and  $(\lambda_1, \lambda_2) \in D_{\text{ur}}$ , then there exists an  $\varepsilon_0 > 0$  such that for every  $\varepsilon \in (0, \varepsilon_0]$ , (1.1) possesses a positive bound state solution  $u_\varepsilon \in H^1(\mathbb{R}^3)$ . Moreover,*

(i) *for each  $\delta > 0$ , there exists  $C_1, C_2 > 0$ , such that*

$$u_\varepsilon(x) \leq C_1 \exp\left(-\frac{C_2}{\varepsilon} \text{dist}(x, \mathcal{M}^\delta)\right),$$

*where  $C_1, C_2$  are independent of  $\varepsilon$ ;*

(ii)  *$\lim_{\varepsilon \rightarrow 0} \|u_\varepsilon\|_{L^\infty(\mathbb{R}^N)} = 0$  and  $\lim_{\varepsilon \rightarrow 0} \varepsilon^{-1} \|u_\varepsilon\|_{L^\infty(\mathbb{R}^N)} > 0$ .*

This article is organized as follows. In Section 2, we give some preliminary results. In Section 3, we prove the main result Theorem 1.1.

## 2. PRELIMINARIES

In this section, we give some preliminary results. Let

$$C(u) := \lambda_1 \int_{\mathbb{R}^3} |u|^4 + \lambda_2 \int_{\mathbb{R}^3} (K * |u|^2)|u|^2$$

and define the Fourier transform of  $u$  by

$$\mathcal{F}(u)(\xi) := \widehat{u}(\xi) = \int_{\mathbb{R}^3} e^{-ix \cdot \xi} u(x) dx,$$

we have the following results:

**Lemma 2.1** ([9, Lemma 2.3]). *The Fourier transform of  $K$  is*

$$\widehat{K}(\xi) = \frac{4\pi}{3}(3\cos^2\theta - 1) = \frac{4\pi}{3}\left(\frac{3\xi_3^2}{|\xi|^2} - 1\right) = \frac{4\pi}{3}\left(\frac{2\xi_3^2 - \xi_1^2 - \xi_2^2}{|\xi|^2}\right) \in \left[-\frac{4}{3}\pi, \frac{8}{3}\pi\right],$$

where  $\theta$  is the angle between  $\xi$  and the vector  $(0, 0, 1)$ .

**Lemma 2.2.** *Let  $u \in H^1(\mathbb{R}^3)$ , then*

$$C(u) = \frac{1}{(2\pi)^3} \int_{\mathbb{R}^3} [\lambda_1 + \lambda_2 \widehat{K}(\xi)] |\widehat{u}|^2 d\xi$$

and  $|C(u)| \leq C\|u\|_{L^4(\mathbb{R}^3)}^4$ . Moreover, if  $(\lambda_1, \lambda_2) \in D_{\text{sr}}$  given in (1.4), then  $C(u) \geq 0$ .

*Proof.* The first part is obtained by Plancherel identity (see for example [2, Theorem 1.25]) and the detailed proof can be found in [7]. The second part is a direct conclusion from Lemma 2.1.  $\square$

**Lemma 2.3** ([9, Lemma 2.1]). *The operator  $\mathcal{K} : u \rightarrow K * u$  can be extended as a continuous operator on  $L^p(\mathbb{R}^3)$  for all  $1 < p < \infty$ .*

The equation for  $m > 0$ ,

$$\begin{aligned} -\Delta u + mu + \lambda_1 |u|^2 u + \lambda_2 (K * |u|^2) u &= 0 \quad \text{in } \mathbb{R}^3, \\ u &\in H^1(\mathbb{R}^3) \end{aligned} \tag{2.1}$$

is the limiting problem to (1.1) with the energy functional

$$I_m(u) = \frac{1}{2} \int_{\mathbb{R}^3} |\nabla u|^2 + \frac{m}{2} \int_{\mathbb{R}^3} u^2 + \frac{\lambda_1}{4} \int_{\mathbb{R}^3} |u^+|^4 + \frac{\lambda_2}{4} \int_{\mathbb{R}^3} (K * |u^+|^2) |u^+|^2, \quad u \in H^1(\mathbb{R}^3).$$

Denoting  $c_m$  the ground state level of (2.1), that is

$$c_m = \inf\{I_m(u) : u \in H^1(\mathbb{R}^N) \setminus \{0\} \text{ is a solution to (2.1)}\}.$$

We say  $u \in H^1(\mathbb{R}^N) \setminus \{0\}$  is a ground state solution to (2.1) if  $u$  is a solution to (2.1) and satisfies  $I_m(u) = c_m$ . He and Luo [15], Zhang and Xu [24] proved that (2.1) possesses a ground state solution for  $(\lambda_1, \lambda_2) \in D_{\text{ur}}$ . Furthermore, He and Luo [15] proved that the ground state level  $c_m$  and the mountain pass value coincide, i.e.

$$c_m = \inf_{\gamma \in \Gamma} \sup_{t \in [0,1]} I_m(\gamma(t)),$$

where the set of paths is defined as

$$\Gamma := \{\gamma \in C([0, 1], H^1(\mathbb{R}^N)) : \gamma(0) = 0 \text{ and } I_m(\gamma(1)) < 0\}.$$

In view of the fact that  $C(u)$  is homogeneous, Zhang and Xu [24] defined the restricted set by

$$\mathcal{O} = \{u \in H^1(\mathbb{R}^3) : C(u) < 0\}.$$

Note that [15, Lemma 2.3] implies  $\mathcal{O} \neq \emptyset$ , they used the set  $\mathcal{O}$  and the Nehari manifold method to characterize the ground state level  $c_m$ , i.e. if  $(\lambda_1, \lambda_2) \in D_{\text{ur}}$ ,

$$c_m = \inf_{u \in \mathcal{N}_m} I_m(u) = \inf_{w \in \mathcal{O}} \max_{t > 0} I_m(tw) > 0,$$

where  $\mathcal{N}_m$  is the Nehari manifold corresponding to  $I_m$  defined by

$$\mathcal{N}_m := \{u \in H^1(\mathbb{R}^3) \setminus \{0\} : \langle I'_m(u), u \rangle = 0\}.$$

**Lemma 2.4.** *Suppose that there is a nonnegative bounded sequence  $\{u_n\}_{n=1}^\infty \subset D^{1,2}(\mathbb{R}^3)$  and satisfies*

$$-\Delta u \leq C(u^3 + |K * u^2|u) \quad (2.2)$$

in the weak sense, then

$$\|u_n\|_{L^\infty(\mathbb{R}^3)} \leq C\|u_n\|_{L^6(\mathbb{R}^3)}, \quad (2.3)$$

where  $C$  is independent of  $n$ .

*Proof.* We let  $u_L = \min(u, L)$  where  $L > 0$ . Taking  $u_n(u_n)_L^{2(\beta-1)}$  for  $\beta \geq 1$  as a test function in (2.2) and by Young's inequality, we have

$$\begin{aligned} & \int_{\mathbb{R}^3} |\nabla u_n|^2 (u_n)_L^{2(\beta-1)} + C(\beta-1) \int_{\mathbb{R}^3} |\nabla (u_n)_L|^2 (u_n)_L^{2(\beta-1)} \\ & \leq C \int_{\mathbb{R}^3} u_n^4 (u_n)_L^{2(\beta-1)} + C \int_{\mathbb{R}^3} |K * u_n^2| u_n^2 (u_n)_L^{2(\beta-1)}. \end{aligned} \quad (2.4)$$

Letting  $W_L = u_n(u_n)_L^{(\beta-1)}$ , by Sobolev's inequality and (2.4), we see that

$$\begin{aligned} \|W_L\|_{L^6}^2 & \leq C \int_{\mathbb{R}^3} |\nabla W_L|^2 \\ & \leq C(\beta-1)^2 \int_{\mathbb{R}^3} |\nabla (u_n)_L|^2 (u_n)_L^{2(\beta-1)} + C \int_{\mathbb{R}^3} |\nabla u_n|^2 (u_n)_L^{2(\beta-1)} \\ & \leq C\beta^2 \left( \int_{\mathbb{R}^3} u_n^4 (u_n)_L^{2(\beta-1)} + \int_{\mathbb{R}^3} |K * u_n^2| u_n^2 (u_n)_L^{2(\beta-1)} \right). \end{aligned}$$

By Hölder's inequality, Lemma 2.3 and the boundedness of  $\{u_n\}_{n=1}^\infty$  in  $D^{1,2}(\mathbb{R}^3)$ , we have

$$\left[ \int_{\mathbb{R}^3} u_n^6 (u_n)_L^{6(\beta-1)} \right]^{1/3} \leq C\beta^2 \left[ \int_{\mathbb{R}^3} u_n^3 (u_n)_L^{3(\beta-1)} \right]^{2/3}.$$

Furthermore, if  $u_n \in L^{3\beta}(\mathbb{R}^3)$ , letting  $L \rightarrow +\infty$ , we see that

$$\|u_n\|_{L^{6\beta}(\mathbb{R}^3)} \leq C^{1/\beta} \beta^{1/\beta} \|u_n\|_{L^{3\beta}(\mathbb{R}^3)}.$$

Choosing  $\beta = 2^m$ , we have

$$\|u_n\|_{L^{3 \cdot 2^{m+1}}(\mathbb{R}^3)} \leq C^{2^{-m}} 2^{m2^{-m}} \|u_n\|_{L^{3 \cdot 2^m}(\mathbb{R}^3)}. \quad (2.5)$$

Iterating by (2.5), we obtain

$$\|u_n\|_{L^{3 \cdot 2^{m+1}}(\mathbb{R}^3)} \leq C^{\sum_{i=1}^m 2^{-i}} 2^{\sum_{i=1}^m i 2^{-i}} \|u_n\|_{L^6(\mathbb{R}^3)}.$$

Letting  $m \rightarrow \infty$ , we obtain (2.3).  $\square$

**Lemma 2.5** ([14, Lemma 8.17]). *Let  $\Omega$  be an open subset of  $\mathbb{R}^N$  ( $N \geq 2$ ). Suppose that  $t > N$ ,  $h \in L^{t/2}(\Omega)$  and  $u \in H^1(\Omega)$  satisfies  $-\Delta u(x) \leq h(x)$ ,  $x \in \Omega$  in the weak sense. Then for each ball  $B_{2r}(y) \subset \Omega$ ,*

$$\sup_{B_r(y)} u \leq C(\|u^+\|_{L^2(B_{2r}(y))} + \|h\|_{L^{t/2}(B_{2r}(y))}),$$

where  $C = C(N, t, r)$  is independent of  $y$ .

3. SINGULARLY PERTURBED PROBLEM

In this section, we assume that  $(\lambda_1, \lambda_2) \in D_{ur}$ . (1.1) can be rewritten as

$$\begin{aligned} -\Delta v + V(\varepsilon x)v + \lambda_1 v^3 + \lambda_2(K * v^2)v &= 0 \quad \text{in } \mathbb{R}^3, \\ v > 0, \quad v &\in H^1(\mathbb{R}^3) \end{aligned} \tag{3.1}$$

with the corresponding energy functional

$$I_\varepsilon(v) = \frac{1}{2} \int_{\mathbb{R}^3} |\nabla v|^2 + \frac{1}{2} \int_{\mathbb{R}^3} V(\varepsilon x)v^2 + \frac{\lambda_1}{4} \int_{\mathbb{R}^3} (v^+)^4 + \frac{\lambda_2}{4} \int_{\mathbb{R}^3} (K * (v^+)^2)(v^+)^2, \quad v \in H_\varepsilon,$$

where

$$H_\varepsilon := \{v \in H^1(\mathbb{R}^3) : \int_{\mathbb{R}^3} V(\varepsilon x)v^2 < \infty\}$$

endowed with the norm

$$\|v\|_\varepsilon := \left( \int_{\mathbb{R}^3} |\nabla v|^2 + \int_{\mathbb{R}^3} V(\varepsilon x)v^2 \right)^{1/2}.$$

We define

$$\chi_\varepsilon(x) = \begin{cases} 0 & \text{if } x \in \Lambda/\varepsilon, \\ \varepsilon^{-18} & \text{if } x \notin \Lambda/\varepsilon \end{cases} \quad Q_\varepsilon(v) = \left( \int_{\mathbb{R}^3} \chi_\varepsilon v^2 - 1 \right)_+^\beta,$$

where  $1 < \beta < 2$ . Finally, we set  $J_\varepsilon : H_\varepsilon \rightarrow \mathbb{R}$  by

$$J_\varepsilon(v) = I_\varepsilon(v) + Q_\varepsilon(v).$$

Moreover, for each  $R > 0$ , we regard  $H_0^1(B_R(0))$  as a subspace of  $H_\varepsilon$ . Namely, for any  $u \in H_0^1(B_R(0))$ , we extend  $u$  by defining  $u(x) = 0$  for  $|x| > R$ , then  $\|\cdot\|_\varepsilon$  is equivalent to the standard norm of  $H_0^1(B_R(0))$  for each  $R > 0, \varepsilon > 0$ . Next we will show that  $J_\varepsilon|_{H_0^1(B_R(0))}$  possesses the mountain pass geometry for  $\varepsilon > 0$  small and  $R > 0$  large.

**Lemma 3.1.** *For each fixed  $\varepsilon > 0$  and  $R > 0$ , there exists a constat  $r(\varepsilon) > 0$  such that*

$$\inf\{J_\varepsilon(u) : u \in H_0^1(B_R(0)), \|u\|_\varepsilon = r\} > 0.$$

*Proof.* From (A1), we choose  $b > 0$  such that  $\liminf_{|x| \rightarrow +\infty} V(x) \geq 2b$ , we can take  $R_0 > 0$  such that  $V(\varepsilon x) \geq b$  for  $|x| \geq \varepsilon^{-1}R_0$  and  $B_{R_0}(0) \supset \Lambda$ . By Lemma 2.3, Sobolev’s imbedding theorem and Young’s inequality, we see that for any  $\eta > 0$ , there exists  $C_\eta > 0$  such that

$$\begin{aligned} J_\varepsilon(u) &= \frac{1}{2} \int_{\mathbb{R}^3} |\nabla v|^2 + \frac{1}{2} \int_{\mathbb{R}^3} V(\varepsilon x)v^2 + \frac{1}{4}C(v) + Q_\varepsilon(v) \\ &\geq \frac{1}{2}\|v\|_\varepsilon^2 - C \int_{\mathbb{R}^3} v^4 \\ &\geq \frac{1}{2}\|v\|_\varepsilon^2 - \eta \int_{\mathbb{R}^3} v^2 - C_\eta \int_{\mathbb{R}^3} v^6 \\ &\geq \frac{1}{4}\|v\|_\varepsilon^2 + \frac{1}{4} \int_{\mathbb{R}^3 \setminus B_{R_0/\varepsilon}(0)} V(\varepsilon x)v^2 - \eta \int_{\mathbb{R}^3 \setminus B_{R_0/\varepsilon}(0)} v^2 - \eta \int_{B_{R_0/\varepsilon}(0)} v^2 - C_\eta \int_{\mathbb{R}^3} v^6 \\ &\geq \frac{1}{4}\|v\|_\varepsilon^2 + \frac{1}{4} \int_{\mathbb{R}^3 \setminus B_{R_0/\varepsilon}(0)} V(\varepsilon x)v^2 - \eta \int_{\mathbb{R}^3 \setminus B_{R_0/\varepsilon}(0)} v^2 - \frac{C_0\delta}{\varepsilon^2}\|v\|_\varepsilon^2 - C_\eta\|v\|_\varepsilon^6 \end{aligned}$$

Choosing  $\eta > 0$  such that  $\eta < \left\{ \frac{b}{4}, \frac{\varepsilon^2}{8C_0} \right\}$ , we see that

$$J_\varepsilon(u) \geq \frac{1}{8}\|v\|_\varepsilon^2 - C_\varepsilon\|v\|_\varepsilon^6,$$

the lemma holds. □

**Lemma 3.2.** *For each fixed  $\varepsilon > 0$  small and  $R > 0$  large, there exists a  $\phi_\varepsilon \in H_0^1(B_R(0))$  such that  $J_\varepsilon(\phi_\varepsilon) < 0$ .*

*Proof.* Arguing as in the proof of [15, Lemma 2.3], we can select a nonnegative  $u_0 \in C_c^\infty(\mathbb{R}^3) \setminus \{0\}$  to ensure that  $C(u_0) < 0$ . Then we choose  $R > 0$  large such that  $\text{supp}u_0 \subset B_R(0)$ , at this time,  $u_0$  can be regarded as a function in  $H_0^1(B_R(0))$ , then

$$\begin{aligned} J_\varepsilon(tu_0) &= \frac{t^2}{2} \|u_0\|_\varepsilon^2 + \frac{t^4}{4} C(u_0) + \left( \int_{\mathbb{R}^3} (\chi_\varepsilon tu_0)^2 - 1 \right)_+^\beta \\ &\leq \frac{t^2}{2} \|u_0\|_\varepsilon^2 + \frac{t^4}{4} C(u_0) + Ct^{2\beta} \left( \int_{\mathbb{R}^3} (\chi_\varepsilon u_0)^2 \right)^\beta + C. \end{aligned}$$

Note that  $C(u_0) < 0$  and  $1 < \beta < 2$ , we can choose a large  $T_\varepsilon$  such that  $J_\varepsilon(T_\varepsilon u_0) < 0$ ,  $T_\varepsilon u_0$  is the desired  $\phi_\varepsilon$ .  $\square$

Similar to the argument in Lemmas 3.1 and 3.2, we see that  $J_\varepsilon$  possesses the mountain pass geometry in  $H_\varepsilon$ . Hence, we can define the mountain pass value of  $J_\varepsilon$  and  $J_\varepsilon|_{H_0^1(B_R(0))}$  as follows:

$$c_\varepsilon := \inf_{\gamma \in \Gamma_\varepsilon} \max_{s \in [0,1]} J_\varepsilon(\gamma(s)),$$

where

$$\Gamma_\varepsilon := \{ \gamma \in C([0, 1], H_\varepsilon) : \gamma(0) = 0, \quad J_\varepsilon(\gamma(1)) < 0 \},$$

$$c_{\varepsilon,R} := \inf_{\gamma \in \Gamma_{\varepsilon,R}} \max_{0 \leq t \leq 1} J_\varepsilon(\gamma(t)),$$

$$\Gamma_{\varepsilon,R} := \{ \gamma \in C([0, 1], H_0^1(B_R(0))) : \gamma(0) = 0, \quad J_\varepsilon(\gamma(1)) < 0 \}.$$

It is easy to see that for each  $\varepsilon > 0$  small and  $R > 0$  large,  $c_{\varepsilon,R} \geq c_\varepsilon$ , then  $\lim_{R \rightarrow +\infty} c_{\varepsilon,R} := d_\varepsilon \geq c_\varepsilon$ .

**Lemma 3.3.** *For each  $\varepsilon > 0$  small and  $R > 0$  large,  $c_{\varepsilon,R}$  is a critical value of  $J_\varepsilon$  on  $H_0^1(B_R(0))$ .*

*Proof.* By Lemmas 3.1 and 3.2, and the mountain pass theorem, for each fixed  $\varepsilon > 0$  small and  $R > 0$  large, there exists a sequence  $\{v_{n,\varepsilon}^R\}_{n=1}^\infty \subset H_0^1(B_R(0))$  such that  $J_\varepsilon(v_{n,\varepsilon}^R) \rightarrow c_{\varepsilon,R}$  and  $J'_\varepsilon(v_{n,\varepsilon}^R) \rightarrow 0$  in  $(H_0^1(B_R(0)))^{-1}$  as  $n \rightarrow \infty$ . Then

$$\begin{aligned} &c_{\varepsilon,R} + o(1) + o(1) \|v_{n,\varepsilon}^R\|_\varepsilon \\ &= J_\varepsilon(v_{n,\varepsilon}^R) - \frac{1}{4} \langle J'_\varepsilon(v_{n,\varepsilon}^R), v_{n,\varepsilon}^R \rangle \\ &= \frac{1}{4} \|v_{n,\varepsilon}^R\|_\varepsilon^2 + \left( \int_{\mathbb{R}^3} \chi_\varepsilon (v_{n,\varepsilon}^R)^2 - 1 \right)_+^\beta - \frac{\beta}{2} \left( \int_{\mathbb{R}^3} \chi_\varepsilon (v_{n,\varepsilon}^R)^2 - 1 \right)_+^{\beta-1} \int_{\mathbb{R}^3} \chi_\varepsilon (v_{n,\varepsilon}^R)^2 \\ &\geq \frac{1}{4} \|v_{n,\varepsilon}^R\|_\varepsilon^2 + \left( 1 - \frac{\beta}{2} \right) \left( \int_{\mathbb{R}^3} \chi_\varepsilon (v_{n,\varepsilon}^R)^2 - 1 \right)_+^\beta - \frac{\beta}{2} \left( \int_{\mathbb{R}^3} \chi_\varepsilon (v_{n,\varepsilon}^R)^2 - 1 \right)_+^{\beta-1}, \end{aligned} \tag{3.2}$$

where  $o(1) \rightarrow 0$  as  $n \rightarrow \infty$ . From (3.2), we see that

$$\begin{aligned} &\left( 1 - \frac{\beta}{2} \right) \left( \int_{\mathbb{R}^3} \chi_\varepsilon (v_{n,\varepsilon}^R)^2 - 1 \right)_+^\beta - \frac{\beta}{2} \left( \int_{\mathbb{R}^3} \chi_\varepsilon (v_{n,\varepsilon}^R)^2 - 1 \right)_+^{\beta-1} \\ &\leq c_{\varepsilon,R} + o(1) + o(1) \|v_{n,\varepsilon}^R\|_\varepsilon - \frac{1}{4} \|v_{n,\varepsilon}^R\|_\varepsilon^2. \end{aligned} \tag{3.3}$$

Noting that since  $1 < \beta < 2$ , the left side of (3.3) is bounded from below, then we see that  $\{v_{n,\varepsilon}^R\}_{n=1}^\infty$  is bounded in  $H_0^1(B_R(0))$ . Up to a subsequence, as  $n \rightarrow \infty$ , we see that  $v_{n,\varepsilon}^R \rightharpoonup v_\varepsilon^R$  in  $H_0^1(B_R(0))$ ,  $v_{n,\varepsilon}^R \rightarrow v_\varepsilon^R$  in  $L^s(B_R(0))$  ( $1 \leq s < 6$ ) and  $v_{n,\varepsilon}^R \rightarrow v_\varepsilon^R$  a.e.  $B_R(0)$ . Using standard argument, we verify that

$$v_{n,\varepsilon}^R \rightarrow v_\varepsilon^R \quad \text{in } H_0^1(B_R(0)) \text{ as } n \rightarrow \infty \tag{3.4}$$

and  $v_\varepsilon^R \geq 0$  satisfies

$$\begin{aligned} &-\Delta v_\varepsilon^R + V(\varepsilon x)v_\varepsilon^R + 2\beta \left( \int_{\mathbb{R}^3} \chi_\varepsilon (v_\varepsilon^R)^2 dx - 1 \right)_+^{\beta-1} \chi_\varepsilon v_\varepsilon^R \\ &= -\lambda_1 (v_\varepsilon^R)^3 - \lambda_2 (K * |v_\varepsilon^R|^2) v_\varepsilon^R \quad \text{in } B_R(0), \\ &v_\varepsilon^R = 0 \quad \text{on } \partial B_R(0) \end{aligned} \tag{3.5}$$

and  $J_\varepsilon(v_\varepsilon^R) = c_{\varepsilon,R}$ .  $\square$

**Lemma 3.4.** *For each  $\varepsilon > 0$  small,  $d_\varepsilon$  is a critical value of  $J_\varepsilon$  on  $H_\varepsilon$ .*

*Proof.* Noting that  $\lim_{\varepsilon \rightarrow 0} d_\varepsilon = 0$  (Lemma 3.5 below) and  $\lim_{R \rightarrow +\infty} c_{\varepsilon,R} := d_\varepsilon$ , by (3.3), we choose  $\bar{\varepsilon} > 0$  such that for each  $\varepsilon \in (0, \bar{\varepsilon}]$ , there exists  $R_\varepsilon > 0$  such that

$$\|v_\varepsilon^R\|_{H_\varepsilon} \leq C \text{ uniformly for } \varepsilon \in (0, \bar{\varepsilon}] \text{ and } R > R_\varepsilon. \tag{3.6}$$

By Lemma 2.4, we see that

$$\|v_\varepsilon^R\|_{L^\infty(\mathbb{R}^3)} \leq C \text{ uniformly for } \varepsilon \in (0, \bar{\varepsilon}] \text{ and } R > R_\varepsilon. \tag{3.7}$$

Since  $Q_\varepsilon(v_\varepsilon^R)$  is uniformly bounded for all  $\varepsilon > 0$  small and  $R > R_\varepsilon$ , we have

$$\int_{\mathbb{R}^3 \setminus (\Lambda/\varepsilon)} (v_\varepsilon^R)^2 \leq C\varepsilon^{18}. \tag{3.8}$$

We see from (3.5) and (3.7) that

$$-\Delta v_\varepsilon^R \leq C(v_\varepsilon^R)^3 + C(|K * |v_\varepsilon^R|^2|v_\varepsilon^R) \leq C(v_\varepsilon^R)^{2/3} + |K * v_\varepsilon^R|(v_\varepsilon^R)^{1/3},$$

in the weak sense. Letting  $t = 6$  and  $r = 1$  in Lemma 2.5, we have

$$\begin{aligned} \sup_{B_1(y)} v_\varepsilon^R &\leq C \left( \|v_\varepsilon^R\|_{L^2(B_2(y))} + \|v_\varepsilon^R\|_{L^2(B_2(y))}^{2/3} + \left( \int_{B_2(y)} |K * v_\varepsilon^R|^3 (v_\varepsilon^R) \right)^{1/3} \right) \\ &\leq C (\|v_\varepsilon^R\|_{L^2(B_2(y))} + \|v_\varepsilon^R\|_{L^2(B_2(y))}^{2/3} + \|K * v_\varepsilon^R\|_{L^6(\mathbb{R}^3)} \|v_\varepsilon^R\|_{L^2(B_2(y))}^{1/3}), y \in \mathbb{R}^3. \end{aligned}$$

By (3.8) and Lemma 2.3, we check that for  $\varepsilon > 0$  small,

$$v_\varepsilon^R(x) \leq C\varepsilon^3 \text{ for all } |x| \geq R_0/\varepsilon + 2 \text{ and } R > R_\varepsilon. \tag{3.9}$$

By (3.6), (3.7) and Lemma 2.3, we verify that  $-\lambda_1(v_\varepsilon^R)^3 - \lambda_2(K * |v_\varepsilon^R|^2)v_\varepsilon^R$  is bounded in  $L^q_{\text{loc}}(\mathbb{R}^3)$  for every  $q \geq 1$  and the  $L^p$ -estimate implies the boundedness of  $\{v_\varepsilon^R\}$  in  $W^{2,q}_{\text{loc}}(\mathbb{R}^3)$  ( $q \geq 1$ ), then by the Sobolev's imbedding theorem, there exists  $\alpha \in (0, 1)$  such that

$$\|v_\varepsilon^R\|_{C^{1,\alpha}_{\text{loc}}(\mathbb{R}^3)} \leq C \text{ uniformly for } \varepsilon \in (0, \bar{\varepsilon}] \text{ and } R > R_\varepsilon. \tag{3.10}$$

Let  $\bar{\theta}$  the angle between  $x - y$  and the dipole axis  $(0, 0, 1)$  and note that the average of  $1 - 3\cos^2\bar{\theta}$  on spheres  $\partial B_r(x)$  ( $r > 0$ ) vanishes, that is

$$\int_{\partial B_r(x)} (1 - 3\cos^2\bar{\theta}) ds = 0. \tag{3.11}$$

Using differential mean value theorem, (3.9), (3.10) and (3.11) yield that for  $|x| \geq 2R_0/\varepsilon$  and  $R > R_\varepsilon$ ,

$$\begin{aligned} &\lim_{\delta \rightarrow 0} \int_{B_1(x) \setminus B_\delta(x)} \frac{1 - 3\cos^2\bar{\theta}}{|x - y|^3} |v_\varepsilon^R(y)|^2 dy \\ &= \lim_{\delta \rightarrow 0} \int_{B_1(x) \setminus B_\delta(x)} \frac{1 - 3\cos^2\bar{\theta}}{|x - y|^3} (|v_\varepsilon^R(y)|^2 - |v_\varepsilon^R(x)|^2) dy \\ &\leq C \|v_\varepsilon^R\|_{L^\infty(B_1(x))} \|\nabla v_\varepsilon^R\|_{L^\infty(B_1(x))} \int_{B_1(x)} \frac{1}{|x - y|^2} dy \leq C\varepsilon^3. \end{aligned} \tag{3.12}$$

Recalling  $\Lambda \subset B_{R_0}(0)$ , we see from (3.6) that for  $|x| \geq 2R_0/\varepsilon$ ,

$$\int_{\Lambda/\varepsilon} \frac{1 - 3\cos^2\bar{\theta}}{|x - y|^3} |v_\varepsilon^R(y)|^2 dy \leq C \frac{\varepsilon^3}{R_0^3} \int_{\Lambda/\varepsilon} |v_\varepsilon^R(y)|^2 dy \leq C\varepsilon^3. \tag{3.13}$$

Moreover, (3.8) implies that for  $|x| \geq 2R_0/\varepsilon$ ,

$$\int_{\mathbb{R}^3 \setminus ((\Lambda/\varepsilon) \cup B_1(x))} \frac{1 - 3\cos^2\bar{\theta}}{|x - y|^3} |v_\varepsilon^R(y)|^2 dy \leq C \int_{\mathbb{R}^3 \setminus (\Lambda/\varepsilon)} |v_\varepsilon^R(y)|^2 dy \leq C\varepsilon^{18}. \tag{3.14}$$

Finally, from (3.9), (3.12), (3.13) and (3.14), for  $\varepsilon > 0$  small,

$$-\lambda_1(v_\varepsilon^R)^3 - \lambda_2(K * |v_\varepsilon^R|^2)v_\varepsilon^R \leq \frac{1}{2}V(\varepsilon x)v_\varepsilon^R \text{ for } |x| \geq 2R_0/\varepsilon \text{ and } R > R_\varepsilon.$$

The Maximum Principle shows that

$$0 \leq v_\varepsilon^R(x) \leq C_1(\varepsilon)e^{-C_2(\varepsilon)|x|} \text{ for } |x| \geq 2R_0/\varepsilon \text{ and } R > R_\varepsilon, \tag{3.15}$$

where  $C_1(\varepsilon)$  and  $C_2(\varepsilon)$  are independent of  $R$ .

Choosing a cut-off function  $\varphi_A \in C^\infty(\mathbb{R}^3)$  such that  $0 \leq \varphi_A \leq 1$ ,  $\varphi_A = 0$  for  $|x| \leq A$ ,  $\varphi_A = 1$  for  $|x| \geq 2A$  and  $|\nabla\varphi_A| \leq C/A$ . It follows from (3.15), (3.6), Lemma 2.3 and the fact  $\langle J'_\varepsilon(v_\varepsilon^R), \varphi_A v_\varepsilon^R \rangle = 0$  that as  $A \rightarrow \infty$ ,

$$\begin{aligned} & \int_{\mathbb{R}^3 \setminus B_{2A}(0)} |\nabla v_\varepsilon^R|^2 + V(\varepsilon x)|v_\varepsilon^R|^2 \\ & \leq \frac{C}{A} \int_{\mathbb{R}^3 \setminus B_A(0)} |\nabla v_\varepsilon^R|^2 + V(\varepsilon x)|v_\varepsilon^R|^2 + C \int_{\mathbb{R}^3 \setminus B_A(0)} |v_\varepsilon^R|^4 + C \int_{\mathbb{R}^3 \setminus B_A(0)} (K * |v_\varepsilon^R|^2)|v_\varepsilon^R|^2 \\ & \leq \frac{C}{A} \int_{\mathbb{R}^3 \setminus B_A(0)} |\nabla v_\varepsilon^R|^2 + V(\varepsilon x)|v_\varepsilon^R|^2 + C \int_{\mathbb{R}^3 \setminus B_A(0)} |v_\varepsilon^R|^4 \\ & \quad + C \left( \int_{\mathbb{R}^3} (K * |v_\varepsilon^R|^2)^2 \right)^{1/2} \left( \int_{\mathbb{R}^3 \setminus B_A(0)} |v_\varepsilon^R|^4 \right)^{1/2} \\ & \leq \frac{C}{A} \int_{\mathbb{R}^3 \setminus B_A(0)} |\nabla v_\varepsilon^R|^2 + V(\varepsilon x)|v_\varepsilon^R|^2 + C(\varepsilon) \int_{\mathbb{R}^3 \setminus B_A(0)} e^{-C(\varepsilon)|x|} \\ & \quad + C(\varepsilon) \left( \int_{\mathbb{R}^3 \setminus B_A(0)} e^{-C(\varepsilon)|x|} \right)^{1/2} \rightarrow 0, \end{aligned}$$

that is for  $\varepsilon > 0$  small,

$$\lim_{A \rightarrow \infty} \int_{\mathbb{R}^3 \setminus B_{2A}(0)} |\nabla v_\varepsilon^R|^2 + V(\varepsilon x)|v_\varepsilon^R|^2 = 0. \tag{3.16}$$

Since  $\{v_\varepsilon^R\}$  is bounded in  $H_\varepsilon$ , we can assume that as  $R \rightarrow \infty$ ,  $v_\varepsilon^R \rightharpoonup v_\varepsilon$  in  $H_\varepsilon$ ,  $v_\varepsilon^R \rightarrow v_\varepsilon$  in  $L^s_{\text{loc}}(\mathbb{R}^3)$  for all  $s \in [1, 6)$  and  $v_\varepsilon^R \rightarrow v_\varepsilon$  a.e.  $\mathbb{R}^3$ . By (3.16) and Sobolev's imbedding theorem, we obtain  $v_\varepsilon^R \rightarrow v_\varepsilon$  in  $L^s(\mathbb{R}^3)$  for all  $s \in [2, 6)$  as  $R \rightarrow \infty$ . Using standard argument, we see that  $v_\varepsilon^R \rightarrow v_\varepsilon$  in  $H_\varepsilon$  as  $R \rightarrow \infty$ . Hence,  $v_\varepsilon \in H_\varepsilon$  satisfies

$$-\Delta v_\varepsilon + V(\varepsilon x)v_\varepsilon + 2\beta \left( \int_{\mathbb{R}^3} \chi_\varepsilon v_\varepsilon^2 - 1 \right)_+^{\beta-1} \chi_\varepsilon v_\varepsilon + \lambda_1 v_\varepsilon^3 + \lambda_2 (K * v_\varepsilon^2)v_\varepsilon = 0 \text{ in } \mathbb{R}^3 \tag{3.17}$$

and  $J_\varepsilon(v_\varepsilon) = d_\varepsilon$ . □

**Lemma 3.5.**  $\lim_{\varepsilon \rightarrow 0} d_\varepsilon = 0$ .

*Proof.* Without loss of generality, we may assume that  $0 \in \mathcal{M}$ , i.e.  $V(0) = 0$ . We let  $w \in H^1_0(B_1(0))$  a positive ground state solution to

$$-\Delta u + \lambda_1 u^3 + \lambda_2 (K * u^2)u = 0 \text{ in } B_1(0), \quad u = 0 \text{ on } \partial B_1(0).$$

Then for each  $R > 0$ ,  $w_R := \frac{1}{R}w\left(\frac{x}{R}\right)$  satisfies

$$-\Delta w_R + \lambda_1 w_R^3 + \lambda_2 (K * w_R^2)w_R = 0 \text{ in } B_R(0), \quad w_R = 0 \text{ on } \partial B_R(0).$$

It is obvious that

$$\int_{B_R(0)} |\nabla w_R|^2 = \frac{1}{R} \int_{B_1(0)} |\nabla w|^2, \tag{3.18}$$

$$\int_{B_R(0)} w_R^4 = \frac{1}{R} \int_{B_1(0)} w^4, \tag{3.19}$$

$$\int_{B_R(0)} (K * w_R^2)w_R^2 = \frac{1}{R} \int_{B_1(0)} (K * w^2)w^2. \tag{3.20}$$

We regard  $w_R$  as a function in  $H^1(\mathbb{R}^N)$  by defining  $w_R = 0$  for  $|x| \geq R$ . Then for  $\varepsilon > 0$  and  $t > 0$ ,

$$J_\varepsilon(tw_R) = \frac{t^2}{2} \int_{\mathbb{R}^3} |\nabla w_R|^2 + \frac{t^2}{2} \int_{\mathbb{R}^3} V(\varepsilon x)w_R^2 + \frac{t^4}{4}C(w_R).$$

It is easy to see that there exists  $t_0 > 0$  such that  $J_\varepsilon(tw_R) < 0$  for  $t > t_0$  and  $\varepsilon > 0$  small. Then

$$\begin{aligned} \limsup_{\varepsilon \rightarrow 0} d_\varepsilon &\leq \limsup_{\varepsilon \rightarrow 0} c_{\varepsilon,R} \\ &\leq \limsup_{\varepsilon \rightarrow 0} \max_{t \in (0, +\infty)} J_\varepsilon(tw_R) \\ &\leq \max_{t \in (0, +\infty)} \left( \frac{t^2}{2} \int_{\mathbb{R}^3} |\nabla w_R|^2 + \frac{t^4}{4} C(w_R) \right) + \limsup_{\varepsilon \rightarrow 0} \frac{t_0^2}{2} \max_{x \in B_R(0)} V(\varepsilon x) \int_{\mathbb{R}^3} w_R^2 \\ &= 0 + \frac{1}{2} \int_{\mathbb{R}^3} |\nabla w_R|^2 + \frac{1}{4} C(w_R). \end{aligned}$$

Recalling the fact that  $d_\varepsilon \geq c_\varepsilon > 0$  and letting  $R \rightarrow +\infty$  in the above inequality, we see from (3.18), (3.19) and (3.20) that  $\lim_{\varepsilon \rightarrow 0} d_\varepsilon = 0$ .  $\square$

**Lemma 3.6.**  $\{\|v_\varepsilon\|_\varepsilon\}_{\varepsilon > 0}$  and  $\{Q_\varepsilon(v_\varepsilon)\}_{\varepsilon > 0}$  are bounded for  $\varepsilon > 0$  small.

*Proof.* Noting that  $d_\varepsilon = J_\varepsilon(v_\varepsilon) - \frac{1}{4} \langle J'_\varepsilon(v_\varepsilon), v_\varepsilon \rangle$  and arguing as in (3.2) and (3.3), the conclusion follows.  $\square$

**Lemma 3.7.**  $\{\|v_\varepsilon\|_{L^\infty(\mathbb{R}^3)}\}_{\varepsilon > 0}$  is bounded for  $\varepsilon > 0$  small.

The above lemma is a direct conclusion from Lemmas 2.4 and 3.6.

**Lemma 3.8.** For each  $\delta > 0$ ,  $\lim_{\varepsilon \rightarrow 0} \|v_\varepsilon\|_{L^\infty(\mathbb{R}^3 \setminus (\mathcal{M}^{\delta/2}/\varepsilon))} = 0$ .

*Proof.* It follows from Lemma 3.7 that

$$\int_{\mathbb{R}^3 \setminus (\Lambda/\varepsilon)} v_\varepsilon^2 \leq C\varepsilon^{18}.$$

Then by the boundedness of  $\{\|v_\varepsilon\|_{L^\infty(\mathbb{R}^3)}\}_{\varepsilon > 0}$ , we see that for any  $q \geq 2$ ,

$$\int_{\mathbb{R}^3 \setminus (\Lambda/\varepsilon)} v_\varepsilon^q \leq C \int_{\mathbb{R}^3 \setminus (\Lambda/\varepsilon)} v_\varepsilon^2 \leq C\varepsilon^{18},$$

which implies

$$\|v_\varepsilon\|_{L^\infty(\mathbb{R}^3 \setminus (\Lambda/\varepsilon))} \rightarrow 0 \quad \text{as } \varepsilon \rightarrow 0.$$

Suppose that there is  $\{y_\varepsilon\}_{\varepsilon > 0} \subset (\Lambda/\varepsilon) \setminus (\mathcal{M}^{\delta/2}/\varepsilon)$  such that  $\liminf_{\varepsilon \rightarrow 0} v_\varepsilon(y_\varepsilon) > 0$  with  $V(\varepsilon y_\varepsilon) \rightarrow m > 0$ , by elliptic estimate,  $\{v_\varepsilon(x + y_\varepsilon)\}_{\varepsilon > 0}$  is bounded in  $C_{loc}^{1,\alpha}(\mathbb{R}^3)$  for some  $\alpha \in (0, 1)$ , then  $v_\varepsilon(x + y_\varepsilon) \rightarrow v \neq 0$  in  $C_{loc}^1(\mathbb{R}^3)$  sense. It is easy to see that  $v$  is a solution to (2.1). From [11, Lemma 2.2], we see that  $\liminf_{\varepsilon \rightarrow 0} J_\varepsilon(v_\varepsilon) \geq c_m > 0$  which contradicts Lemma 3.5.  $\square$

**Lemma 3.9.** For each  $\delta > 0$ , there exist constants  $C_1, C_2 > 0$  such that

$$v_\varepsilon(x) \leq C_1 \exp(-C_2 \text{dist}(x, \mathcal{Z}^{3\delta/4}/\varepsilon)).$$

*Proof.* Noting that for each  $\delta > 0$ ,  $\inf\{V(x) : x \notin \mathcal{Z}^{3\delta/4}\} > 0$ . Arguing as for (3.8) to (3.14), we see that

$$\lim_{\varepsilon \rightarrow 0} \|\lambda_1 v_\varepsilon^2 + \lambda_2 (K * v_\varepsilon^2)\|_{L^\infty(\mathbb{R}^3 \setminus (\mathcal{Z}^{3\delta/4}/\varepsilon))} = 0.$$

Thus, we obtain decay estimate by applying comparison principle. We refer to [5, Lemma 2.7] for details.  $\square$

If  $\mathcal{Z} \setminus \mathcal{M} \neq \emptyset$ , we have the following estimate of  $v_\varepsilon$  on  $(\mathcal{Z}^\delta/\varepsilon) \setminus (\mathcal{M}^\delta/\varepsilon)$ . This estimate mainly comes from [6, 12], but for completeness, we give a proof.

**Lemma 3.10.** For  $\delta > 0$  small, there exist constants  $C_1, C_2 > 0$  such that

$$\|v_\varepsilon\|_{L^\infty((\mathcal{Z}^\delta/\varepsilon) \setminus (\mathcal{M}^\delta/\varepsilon))} \leq C_1 \exp\left(-\frac{C_2}{\varepsilon}\right).$$

*Proof.* Taking  $2\delta > 0$  to ensure that  $(\mathcal{Z}^{2\delta} \setminus \mathcal{M}^{2\delta}) \cap \Lambda^{2\delta} = \emptyset$ . Let  $(\varphi, \bar{\lambda}_1)$  be the pair of the first eigenfunction and eigenvalue of  $-\Delta$  on  $\mathcal{Z}^{2\delta} \setminus \mathcal{M}^{2\delta}$  with Dirichlet boundary condition, we may assume that

$$\inf\{\varphi(x) : x \in \partial(\mathcal{Z}^\delta \setminus \mathcal{M}^\delta)\} = 1. \quad (3.21)$$

It follows from Lemma 3.6, Lemma 3.7 and arguing as for (3.8) to (3.14), we have

$$\|\lambda_1 v_\varepsilon^2 + \lambda_2 (K * v_\varepsilon^2)\|_{L^\infty((\mathcal{Z}^{2\delta/\varepsilon}) \setminus (\Lambda^\delta/\varepsilon))} \leq C\varepsilon^3.$$

From Lemma 3.9, we see that  $v_\varepsilon(x) \leq C_1 \exp(-\frac{C_2\delta}{4\varepsilon})$  on  $\partial(\mathcal{Z}^\delta/\varepsilon)$ . Then we define  $\varphi_\varepsilon(x) = C_1 \exp(-\frac{C_2\delta}{4\varepsilon}) \varphi(\varepsilon x)$ . Then  $-\Delta\varphi_\varepsilon = \bar{\lambda}_1 \varepsilon^2 \varphi_\varepsilon$ . Noting that  $-\Delta v_\varepsilon \leq C\varepsilon^3 v_\varepsilon$ , we have

$$-\Delta(v_\varepsilon - \varphi_\varepsilon) \leq C\varepsilon^3 v_\varepsilon - \bar{\lambda}_1 \varepsilon^2 \varphi_\varepsilon \leq 0$$

according to Lemma 3.7 and (??), then by a comparison principle, we obtain the estimate.  $\square$

*Proof of Theorem 1.1.* From Lemmas 3.9 and 3.10, we see that as  $\varepsilon \rightarrow 0$ ,

$$\begin{aligned} \varepsilon^{-18} \int_{\mathbb{R}^3 \setminus (\Lambda/\varepsilon)} v_\varepsilon^2 &\leq \varepsilon^{-18} \int_{\mathbb{R}^3 \setminus (\Lambda/\varepsilon) \setminus (\mathcal{Z}^\delta/\varepsilon)} v_\varepsilon^2 + \varepsilon^{-18} \int_{(\mathcal{Z}^\delta/\varepsilon) \setminus (\Lambda/\varepsilon)} v_\varepsilon^2 \\ &\leq \varepsilon^{-18} \int_{\mathbb{R}^3 \setminus (\mathcal{Z}^\delta/\varepsilon)} v_\varepsilon^2 + \varepsilon^{-18} \int_{(\mathcal{Z}^\delta/\varepsilon) \setminus (\mathcal{M}^\delta/\varepsilon)} v_\varepsilon^2 \\ &\leq C\varepsilon^{-18} \int_{\mathbb{R}^3 \setminus (\mathcal{Z}^\delta/\varepsilon)} e^{-C\frac{\delta}{\varepsilon}} + C\varepsilon^{-18} \int_{(\mathcal{Z}^\delta/\varepsilon) \setminus (\mathcal{M}^\delta/\varepsilon)} e^{-\frac{C}{\varepsilon}} \rightarrow 0. \end{aligned}$$

This implies that  $Q_\varepsilon(v_\varepsilon) = 0$  for  $\varepsilon > 0$  small. Then

$$\frac{1}{4} \|v_\varepsilon\|_\varepsilon = J_\varepsilon(v_\varepsilon) - \frac{1}{4} \langle J'_\varepsilon(v_\varepsilon), v_\varepsilon \rangle = d_\varepsilon \rightarrow 0$$

as  $\varepsilon \rightarrow 0$ , by Lemma 2.4, we see that  $\lim_{\varepsilon \rightarrow 0} \|v_\varepsilon\|_{L^\infty(\mathbb{R}^3)} = 0$ . Noting that  $v_\varepsilon$  satisfies

$$-\Delta v_\varepsilon + V(\varepsilon x)v_\varepsilon + \lambda_1 v_\varepsilon^3 + \lambda_2 (K * v_\varepsilon^2)v_\varepsilon = 0 \quad \text{in } \mathbb{R}^3.$$

Letting  $w_\varepsilon(x) = \varepsilon^{-1}v_\varepsilon(x/\varepsilon)$ , we see that

$$-\Delta w_\varepsilon + \frac{V(x)}{\varepsilon^2} w_\varepsilon + \lambda_1 w_\varepsilon^3 + \lambda_2 (K * w_\varepsilon^2)w_\varepsilon = 0 \quad \text{in } \mathbb{R}^3 \quad (3.22)$$

Multiplying  $w_\varepsilon$  on both sides of (3.22) and integrating by parts, we see from Lemma 2.3 that

$$\int_{\mathbb{R}^3} |\nabla w_\varepsilon|^2 + \frac{1}{\varepsilon^2} \int_{\mathbb{R}^3} V(x)w_\varepsilon^2 \leq C \|w_\varepsilon\|_{L^\infty(\mathbb{R}^3)}^2 \int_{\mathbb{R}^3} w_\varepsilon^2. \quad (3.23)$$

We denote  $\phi \in C_c^\infty(\mathbb{R}^N, [0, 1])$  such that  $\phi(x) = 1$  on  $\mathcal{Z}^{4\delta}$ , then it follows that

$$\begin{aligned} \int_{\mathbb{R}^3} w_\varepsilon^2 &\leq C \int_{\mathbb{R}^3} \phi^2 w_\varepsilon^2 + C \int_{\mathbb{R}^3} (1 - \phi)^2 w_\varepsilon^2 \\ &\leq C \int_{\mathbb{R}^3} |\nabla(\phi w_\varepsilon)|^2 + C \frac{1}{\varepsilon^2} \int_{\mathbb{R}^3} V(x)w_\varepsilon^2 \\ &\leq C \int_{\mathbb{R}^3} |\nabla w_\varepsilon|^2 + C \int_{\mathbb{R}^3} |\nabla \phi|^2 w_\varepsilon^2 + C \frac{1}{\varepsilon^2} \int_{\mathbb{R}^3} V(x)w_\varepsilon^2 \\ &\leq C \int_{\mathbb{R}^3} |\nabla w_\varepsilon|^2 + C \frac{1}{\varepsilon^2} \int_{\mathbb{R}^3} V(x)w_\varepsilon^2. \end{aligned} \quad (3.24)$$

Combining (3.23) and (3.24), we see that  $\liminf_{\varepsilon \rightarrow 0} \|w_\varepsilon\|_{L^\infty(\mathbb{R}^3)} > 0$ . Recalling that  $u_\varepsilon(x) = v_\varepsilon(x/\varepsilon)$ , we obtain  $\liminf_{\varepsilon \rightarrow 0} \varepsilon^{-1} \|u_\varepsilon\|_{L^\infty(\mathbb{R}^3)} > 0$ .  $\square$

**Acknowledgments.** This work is supported by the Hubei Provincial Natural Science Foundation of China (Grant No. 2024AFB807).

## REFERENCES

- [1] P. Antonelli, C. Sparber; *Existence of solitary waves in dipolar quantum gases*, Physica D, 240 (2011), 426-431.
- [2] H. Bahouri, J- Y. Chemin, R. Danchin; *Fourier Analysis and Nonlinear Partial Differential Equations*, Springer, Heidelberg, 2011.
- [3] W. Bao, Y. Cai, H. Wang; *Efficient numerical method for computing ground states and dynamic of dipolar Bose-Einstein condensates*, J. Comput. Phys., 229 (2010), 7874-7892.
- [4] J. Byeon, L. Jeanjean; *Standing waves for nonlinear Schrödinger equations with a general nonlinearity*, Arch. Rational Mech. Anal., 185 (2007), 185-200.
- [5] J. Byeon, Z. Q. Wang; *Standing waves with a critical frequency for nonlinear Schrödinger equations*, Arch. Rational Mech. Anal., 165 (2002) 295-316
- [6] J. Byeon, Z. Q. Wang; *Standing waves with a critical frequency for nonlinear Schrödinger equations II*, Calc. Var. Partial Differential Equations, 18 (2003), 207-219.
- [7] J. Bellazzini, L. Jeanjean; *On dipolar quantum gases in the unstable regime*, SIAM J. Math. Anal., 48 (2017), 2028-2058.
- [8] R. Carles, H. Hajaiej; *Complementary study of the standing waves solutions of the Gross-Pitaevskii equation in dipolar quantum gases*, Bull. London Math. Soc. 47 (2015) 509-518.
- [9] R. Carles, P. Markowich, C. Sparber; *On the Gross-Pitaevskii equation for trapped dipolar quantum gases*, Nonlinearity, 21 (2008), 2569-2590.
- [10] S. Cingolani, N. Lazzo; *Multiple semiclassical standing waves for a class of nonlinear Schrödinger equations*, Topol. Methods Nonlinear Anal., 10 (1997), 1-13.
- [11] M. del Pino, P. L. Felmer; *Local mountain pass for semilinear elliptic problems in unbounded domains*, Calc. Var. Partial Differential Equations, 4 (1996), 121-137.
- [12] X. Fang; *Standing waves with a critical frequency for a quasilinear Schrödinger equation*, Commun. Contemp. Math., (2019), 1950070.
- [13] A. Floer, A. Weinstein; *Nonspreading wave packets for the cubic Schrödinger equation with a bounded potential*, J. Funct. Anal., 69 (1986), 397-408.
- [14] D. Gilbarg, N. S. Trudinger; *Elliptic Partial Differential Equations of Second Order*, 2nd ed., Grundlehren Math. Wiss., vol. 224, Springer, Berlin, 1983.
- [15] Y. He, X. Luo; *Concentrating standing waves for the Gross-Pitaevskii equation in trapped dipolar quantum gases*, J. Differential Equations, 266 (2019), 600-629.
- [16] Y. G. Oh; *Existence of semi-classical bound states of nonlinear Schrödinger equations with potential on the class  $(V)_a$* , Commun. Partial Differential Equations, 13 (1988), 1499-1519.
- [17] Y. G. Oh; *Corrections to existence of semi-classical bound states of nonlinear Schrödinger equations with potential on the class  $(V)_a$* , Commun. Partial Differential Equations, 14 (1989), 833-834.
- [18] Y. G. Oh; *On positive multi-lump bound states of nonlinear Schrödinger equations under multiple well potential*, Commun. Math. Phys., 131 (1990), 223-253.
- [19] P. Rabinowitz; *On a class of nonlinear Schrödinger equations*, Z. Angew. Math. Phys., 43 (1992), 270-291.
- [20] L. Santos, G. Shlyapnikov, P. Zoller, M. Lewenstein; *Bose-Einstein condensation in trapped dipolar gases*, Phys. Rev. Lett., 85 (2000), 1791-1797.
- [21] X. Wang; *On concentration of positive bound states of nonlinear Schrödinger equations*, Commun. Math. Phys., 153 (1993), 229-244.
- [22] S. Yi, L. You; *Trapped atomic condensates with anisotropic interactions*, Phys. Rev., 61 (2000), 041604.
- [23] S. Yi, L. You; *Trapped condensates of atoms with dipole interactions*, Phys. Rev., 63 (2001), 053607.
- [24] H. Zhang, J. Xu; *Existence and multiplicity of semiclassical states for Gross-Pitaevskii equation in dipolar quantum gases*, Revista de La Real Academia de Ciencias Exactas Físicas y Naturales Serie A-Matemáticas, (2021), 115:71.

YI HE

SCHOOL OF MATHEMATICS AND STATISTICS, SOUTH-CENTRAL MINZU UNIVERSITY, WUHAN, 430074, CHINA

Email address: heyi19870113@163.com